

(ทุนพัฒนาศักยภาพในการทำงานวิจัยของอาจารย์รุ่นใหม่)
สัญญาเลขที่ MRG6080047



รายงานวิจัยฉบับสมบูรณ์

โครงการกลไกการออกฤทธิ์ของพลัมบاجินและบทบาท
ของเอนไซม์ NADPH: quinone oxidoreductase 1
(NQO1) ในมะเร็งเต้านมที่ดื้อยาต้านฮอร์โมน

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รายงานวิจัยสนับสนุนบูรณา

โครงการ กลไกการออกฤทธิ์ของพลัมบاجินและบทบาทของ
เอนไซม์ NADPH: quinone oxidoreductase 1 (NQO1)
ในมะเร็งเต้านมที่ดีอยาต้านอกร่องมีน

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สนับสนุนโดยสำนักงานกองทุนสนับสนุนการวิจัยและ
จุฬาลงกรณ์มหาวิทยาลัย

(ความเห็นในรายงานนี้เป็นของผู้วิจัย
สกว.และต้นสังกัดไม่จำเป็นต้องเห็นด้วยเสมอไป)

Abstract (บทคัดย่อ)

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(ภาษาอังกฤษ): Mechanisms of plumbagin as an anti-cancer agent and role of its enzyme NADPH: quinone oxidoreductase 1 (NQO1) in endocrine resistant breast cancer

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บทคัดย่อ

พลัมบاجินเป็นสารสกัดในกลุ่ม naphthoquinone ที่ได้จากการหมักดอง น้ำมันน้ำมันมะพร้าวและน้ำมันอินทรีย์ ที่มีฤทธิ์ต้านมะเร็งหลายชนิด โดยเฉพาะมะเร็งเต้านม ในการศึกษาถูกก่อนหน้านี้พบว่าพลัมบاجินมีความเป็นพิษต่อเซลล์มะเร็งเต้านมที่ต้องต้านออร์โรมนในความเข้มข้นระดับไมโครโมลาร์ เนื่องจากพลัมบاجินเป็นสาร naphthoquinone ที่ได้จากการหมักดอง ซึ่งสารกลุ่มนี้จะถูกเอนไซม์ Nicotinamide adenine dinucleotide phosphate (NADPH) quinone oxidoreductase 1 (NQO1) ทำปฏิกิริยา reduction โดยพบว่าเอนไซม์ NQO1 มีการแสดงออกสูงขึ้นในเซลล์มะเร็งที่มีความรุนแรงหลายชนิด ในการศึกษานี้จึงศึกษาบทบาทของ NQO1 ในการออกฤทธิ์ของพลัมบاجินโดยใช้เซลล์ที่มีการลดการแสดงออกของ NQO1 และใช้ NQO1 inhibitor จากผลการศึกษาพบว่า มีการแสดงออกของ NQO1 เพิ่มมากขึ้นในเซลล์มะเร็งเต้านมที่ต้องต้านออร์โรมนและพลัมบاجินไม่มีผลต่อการเปลี่ยนแปลงการแสดงออกของระดับ NQO1 แต่พลัมบاجินไปเพิ่มการทำงาน (activity) ของ NQO1 การศึกษานี้ยังพบว่าฤทธิ์ของพลัมบاجินในการยับยั้งการเจริญเติบโต การลุกลามและการแสดงออกของยีนที่เกี่ยวข้องกับการต้องต้านออร์โรมนจะลดลงในเซลล์ที่มีการ

แสดงออกของ NQO1 ลดลงหรือในเซลล์ที่ได้ NQO1 inhibitor นอกจากนี้ฤทธิ์ของพลัมบاجินในการทำให้เซลล์มะเร็งมีการตายแบบ apoptosis และ การสร้าง reactive oxygen species (ROS) กลลดลงเมื่อ NQO1 น้อยลงหรือถูกยับยั้งการทำงาน ดังนั้นจึงสรุปได้ว่า กลไกการออกฤทธิ์ของพลัมบاجินในเซลล์มะเร็งเต้านมที่ดื้อยาต้านฮอร์โมนต้องอาศัยการทำงานของ NQO1

Abstract

Plumbagin (PLB) is a natural naphthoquinone with significant anticancer effects against several types of tumor cells including breast cancer. PLB exhibited potent cytotoxic activity at a micromolar concentration against endocrine-resistant breast cancer cell lines. Since PLB is a natural naphthoquinone compound, it can be reduced by the cytosolic Nicotinamide adenine dinucleotide phosphate (NADPH) quinone oxidoreductase 1 (NQO1) enzyme. NQO1 expression is high in many aggressive types of cancer. The roles of NQO1 in anti-cancer activity of PLB were investigated by using NQO1 knockdown cells and NQO1 inhibitor. The results showed that NQO1 was upregulated in endocrine-resistant cells. PLB did not change the expression of NQO1 but it was able to increase NQO1 activity. The inhibitory effects of PLB on cell proliferation, cell invasion and expression of tamoxifen resistant gene were attenuated in both NQO1 knockdown cells and NQO1 inhibited cells. Moreover, the effects of PLB to induce apoptosis and generate reactive oxygen species (ROS) were also lower in both NQO1 knockdown cells and NQO1 inhibited cells. Therefore, NQO1 activity is crucial for the action of PLB in endocrine-resistant breast cancer cells.

Keywords : plumbagin, endocrine resistance, NQO1, breast cancer

(คำหลัก)

Executive Summary

ความสำคัญและที่มาของปัญหา

มะเร็งเต้านมเป็นมะเร็งที่เกิดมากที่สุดในเพศหญิงและเป็นสาเหตุการตายลำดับสองรองจากมะเร็งปอด มะเร็งเต้านมชนิดที่มีการแสดงออกของตัวบับ(esotrojen)เป็นมะเร็งเต้านมที่พบมากที่สุดประมาณร้อยละ 70 ของจำนวนผู้ป่วยโรคมะเร็งเต้านมทั้งหมด เนื่องจากฮอร์โมนเอสโตรเจนเป็นปัจจัยที่สำคัญในการเจริญเติบโตของมะเร็งเต้านมชนิดนี้จึงใช้ยาในกลุ่มยาต้านฮอร์โมนเอสโตรเจนเป็นการรักษาหลักในผู้ป่วยหลังการผ่าตัดเพื่อป้องกันการกลับเป็นซ้ำและการลุกลามของโรค โดยมียาในกลุ่ม Selective Estrogen Receptor Modulator (SERM) คือ tamoxifen และ Aromatase Inhibitor เป็นยาหลัก แต่อย่างไรก็ตามพบว่าร้อยละ 40 ของผู้ป่วยในระยะลุกลามมีการดื้อยาต้านฮอร์โมนโดยมีการกลับเป็นซ้ำและการลุกลามไปอวัยวะอื่นเป็นสาเหตุ การเสียชีวิตที่สำคัญ เมื่อดื้อยาแล้วแพทย์อาจเปลี่ยนการรักษาเป็นยาต้านฮอร์โมนอีกกลุ่ม หรือยาตัวใหม่ ได้แก่ PI3K inhibitor และ CDK4/6 inhibitor แต่อย่างไรดีก็พบว่ายังเกิดการดื้อยาอยู่รวมถึงผลข้างเคียงต่างๆ การรักษาผู้ป่วยกลุ่มนี้จึงมีตัวเลือกไม่มากนัก จากการศึกษาก่อนหน้าพบว่าสารสกัดจากธรรมชาติในกลุ่ม naphthoquinone ชื่อพลัมบากิน จากพืช *Plumbago indica* มีฤทธิ์ที่ดีในการยับยั้งการเจริญเติบโตและการลุกลามของเซลล์มะเร็งเต้านมที่ดื้อต่อยาต้านฮอร์โมนในความเข้มข้นระดับไมโครโมลาร์ และมีฤทธิ์ที่ดีกว่าในเซลล์มะเร็งเต้านมที่ไม่ดื้อยาจากการศึกษาเบื้องต้นพบว่า มะเร็งเต้านมที่ดื้อต่อยาต้านฮอร์โมนมีการแสดงออกของเอนไซม์ NADPH: quinone oxidoreductase 1 (NQO1) มากกว่าในเซลล์มะเร็งเต้านมที่ไม่ดื้อยา โดย NQO1 มีหน้าที่ในกระบวนการ reduction ของสารกลุ่ม quinone ทำให้ได้ metabolite ในรูป stable หรือ unstable form ซึ่งทำให้เกิด reactive oxygen species (ROS) และ DNA adducts ซึ่งช่วยเพิ่มฤทธิ์ในการทำลายเซลล์มะเร็ง โดยพบว่าในเซลล์มะเร็งหลายชนิดมีการแสดงออกที่มากขึ้นของ NQO1 เช่นมะเร็งปอด ลำไส้ใหญ่ และตับอ่อน และพลัมบากินก็เป็นสารกลุ่มนี้ naphthoquinone ซึ่งเป็น substrate ของเอนไซม์นี้ การศึกษานี้จึงสนใจบทบาทของเอนไซม์ NQO1 ในการออกฤทธิ์ของพลัมบากินและบทบาทในเซลล์มะเร็งเต้านมที่ดื้อต่อยาต้านฮอร์โมน

วัตถุประสงค์

- เพื่อศึกษาถึงการออกฤทธิ์ของสารพลัมบากินในการต้านเซลล์มะเร็งเต้านมที่ดื้อยาต้านฮอร์โมน
- เพื่อศึกษารบทบาทของเอนไซม์ NADPH: quinone oxidoreductase 1 (NQO1) ในเซลล์มะเร็งเต้านมที่ดื้อยาต้านฮอร์โมนและบทบาทในการออกฤทธิ์ของพลัมบากิน

ระเบียบวิธีวิจัย

ทำการทดลองในเซลล์มะเร็งเต้านมที่มีการแสดงออกของตัวรับเอสโตรเจน MCF-7 และเซลล์มะเร็งที่ดื้อต่อยาต้านฮอร์โมน ได้แก่ MCF-7/LCC2, MCF-7/LCC9 และศึกษาบทบาทของ NQO1 ต่อการออกฤทธิ์ของพลัมบاجิน โดยใช้ NQO1 siRNA และ NQO1 inhibitor

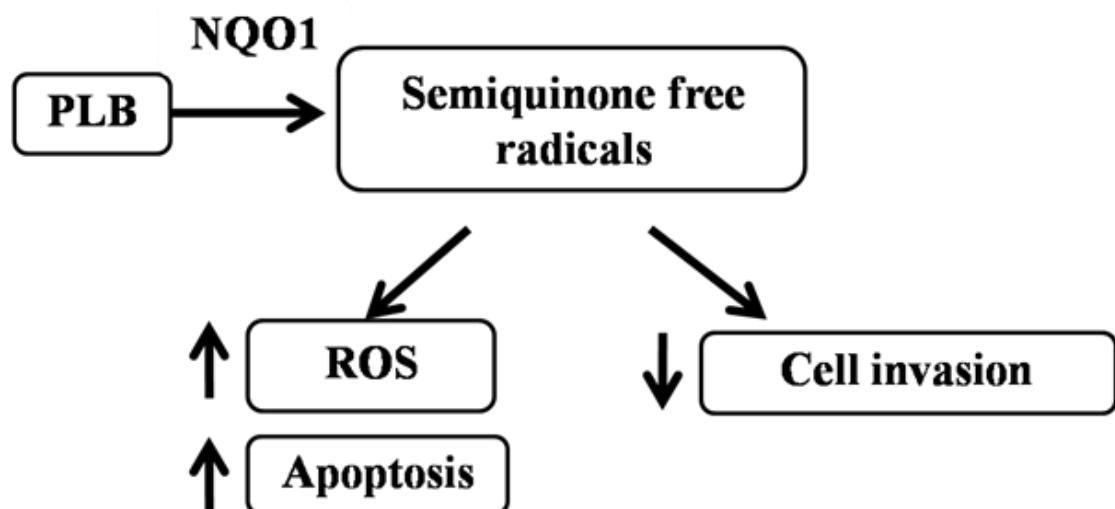
- ศึกษาระดับการแสดงออกและการทำงานของ NQO1 และผลของพลัมบاجินต่อ NQO1 ด้วย Real-time PCR และ NQO1 activity assay
- ศึกษาลักษณะของเซลล์มะเร็งที่เปลี่ยนแปลงไปเมื่อมีการลดการแสดงออกของ NQO1 โดยการ knock down NQO1 ด้วย si-RNA NQO1 แล้วนำเซลล์ไปดูผลต่อ Proliferation ด้วย MTT assay
- Invasion ด้วย matrigel invasion assay
- Tamoxifen-related gene expression ด้วย Real-time PCR
- เพื่อศึกษาผลของ NQO1 ต่อการยับยั้งการเจริญเติบโตของเซลล์มะเร็งโดยพลัมบاجิน ด้วย MTT assay
- เพื่อศึกษาผลของ NQO1 ต่อการสร้าง ROS โดยพลัมบاجิน ด้วย dichlorofluorescin diacetate (DCFDA) staining
- เพื่อศึกษาผลของ NQO1 ต่อการเกิดเซลล์ apoptosis ของเซลล์มะเร็งโดยพลัมบاجิน ด้วย flow cytometry
- เพื่อศึกษาผลของ NQO1 ต่อการยับยั้งการลุกลามของเซลล์มะเร็ง โดยพลัมบاجิน ด้วย matrigel invasion assay

ผลการทดลอง

เซลล์มะเร็งที่ดื้อต้านฮอร์โมนมีการแสดงออกของ NQO1 enzyme เพิ่มมากขึ้นจากเซลล์มะเร็งเต้านมที่ไม่ดื้อยา และเมื่อลดการทำงานของ NQO1 enzyme ลงด้วย NQO1 inhibitor และ knockdown NQO1 ด้วย SiRNA พบว่าผลการยับยั้งการเจริญเติบโต การสร้าง reactive oxygen species (ROS) และการลุกลามของสารพลัมบاجินต่อเซลล์มะเร็งเต้านมที่ดื้อต่อยาต้านฮอร์โมนลดลงอย่างมีนัยสำคัญทางสถิติ ซึ่ง NQO1 inhibitor ที่ใช้เป็นกัลูมควบคุมไม่มีผลต่อการตายและการลุกลามของเซลล์ นอกจากนี้เมื่อลดการแสดงออกของ NQO1 ยังทำให้การเกิดเซลล์ตายโดยกระบวนการ apoptosis จากสารพลัมบاجินลดลงอย่างมีนัยสำคัญทางสถิติ ซึ่งสอดคล้องกับการแสดงออกของยีนที่เกี่ยวข้องกับการตายของเซลล์ แบบ apoptosis โดยพบว่าเมื่อมีการแสดงออกของ NQO1 ที่ลดลง ทำให้เมื่อให้พลัมบاجินในเซลล์มะเร็งที่ดื้อยาฮอร์โมนพบว่ามีการแสดงออกของ anti-apoptotic และ proapoptotic gene ที่เพิ่มขึ้น จากการศึกษานี้พบว่าการทดลองเป็นไปตามสมมติฐานคือ NQO1 enzyme มีผลต่อการออกฤทธิ์ของสารพลัมบاجินซึ่งเป็นสารที่เป็น substrate ของ enzyme นี้

สรุปและวิจารณ์ผลการทดลอง

การวิจัยก่อนหน้าพบว่า Nrf-2 มีผลต่อการแสดงออกของ NQO1 ใน squamous cell carcinoma แต่ในการศึกษานี้พบว่า Nrf-2 มีการแสดงออกลดลงเมื่อให้พลัมบاجินและเมื่อลดปริมาณการแสดงออก NQO1 หรือให้ NQO1 inhibitor การออกฤทธิ์ของพลัมบاجินเปลี่ยนแปลงไปในทางเดียวกันทั้งเซลล์มะเร็งที่ดื้อยาและไม่ดื้อยา และจากการศึกษานี้พบว่าการทำงานของพลัมบاجินต้องอาศัยการทำงานของ enzyme NQO1 ในเซลล์ที่ดื้อต่อยาต้านฮอร์โมน ซึ่งมีผลต่อทั้งการเจริญเติบโต การลุกลาม การสร้าง ROS และการตายของเซลล์แบบ apoptosis เป็นไปตามสมมติฐานงานวิจัย ดังนั้น NQO1 จึงมีบทบาทในการออกฤทธิ์ของพลัมบاجินในเซลล์มะเร็งที่ดื้อยาต้านฮอร์โมน ดังแสดงในรูป



ข้อเสนอแนะสำหรับงานวิจัยในอนาคต

ศึกษาการออกฤทธิ์ของพลัมบاجินในสัตว์ทดลองรวมไปถึงความปลอดภัย โดยเฉพาะเรื่องการแข็งตัวของเลือด เนื่องจากพลัมบاجินมีโครงสร้างคล้ายวิตามิน K

ผลงานวิจัย

Plumbagin had no effect on NQO1 expression but was able to increase NQO1 activity in endocrine-resistant breast cancer cells

NQO1 was up-regulated in various types of cancer such as colon, pancreas, breast and non-small cell lung cancers (Cresteil and Jaiswal, 1991; Siegel et al., 1998). The NQO1 mRNA level was significantly higher in the endocrine-resistant cell lines whereas NQO1 protein expression was significantly higher by 2.5 fold in only tamoxifen and fulvestrant-resistant MCF7/LCC9 cells (figure 1A). PLB did not significantly change the NQO1 protein expression in any of the three cell lines (figure 1B).

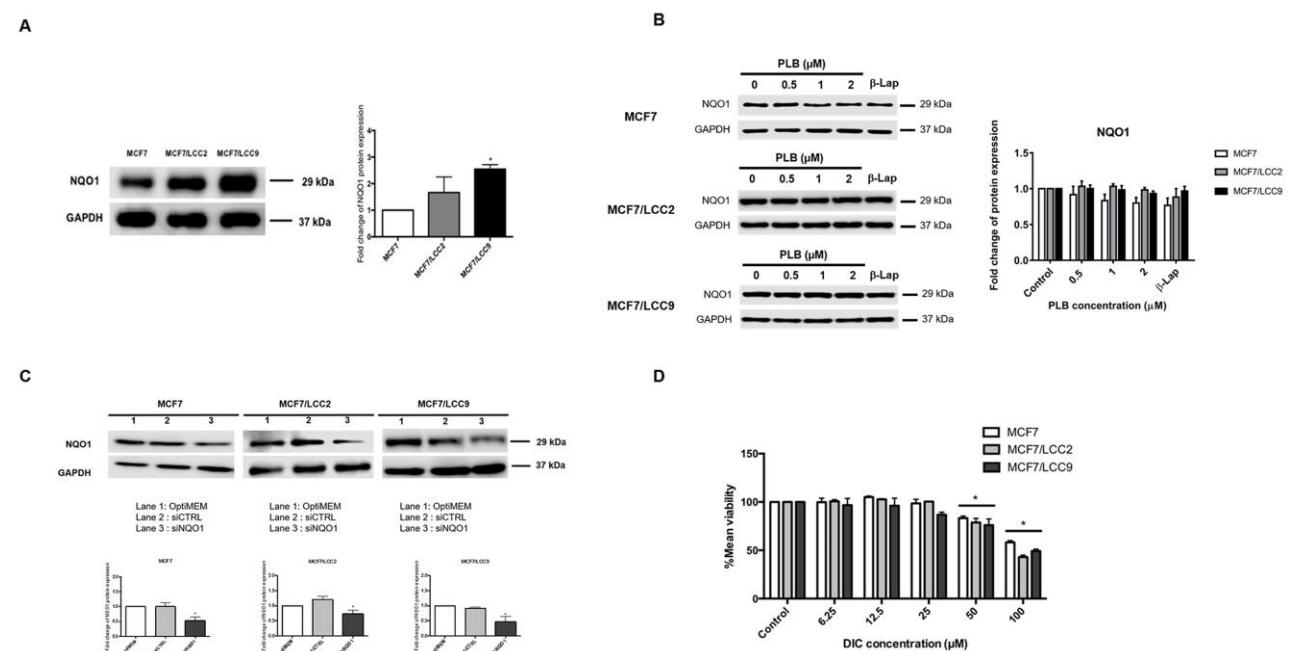


Figure 1. NQO1 expression and activity in the endocrine-resistant cell lines. A.

Basal levels of NQO1 protein in wild-type MCF7, endocrine resistant breast cancer MCF7/LCC2 and MCF7/LCC9 cells are shown by Western blot. The data were normalized to GAPDH expression. The comparison of NQO1 expression of the 3 cell lines (mean \pm SEM, n=3) are shown in the bar chart. * represents $p < 0.05$ vs. MCF7 cells. **B.** The levels of NQO1 after PLB treatment of the 3 cell lines (0.2% DMSO was used as the control and 1 μ M β -lapachone (β -Lap) was used as the positive control, mean \pm SEM, n=3) **C.** The level of NQO1 protein expression of the 3 cell lines after 24 hours of transfection with siNQO1 and negative control siRNA transfection using 1.5 μ M of lipofectamine. The efficiency of the NQO1 knockdown by transient NQO1 siRNA transfection was confirmed by evaluating the levels of the protein expression. * represents $p < 0.05$ and ** represents $p < 0.01$ vs. siCTRL. **D.** Dicoumarol (DIC) is NQO1 inhibitor and is not toxic to breast cancer cells. The bar chart illustrates mean percentage and standard error of the mean of cell viability of MCF7 cells against DIC at increasing concentrations after 48 h incubation (mean \pm SEM, n=3). The IC₅₀ of DIC on MCF7, MCF7/LCC2, and MCF7/LCC9 is >100 μ M, 88.50 μ M \pm 3.40, and 98.76 μ M \pm 3.03, respectively. * represents $p < 0.05$ vs. control (0.2% DMSO).

The activity of NQO1 was higher in endocrine resistant cells (**figure 2A**). PLB significantly increased the NQO1 activity in the endocrine resistant MCF7/LCC2 and MCF7/LCC9 cells when compared to β -lapachone which was the other quinone compound used in the study (**figure 2C-D**). The activity of NQO1 was lower in siNQO1

transfected endocrine-resistant cells when treated with PLB (**figure 2C-D**). This effect was also observed in siNQO1 transfected wild-type MCF7 cells when the highest concentration of PLB (4 μ M) was used (**figure 2B**).

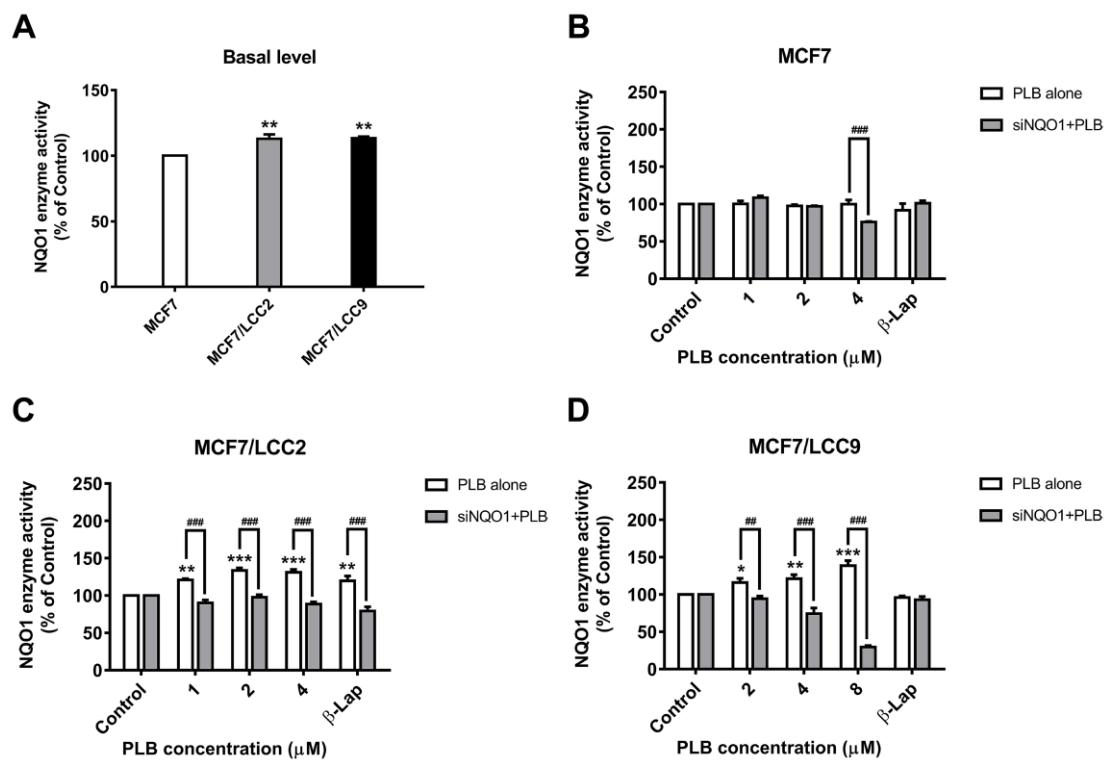


Figure 2. The NQO1 enzymatic activity was increased by plumbagin in endocrine-resistant cells. (A) The NQO1 activities of the 3 cell lines at baseline (mean \pm SEM, n=3). ** represents $p < 0.01$ vs. MCF7 cells. The NQO1 activities of the 3 cell lines and cells transfected with siNQO1 after PLB treatment: MCF7 cells (B), MCF7/LCC2 (C) and MCF7/LCC9 (D) cells. * represents $p < 0.05$, ** represents $p < 0.01$, *** represents $p < 0.001$ vs. control (0.2% DMSO), and ## represents $p < 0.01$, ### represents $p < 0.001$ vs. PLB alone.

PLB has an inhibitory effect on Nrf-2 transcription factor that regulates NQO1 in squamous cell cancer (Pan et al., 2015). Because of this, the inhibitory effect of PLB on Nrf-2's expression level was assessed. The Nrf-2 mRNA expression was over-expressed in the endocrine-resistant cells (**figure 3A**). 4 μ M and 8 μ M of PLB significantly decreased the Nrf-2 expression of MCF7 cells. 1 μ M, 2 μ M and 4 μ M of PLB also significantly decreased the Nrf-2 expression of MCF7/LCC2 cells. Likewise, 2 μ M, 4 μ M and 8 μ M of PLB significantly decreased the Nrf-2 expression of MCF7/LCC9 cells (**figure 3B-D**). However, the downregulation of NQO1 or inhibition of NQO1 activity attenuated the inhibitory effect of PLB on Nrf-2 expression in all cell lines (**figure 3B-D**).

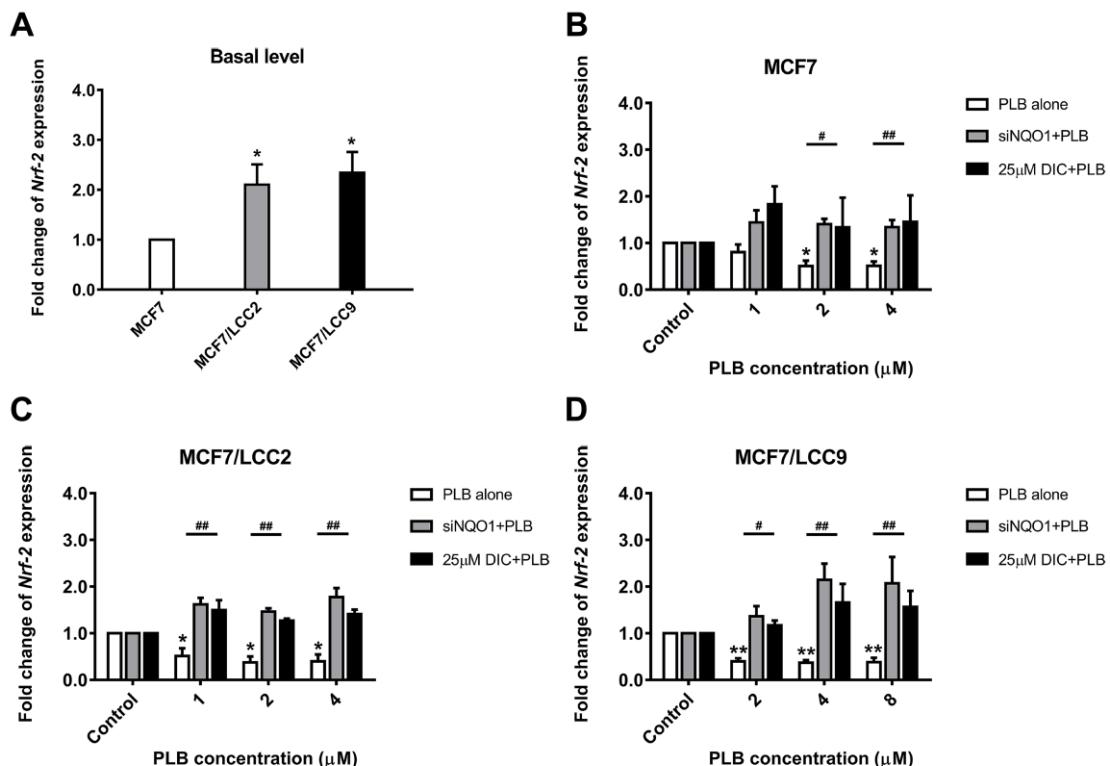


Figure 3. The inhibitory effect of PLB on *Nrf-2* expression was lower when the NQO1 was downregulated or its activity was inhibited. (A) The baseline *Nrf-2* levels for the 3 cell lines by qRT-PCR analysis (mean \pm SEM, n=3). * represents $p < 0.05$ vs MCF7 cells. The *Nrf-2* expression for PLB alone, 25 μ M DIC pretreatment, and 24 h after all 3 cell lines were transfected with siNQO1: MCF7 (B) MCF7/LCC2 (C) and MCF7/LCC9 (D) cells.

* represents $p < 0.05$, ** represents $p < 0.01$ vs. control (0.2% DMSO), and # represents $p < 0.05$, ## represents $p < 0.01$ vs. PLB alone.

Downregulation of NQO1 or inhibition of its activity resulted in attenuation of the inhibitory effects of plumbagin on cell proliferation

Micromolar concentrations of PLB was previously reported to inhibit the activity of the endocrine resistant cells (Sakunrangsit et al., 2016). The effect of NQO1 on PLB inhibitory effect was further investigated. The cytotoxic activity of PLB was lower in cells that were pretreated with NQO1 inhibitor or transfected with siNQO1. The IC₅₀ of PLB in MCF-7 cells was 1.75 compared to 4.31 μ M in MCF7 cells pretreated with NQO1 inhibitor and 2.03 μ M in MCF7 cells transfected with siNQO1. The MCF7/LCC2 and MCF7/LCC9 cells showed the same pattern (figure 4 and table 1). These results

showed that downregulation of NQO1 or inhibition of NQO1 activity attenuated the effects of PLB on cell proliferation in all cell lines.

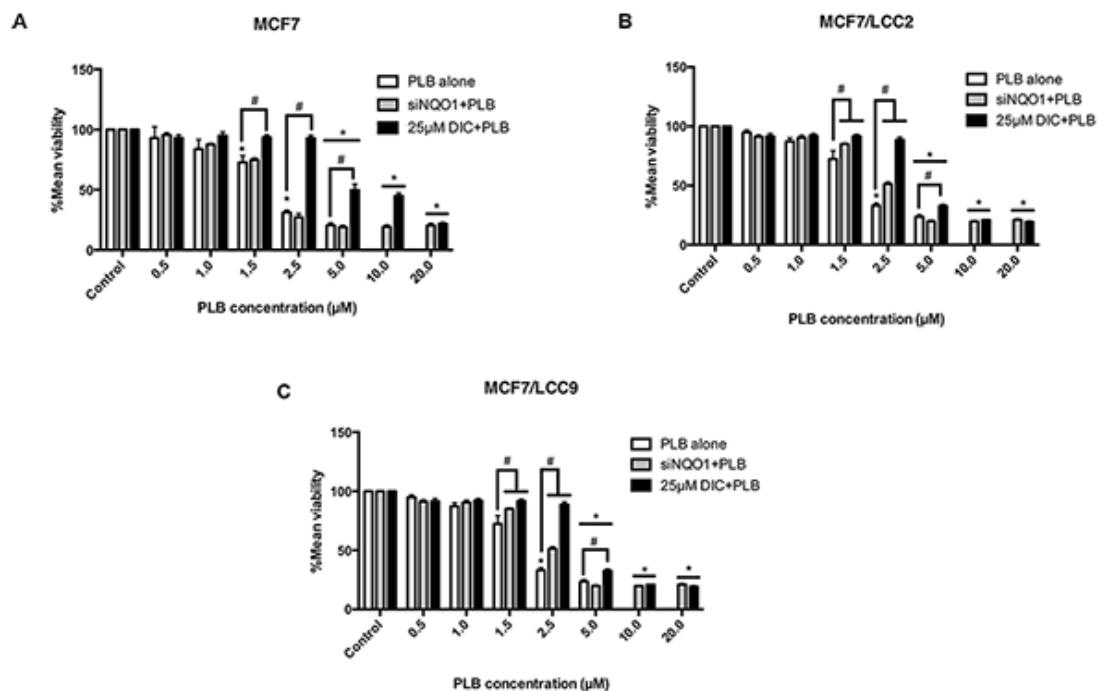


Figure 4. The inhibitory effect of PLB on cell proliferation was attenuated in endocrine-resistant cells when the cells were transfected with siNQO1 or pretreated with NQO1 inhibitor. The bar chart illustrates the cell viability (mean \pm SEM, n=3) of the 3 cell lines after PLB treatment for MCF7 (A), MCF7/LCC2 (B) and MCF7/LCC9 (C) under the following 3 conditions: PLB alone, 25 μ M DIC pretreatment, and siNQO1 transfection. * represents $p < 0.05$ vs. control (0.2% DMSO), # represents $p < 0.05$ vs. PLB alone.

Table 1. Comparison of IC_{50} of the 3 cell lines in 3 conditions; PLB alone, 25 μ M DIC pretreatment, and siNQO1 transfection after PLB treatment (n = 3).

Conditions	IC_{50} (μM)	IC_{50} (μM)	IC_{50} (μM)
	MCF7	MCF7/LCC2	MCF7/LCC9
PLB alone	1.75	1.72	2.14
siNQO1 + PLB	2.03	2.55	4.15
Dicoumarol + PLB	4.31	4.30	4.11

NQO1 regulated the inhibitory effect of plumbagin on cell apoptosis and production of ROS in breast cancer cells

PLB has an apoptotic effect in prostate cancer cells, HER-2 over-expressed breast cancer cells and colon cancer cells (Chen et al., 2013; Kawiak et al., 2012; Powolny and Singh, 2008). However, the role of NQO1 in cancer cells remains unclear since it has been reported to assist apoptosis process and at the same time when used with different type of quinone compound, it has an opposite effect (Siegel et al., 2012). The effect of NQO1 on PLB induced-apoptosis was determined by annexin V-PE/7-ADD

staining. PLB significantly induced cell apoptosis when used at 2, 4 and 8 μ M in MCF7, and at 4 and 8 μ M in MCF7/LCC2 as well as MCF7/LCC9 cells. However, when the highest concentration of PLB (8 μ M) was used, it was able to induce apoptosis in siNQO1 transfected cells and MCF7/LCC2 and MCF7/LCC9 cells that were pretreated with NQO1 inhibitor (**figure 5**).

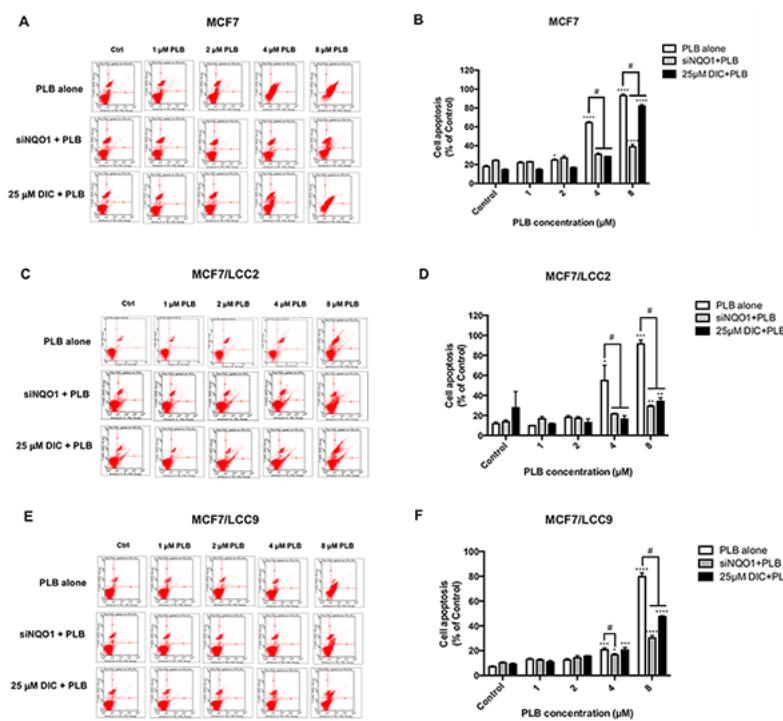


Figure 5. NQO1 was important for the inhibitory effect of PLB on cell apoptosis in endocrine-resistant cells when NQO1 expression was downregulated or its activity was inhibited. The flow cytogram and the percentage of cell apoptosis after MCF7 (A and B), MCF7/LCC2 (C and D), and MCF7/LCC9 (E and F) were treated with PLB (mean \pm SEM, n=3) under the following 3 conditions: PLB alone, 25 μ M DIC pretreatment, and siNQO1 transfection. * represents $p < 0.05$, *** represents $p < 0.001$

and **** represents $p < 0.0001$ vs. control (0.2% DMSO), and # represents $p < 0.05$ vs. PLB alone.

PLB decreased the mRNA expression of anti-apoptotic genes. The *BCL-2* mRNA expression was lower in MCF7/LCC2 cells treated with 2 and 4 μ M of PLB and in MCF7/LCC9 cells treated with 2, 4 and 8 μ M of PLB. The *BCL-xL* mRNA expression was lower in MCF7/LCC2 cells treated with 2 and 4 μ M of PLB and in MCF7/LCC9 cells with 4 and 8 μ M of PLB. However, the effect of PLB in MCF7 cells was not significant (**figure 6**). The repressive effect of PLB was attenuated in the pretreated cells with NQO1 inhibitor and cells that were transfected with siNQO1. The levels of anti-apoptotic genes in the inhibitor-treated and knockdown NQO1 groups were also up-regulated when compared to the same PLB treatment (**figure 6**).

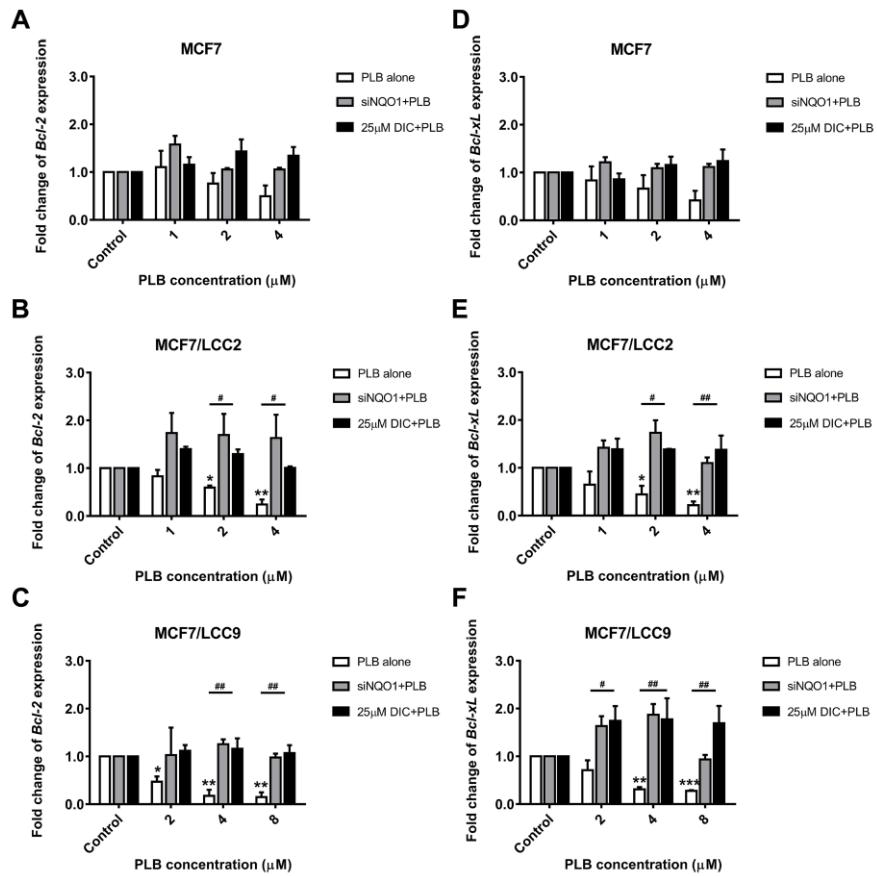


Figure 6. The inhibitory effects of PLB on apoptotic-related genes were lower in endocrine-resistant cells when NQO1 expression was downregulated or its activity was inhibited. The expression of *Bcl-2* gene by qRT-PCR for MCF7 (A), MCF7/LCC2 (B), MCF7/LCC9 cells (C) (mean \pm SEM, n=3) under the following 3 conditions: PLB alone, 25 μ M DIC pretreatment, and siNQO1 transfection. The *Bcl-xL* expression of MCF7 (D), MCF7/LCC2 (E), MCF7/LCC9 cells (F) under the following 3 conditions: PLB alone, 25 μ M DIC pretreatment, and siNQO1 transfection. * represents $p < 0.05$, ** represents $p < 0.01$ and *** represents $p < 0.001$ vs. control (0.2% DMSO), and # represents $p < 0.05$, ## represents $p < 0.01$ vs. PLB alone.

The study on cytotoxicity of PLB in ER-positive cell line (MCF-7 cells) showed that there was an inhibition of PI-5 kinase which is involved in the generation of ROS (Lee et al., 2012). NQO1 was shown to metabolize other types of quinone compounds as well as generate ROS and DNA adducts (Siegel et al., 2012). The association between the depletion of NQO1 and ROS formation has been reported to affect cell growth in A549 and H292 lung adenocarcinoma (Madajewski et al., 2016). Therefore, this property of NQO1 enzyme may be involved in the anti-cancer activity of PLB. The ROS production by PLB was assessed by DCFDA assay. 1, 4 and 8 μ M of PLB was able to increase the ROS generation significantly in MCF7 and at all concentrations for MCF7/LCC2 and MCF7/LCC9 cells. However, the ability to generate ROS by PLB was lower in MCF7/LCC2 and MCF7/LCC9 cells that were pretreated with NQO1 inhibitor and cells that were transfected with siNQO1 (**figure 7**).

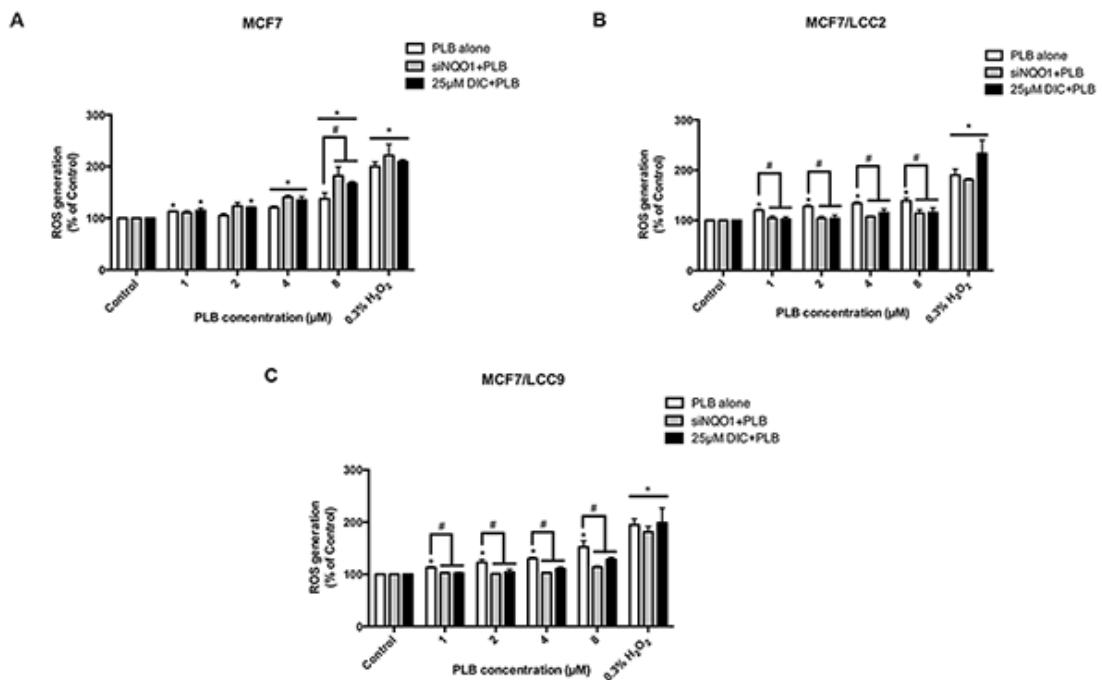


Figure 7. The ability of PLB to generate ROS was attenuated in endocrine-resistant cells when NQO1 expression was downregulated or its activity was inhibited. The comparison of PLB-induced reactive oxygen species (ROS) generation in MCF7 (A), MCF7/LCC2 (B) and MCF7/LCC9 (C) cells under the following 3 conditions: PLB alone, 25 μ M DIC pretreatment, and siNQO1 transfection by DCFDA assay (means \pm SEM, n = 3) * represents $p < 0.05$, ** represents $p < 0.01$ vs. control (0.2% DMSO), and # represents $p < 0.05$ vs. PLB alone.

NQO1 mediated the inhibitory effect of PLB on cell invasion in endocrine-resistant breast cancer cells

PLB has been reported to inhibit endocrine-resistant cell invasion (Sakunrangsit et al., 2016). In addition, depletion of NQO1 was reported to alter cell invasion in lung cancer cells (Madajewski et al., 2016). The effect of NQO1 on PLB was further studied in matrigel invasion assay. PLB significantly decreased cell invasion of MCF7/LCC2 cells and MCF7/LCC9 cells at every concentration studied. However, PLB was unable to significantly inhibit cell invasion in both MCF7/LCC2 cells and MCF7/LCC9 cells pretreated with NQO1 inhibitor and cells transfected with siNQO1 (figure 8). Thus, the inhibitory effect of PLB on cell invasion was attenuated when the NQO1 was downregulated or its activity was inhibited.

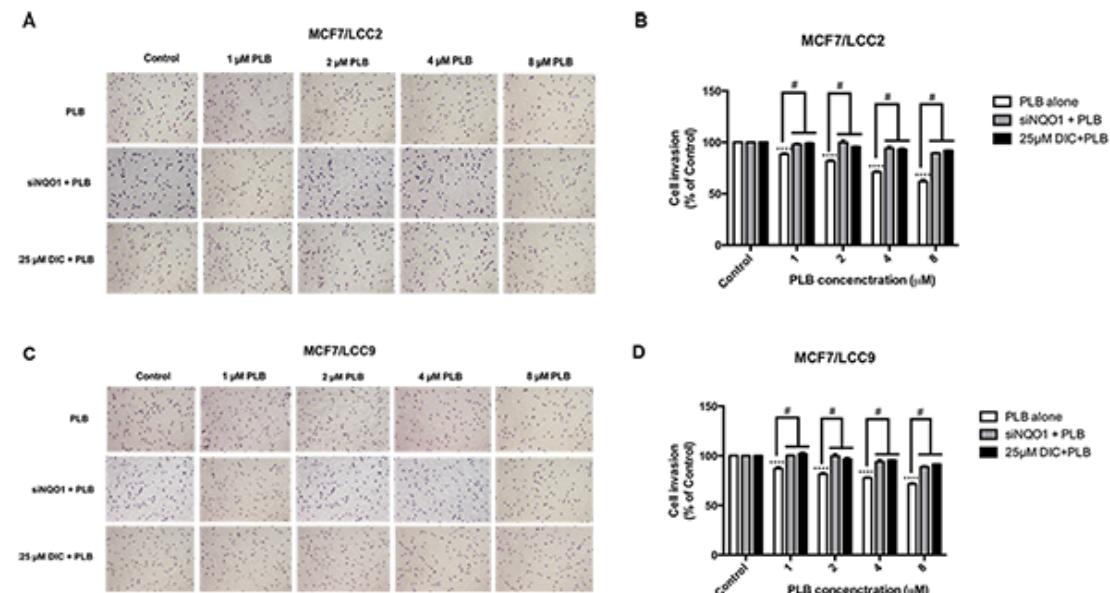


Figure 8. The inhibitory effect of PLB on cell invasion was abrogated in endocrine-resistant cells when NQO1 expression was downregulated or its activity was inhibited. The anti-invasive activity of PLB (percentage of the relative cell invasion) on MCF7/LCC2 (A and B) and MCF7/LCC9 (C and D) under the following 3 conditions: PLB alone, 25 μ M DIC pretreatment, and siNQO1 transfection (mean \pm SEM, n=3). *** represents $p < 0.0001$ vs. control (0.2% DMSO) and # represents $p < 0.05$ vs. PLB alone.

NQO1 played an important role in the inhibitory effects of plumbagin on tamoxifen resistant gene in breast cancer cells

Our previous study demonstrated the inhibitory effect of PLB on NCoA3, an ER-coactivator involved in tamoxifen-resistance (Sakunrangsit et al., 2016). We further investigated whether NQO1 was involved in the inhibitory effect of PLB on NCoA3 expression. 1 and 2 μ M of PLB significantly decreased NCoA3 mRNA expression in both MCF7/LCC2 and MCF7/LCC9 cells. This repressive effect was attenuated and the level of NCoA3 mRNA was increased in both MCF7/LCC2 and MCF7/LCC9 cells pretreated with NQO1 inhibitor and cells transfected with siNQO1 (**figure 9**).

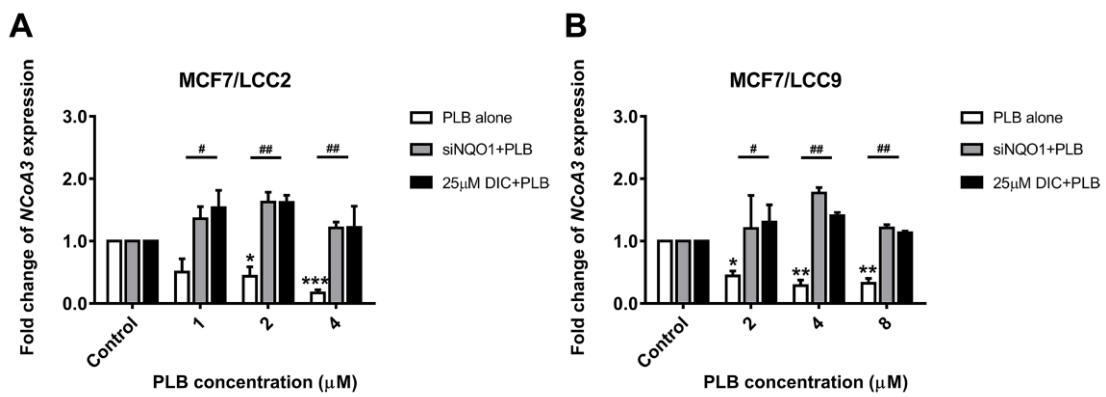


Figure 9. The inhibitory effect of PLB on tamoxifen-resistant gene was attenuated in endocrine-resistant cells when the cells were pretreated with NQO1 inhibitor or transfected with siNQO1. The *NCoA3* expression of the 2 endocrine-resistant cell lines by qRT-PCR (mean \pm SEM, n=3) for MCF7/LCC2 (A) and MCF7/LCC9 cells (B) under the following 3 conditions: PLB alone, 25 μ M DIC pretreatment, and siNQO1 transfection. * represents $p < 0.05$, ** represents $p < 0.01$ vs. control (0.2% DMSO), and # represents $p < 0.05$, ## represents $p < 0.01$ vs. PLB alone.

Output จากโครงการวิจัยที่ได้รับทุนจาก สกอ.

- ผลงานตีพิมพ์ในวารสารวิชาการนานาชาติ (ระบุชื่อผู้แต่ง ชื่อเรื่อง ชื่อวารสาร ปี เล่มที่ เลขที่ และหน้า) หรือผลงานตามที่คาดไว้ในสัญญาโครงการ

Pradubyat N., Sakunrangsit N., Mutirangura A., Ketchart W. (2019) NADPH: Quinone Oxidoreductase 1 (NQO1) Mediated Anti-Cancer Effects of Plumbagin in Endocrine Resistant Breast Cancer. *Phytomedicine*. [Manuscript submitted]

- การนำผลงานวิจัยไปใช้ประโยชน์

- เชิงวิชาการ (มีการพัฒนาการเรียนการสอน/สร้างนักวิจัยใหม่)
ได้นำผลงานวิจัยส่งเพื่อพิจารณาตีพิมพ์ในระดับนานาชาติ และ นำงานวิจัยไปพัฒนาต่อยอดการวิจัยสารสกัดจากพืชที่มีฤทธิ์ต้านมะเร็งต่อไป รวมทั้งเป็นองค์ความรู้เพื่อใช้สอนนิสิตในระดับบัณฑิตศึกษา

- อื่นๆ (เช่น ผลงานตีพิมพ์ในวารสารวิชาการในประเทศ การเสนอผลงานในที่ประชุม วิชาการ หนังสือ การจดสิทธิบัตร)

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Keywords: plumbagin; endocrine resistance; NQO1; breast cancer

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Abstract: Background: PLB is a natural naphthoquinone compound isolated from roots of *Plumbago indica* plant. Our previous study reported the inhibitory effect of Plumbagin (PLB) on human endocrine resistant breast cancer cell growth and cell invasion.

Hypothesis/Purpose: Since PLB is a naphthoquinone compound, it can be reduced by the cytosolic NADPH: quinone oxidoreductase 1 (NQO1) enzyme. NQO1 expression is upregulated in various types of aggressive cancer including breast cancer. This study investigated the impact of NQO1 on anti-cancer effects of PLB in endocrine-resistant breast cancer cells.

Study Design: In vitro study

Methods: The roles of NQO1 in anti-cancer activity of PLB were investigated by using NQO1 knockdown cells and NQO1 inhibitor. To study the impact of NQO1 on inhibitory effects of PLB on cell viability, apoptosis, invasion and generation of ROS, MTT assays, annexin V-PE/7-ADD staining flow cytometry, matrigel invasion assays and DCFDA assays were performed in endocrine-resistant breast cancer cells. To study the mechanism of how NQO1 mediated PLB effects in tamoxifen response and apoptosis, levels of mRNA expression were evaluated by qRT-PCR.

Results: NQO1 was upregulated in endocrine-resistant cells. PLB did not change expression of NQO1 but it was able to increase NQO1 activity. The inhibitory effects of PLB on cell proliferation, cell invasion and expression of tamoxifen resistant gene were attenuated in NQO1 knockdown cells or when adding NQO1 inhibitor. Moreover, the effects of PLB to induce apoptosis and generate ROS were also decreased when inhibited NQO1 activity or decreased NQO1 expression.

Conclusion: NQO1 activity is critical for the action of PLB in endocrine-resistant breast cancer cells.

1 **NADPH: Quinone Oxidoreductase 1 (NQO1) Mediated Anti-Cancer Effects of**
2 **Plumbagin in Endocrine Resistant Breast Cancer**

3

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52

53 **Keywords:** plumbagin, endocrine resistance, NQO1, breast cancer

54

55 **Abbreviations:** DCFDA; 2',7'-Dichlorofluorescin diacetate, DIC; dicoumarol, DMSO;
56 dimethyl sulfoxide, FBS; fetal bovine serum, MEM; minimum essential medium, PLB;
57 plumbagin, ROS; reactive oxygen species, 7-AAD; 7-Aminoactinomycin D

58

59

60 **Introduction**

61 Plumbagin (PLB) is isolated from the roots of *Plumbago indica*, a medicinal plant of
62 the Plumbaginaceae family. PLB is a naphthoquinone compound that has demonstrated the
63 ability to inhibit several tumor cells from growing including breast cancer. Quinones are
64 widely found from natural products and serve as scaffold for cancer drugs. Quinone
65 compounds are reduced by Nicotinamide adenine dinucleotide phosphate (NADPH); quinone
66 oxidoreductase 1 (NQO1) enzyme that uses nicotinamide adenine dinucleotide phosphate
67 (NADH) and its reduced form, NADPH as its cofactor (Glorieux et al., 2016). The NQO1
68 enzyme reduces quinone compounds to either a stable form or unstable form. A stable form is
69 conjugated with uridine diphosphate (UDP)- glucoronic acid and excreted out of the cells. An
70 unstable form generates reactive oxygen species (ROS). Therefore, NQO1 plays two opposite
71 roles of detoxifying the metabolites or quinone intermediates while on the other hand, it can
72 also generate ROS and DNA adducts (Siegel et al., 2012). NQO1 is reported to be
73 upregulated in various types of cancer such as lung, colon, pancreas and breast cancers
74 (Cresteil and Jaiswal, 1991; Siegel et al., 1998). Thus, the overexpression of NQO1 may
75 assist the efficacy of bioactive quinone compounds. NQO1 is also reported to be involved
76 with cell invasion in lung cancer (Madajewski et al., 2016). Moreover, clinical studies
77 demonstrated a good correlation between NQO1 expression in tumor and the sensitivity to
78 quinone-based chemotherapy (Fleming et al., 2002; Ough et al., 2005). Since PLB is a
79 member of the quinone group, NQO1 enzyme may play a crucial role in inhibiting cell
80 proliferation and invasion in endocrine-resistant cells. This study aimed to investigate the
81 roles of NQO1 in the anti-cancer activity of PLB in endocrine-resistant breast cancer cells.

82

83

84 **Materials and methods**

85 **Reagents and Antibodies**

86 Plumbagin (PLB), Dicoumarol (DIC) and 3-4,5-Dimethyl-2-thiazolyl-2,5-diphenyl-
87 2H-tetrazoliumbromide (MTT) were purchased from Sigma-Aldrich (St. Louis, MO, USA).
88 PLB and DIC were dissolved in dimethyl sulfoxide (DMSO) (Sigma, USA). The
89 concentration of PLB and DIC was 15 mM and 20 mM, respectively and stored at -20°C.

90 **Maintaining endocrine resistant cell lines**

91 The MCF 7 cell line was obtained from the American Type Culture Collection
92 (Manassas, VA, USA). MCF7/LCC2 cell line is tamoxifen-resistant, while MCF7/LCC9 cell
93 line is resistant to both tamoxifen and fulvestrant. Both of these cell lines are well
94 characterized endocrine resistant cell lines and were obtained from Dr. Robert Clarke from
95 the Lombardi Cancer Center, Georgetown University (Washington DC, USA). Cells were
96 routinely cultured in Minimum Essential Media (MEM) containing 5% fetal bovine serum
97 (FBS) (Gibco, USA) and maintained at 37°C in a humidified atmosphere of 95% air and 5%
98 CO₂ incubator. Endocrine resistant cell lines were routinely checked to ensure that their
99 resistance to tamoxifen did not wane with time by using MTT assay.

100 **NQO1 siRNA transfection and NQO1 inhibitor treatment**

101 **NQO1 siRNA transfection**

102 For transient NQO1 knockdown, siRNA-NQO1 (siNQO1) or scramble control siRNA
103 was transiently transfected into MCF7/LCC2 or MCF7/LCC9 cells using Lipofectamine.
104 Cells were harvested after 24 hours and analyzed for NQO1 expression. The expression of
105 tamoxifen-resistant related genes was determined in siNQO1 transfected cells and was

106 compared to wild-type cells by western blot. Cells were counted by using cytotoxicity assay,
107 invasion assay and RT-PCR for tamoxifen resistant genes. The methods of each experiment
108 are described in detail in the following sections.

109 **NQO1 inhibitor**

110 A nontoxic concentration of dicoumarol (DIC), a NQO1 inhibitor, was assessed by
111 MTT assay. Each cell line was treated with 25 μ M of DIC for 24 hours. Cells were counted
112 by using cytotoxicity assay, invasion assay and RT-PCR for tamoxifen resistant genes.

113 **Real-time PCR**

114 siNQO1 transfected cells treated with PLB and cells pretreated with NQO1 inhibitor
115 were collected for RNA isolation. Total RNA was extracted by TRIzol reagent. One μ g of
116 total RNAs was converted to cDNA and amplified with specific primers to *NQO1* and *Nrf-2*.
117 Real-time PCR was performed using SYBR Green supermix by StepOneTM Real-Time PCR
118 System. GAPDH was used as an internal control.

119 **Western blot**

120 Cell lysates were used to perform western blots as previously described (Sakunrangsit
121 et al., 2016). Membranes were probed with NQO1 primary antibody (Cell Signaling
122 Technology, USA) overnight at 4°C and incubated with anti- mouse HRP-linked antibody in
123 blocking solution. Band intensities of the protein were detected and the blots were analyzed
124 as previously described (Sakunrangsit et al., 2016). Protein levels were normalized to the
125 matching densitometry values of the GAPDH (Cell Signaling Technology, USA) which
126 served as a loading control.

127

128 **NQO1 activity assay**

129 MCF7, MCF7/LCC2 or MCF7/LCC9 cells and siNQO1 transfected cells were treated
130 with PLB for 6 h and then assayed to determine the activity of NQO1 enzyme. Cell pellets
131 were collected from each cell line. Each pellet had 2×10^5 cells. Pellets were solubilized,
132 extracted and measured for total protein by using NanoDrop One (Thermo Scientific, USA).
133 NQO1 activity was evaluated by NQO1 activity assay kit (Abcam, Cambridge, MA, USA)
134 (Madajewski et al., 2016). Absorbance of each sample was immediately measured at 440 nm
135 by a microplate reader.

136 **MTT assay**

137 MCF7, MCF7/LCC2, and MCF7/LCC9 cells were seeded at a density of 5×10^3 cells
138 per well into 96-well plates and maintained at 37°C in a humidified atmosphere of 95% air
139 and 5% CO₂ incubator for overnight. Cells were washed and treated with various
140 concentrations of PLB with and without NQO1 inhibitor for 24 and 48 h. siNQO1 transfected
141 cells were also seeded and treated with PLB. MTT solution (5 mg/mL) in phosphate buffered
142 saline (PBS) was added to each well and maintained for 4 h at 37°C in an incubator. The
143 MTT assays were performed and analyzed as previously described (Sakunrangsit et al.,
144 2016).

145 **DCFDA assay**

146 MCF7, MCF7/LCC2, and MCF7/LCC9 cells were labeled with 2',7'-
147 Dichlorofluorescin diacetate (DCFDA) (20 μ M) and then incubated for an additional 24
148 hours with PLB and PLB in combination with NQO1 inhibitor according to the protocol.
149 siNQO1 transfected cells were also treated with PLB. Cells were analyzed on a fluorescent
150 plate reader. PLB oxidizes DCFDA to fluorescent DCF which mimics ROS activity.

151 **Apoptotic assay**

152 MCF7, MCF7/LCC2, and MCF7/LCC9 cells treated with PLB alone and PLB in
153 combination with NQO1 inhibitor were collected and stained with fluorescein isothiocyanate-
154 labeled annexin V-PE and 7-Aminoactinomycin D (7-AAD). siNQO1 transfected cells were
155 also treated with PLB and stained with annexin V-PE/7-ADD. Fluorescence flow cytometry
156 was used to analyze viable, apoptotic and dead cells. The expression levels of the anti-
157 apoptotic genes such as *BCL-2* and *BCL-xL* were determined by RT-PCR.

158 **Invasion assay**

159 Cell invasion assay was performed using 8 μ m pore size of transwell invasion inserts
160 and 24-well plates (Corning, USA). MCF7/LCC2 and MCF7/LCC9 cells were treated with a
161 fixed concentration of PLB or 0.1% DMSO (vehicle control) with or without NQO1
162 inhibitor. siNQO1 transfected cells were treated with PLB. The invasion assays were
163 performed as previously described (Sakunrangsit et al., 2016).

164 **Statistical analysis**

165 Data from at least three independent experiments of which each experiment was done
166 in triplicates were represented as mean \pm SEM. Student's t-test was used to detect any
167 significant differences between the two groups. Comparisons of multiple groups were
168 determined by one-way ANOVA followed by the Tukey's test. The statistical analysis was
169 calculated by SPSS 22.0 software (Chicago, IL, USA). *P*- value < 0.05 was considered to be
170 statistically significant.

171

172

173 **Results**

174 **Plumbagin had no effect on NQO1 expression but was able to increase NQO1 activity in**
175 **endocrine-resistant breast cancer cells**

176 The NQO1 mRNA level was significantly higher in the endocrine-resistant cell lines
177 whereas NQO1 protein expression was significantly higher by 2.5 fold in only tamoxifen and
178 fulvestrant-resistant MCF7/LCC9 cells (**figure 1A**). PLB did not significantly change the
179 NQO1 protein expression in any of the three cell lines (**figure 1B**).

180 The activity of NQO1 was higher in endocrine resistant cells (**figure 2A**). PLB
181 significantly increased the NQO1 activity in the endocrine resistant MCF7/LCC2 and
182 MCF7/LCC9 cells when compared to β -lapachone which was the other quinone compound
183 used in the study (**figure 2C-D**). The activity of NQO1 was lower in siNQO1 transfected
184 endocrine-resistant cells when treated with PLB (**figure 2C-D**). This effect was also observed
185 in siNQO1 transfected wild-type MCF7 cells when the highest concentration of PLB (4 μ M)
186 was used (**figure 2B**).

187 PLB has an inhibitory effect on Nrf-2 transcription factor that regulates NQO1 in
188 squamous cell cancer (Pan et al., 2015). The Nrf-2 mRNA expression was over-expressed in
189 the endocrine-resistant cells (**Supplementary figure 3A**). PLB significantly decreased the
190 Nrf-2 expression of MCF7, MCF7/LCC2 and MCF7/LCC9 cells. However, the
191 downregulation of NQO1 or inhibition of NQO1 activity attenuated the inhibitory effect of
192 PLB on Nrf-2 expression in all cell lines (**Supplementary figure 3B-D**).

193

194

195 **Downregulation of NQO1 or inhibition of its activity resulted in attenuation of the**
196 **inhibitory effects of plumbagin on cell proliferation**

197 The cytotoxic activity of PLB was lower in cells that were pretreated with NQO1
198 inhibitor or transfected with siNQO1. The IC₅₀ of PLB in MCF-7 cells was 1.75 compared to
199 4.31 μ M in MCF7 cells pretreated with NQO1 inhibitor and 2.03 μ M in MCF7 cells
200 transfected with siNQO1. The MCF7/LCC2 and MCF7/LCC9 cells showed the same pattern
201 (**figure 3 and table 1**). These results showed that downregulation of NQO1 or inhibition of
202 NQO1 activity attenuated the effects of PLB on cell proliferation in all cell lines.

203 **NQO1 regulated the inhibitory effect of plumbagin on cell apoptosis and production of**
204 **ROS in breast cancer cells**

205 The effect of NQO1 on PLB induced-apoptosis was determined by annexin V-PE/7-
206 ADD staining. PLB significantly induced cell apoptosis when used at 2, 4 and 8 μ M in
207 MCF7, and at 4 and 8 μ M in MCF7/LCC2 as well as MCF7/LCC9 cells. However, when the
208 highest concentration of PLB (8 μ M) was used, it was able to induce apoptosis in siNQO1
209 transfected cells and MCF7/LCC2 and MCF7/LCC9 cells that were pretreated with NQO1
210 inhibitor (**figure 4**).

211 PLB decreased the mRNA expression of anti-apoptotic genes. The *BCL-2* mRNA
212 expression was lower in MCF7/LCC2 cells treated with 2 and 4 μ M of PLB and in
213 MCF7/LCC9 cells treated with 2, 4 and 8 μ M of PLB. The *BCL-xL* mRNA expression was
214 lower in MCF7/LCC2 cells treated with 2 and 4 μ M of PLB and in MCF7/LCC9 cells with 4
215 and 8 μ M of PLB. However, the effect of PLB in MCF7 cells was not significant (**figure 5**).
216 The repressive effect of PLB was attenuated in the pretreated cells with NQO1 inhibitor and
217 cells that were transfected with siNQO1. The levels of anti-apoptotic genes in the inhibitor-
218 treated and knockdown NQO1 groups were also up-regulated when compared to the same

219 PLB treatment (**figure 5**). The study on cytotoxicity of PLB in ER-positive cell line (MCF-7
220 cells) showed that there was an inhibition of PI-5 kinase which is involved in the generation
221 of ROS (Lee et al., 2012). NQO1 was shown to metabolize other types of quinone
222 compounds as well as generate ROS and DNA adducts (Siegel et al., 2012). The association
223 between the depletion of NQO1 and ROS formation has been reported to affect cell growth in
224 A549 and H292 lung adenocarcinoma (Madajewski et al., 2016). Therefore, this property of
225 NQO1 enzyme may be involved in the anti-cancer activity of PLB. The ROS production by
226 PLB was assessed by DCFDA assay. 1, 4 and 8 μ M of PLB was able to increase the ROS
227 generation significantly in MCF7 and at all concentrations for MCF7/LCC2 and
228 MCF7/LCC9 cells. However, the ability to generate ROS by PLB was lower in MCF7/LCC2
229 and MCF7/LCC9 cells that were pretreated with NQO1 inhibitor and cells that were
230 transfected with siNQO1 (**figure 6**).

231 **NQO1 mediated the inhibitory effect of PLB on cell invasion in endocrine-resistant
232 breast cancer cells**

233 PLB has been reported to inhibit endocrine-resistant cell invasion (Sakunrangsit et al.,
234 2016). In addition, depletion of NQO1 was reported to alter cell invasion in lung cancer cells
235 (Madajewski et al., 2016). The effect of NQO1 on PLB was further studied in matrigel
236 invasion assay. PLB significantly decreased cell invasion of MCF7/LCC2 cells and
237 MCF7/LCC9 cells at every concentration studied. However, PLB was unable to significantly
238 inhibit cell invasion in both MCF7/LCC2 cells and MCF7/LCC9 cells pretreated with NQO1
239 inhibitor and cells transfected with siNQO1 (**figure 7**). Thus, the inhibitory effect of PLB on
240 cell invasion was attenuated when the NQO1 was down-regulated or its activity was
241 inhibited.

242 **NQO1 played an important role in the inhibitory effects of plumbagin on tamoxifen
243 resistant gene in breast cancer cells**

244 Our previous study demonstrated the inhibitory effect of PLB on NCoA3, an ER-
245 coactivator involved in tamoxifen-resistance (Sakunrangsit et al., 2016). We further
246 investigated whether NQO1 was involved in the inhibitory effect of PLB on NCoA3
247 expression. 1 and 2 μ M of PLB significantly decreased NCoA3 mRNA expression in both
248 MCF7/LCC2 and MCF7/LCC9 cells. This repressive effect was attenuated and the level of
249 NCoA3 mRNA was increased in both MCF7/LCC2 and MCF7/LCC9 cells pretreated with
250 NQO1 inhibitor and cells transfected with siNQO1 (**figure 8**).

251

252 **Discussion**

253 PLB significantly inhibits the growth of several tumor cells such as gastric, liver,
254 lung, pancreatic, prostate, colon and breast cancers (Ahmad et al., 2008; Chen et al., 2013;
255 Hafeez et al., 2015; Lai et al., 2012; Manu et al., 2011). PLB had no apoptotic-inducing effect
256 in normal human cells and in mice (Ahmad et al., 2008; Aziz et al., 2008; Sumsakul et al.,
257 2014). However, the efficacy of PLB has not yet been studied in human endocrine-resistant
258 breast cancer models and its mechanism of action is still unclear. Sakunrangsit et al.
259 investigated the cytotoxicity and reversal effects of PLB in human endocrine- resistant breast
260 cancer cells (Sakunrangsit et al., 2016). The inhibitory effect of PLB in endocrine-resistant
261 cells was in micromolar concentration range, which was almost the same level as wild-type
262 ER positive breast cancer cell line. Moreover, our previous study also demonstrated that PLB
263 reduced NCoA3 which is an ER co-activator that can increase the expression of tamoxifen in
264 tamoxifen resistant cells (Sakunrangsit et al., 2016).

265 NQO1 is an inducible two-electron oxidoreductase enzyme which plays vital roles in
266 phase II detoxification and bioactivation of various DNA-damaging quinone compounds
267 (Ross et al., 2000). NQO1 can function as a protective factor or anti-oxidant enzyme against
268 mutagenicity and carcinogenicity when it metabolizes certain quinone compounds or
269 carcinogens into stable metabolites that are excreted out of the body. NQO1 can also
270 metabolize other types of quinone compounds as well as generate ROS and DNA adducts
271 (Siegel et al., 2012). These properties of NQO1 enzyme may be useful for anti-cancer drugs
272 which are quinone-based compounds including PLB.

273 The expression level of NQO1 is different between normal and tumor tissues for both
274 protein level and enzyme activity (Hungermann et al., 2011). Overexpression of NQO1 in
275 oxidative stress-resistant breast cancer cells compared to the parental cell line has been
276 reported (Glorieux et al., 2016). Madajewski et al. reported the association of the depletion of
277 NQO1 with ROS formation which inhibited cell growth and decreased cell invasion in A549
278 and H292 lung adenocarcinoma (Madajewski et al., 2016). There are some clinical studies
279 which demonstrated that the NQO1 expression in tumors was associated with sensitivity to
280 quinone-based chemotherapy (Fleming et al., 2002; Ough et al., 2005). Moreover, in a recent
281 study, β -lapachone, a quinone compound, could inhibit cell invasion in NQO1-positive breast
282 cancer cells (Yang et al., 2017). In addition, PLB has been reported to inhibit Nrf-2 in
283 squamous cell carcinoma (Pan et al., 2015). Nrf-2 is a transcription factor that regulates
284 oxidative stress by activating anti-oxidant enzyme and was observed to be over-expressed in
285 cancer cells (DeNicola et al., 2011). One of the anti-oxidant genes that is regulated by Nrf-2
286 is *NQO1*. However, there was no report on the impact of NQO1 in endocrine-resistant breast
287 cancer.

288 The result of this study demonstrated that the over-expression of NQO1 was observed
289 only in fulvestrant and tamoxifen-resistant breast cancer cell line (MCF7/LCC9). In addition,

290 NQO1 activity was higher in both endocrine-resistant cell lines. Even though, PLB did not
291 alter NQO1 expression in breast cancer cells, however it increased NQO1 activity in
292 endocrine-resistant cells. These results were consistent with other experiments that was
293 conducted in endocrine-resistant cells pretreated with NQO1 inhibitor or transfected with
294 siNQO1 to knockdown the NQO1 expression. The inhibitory effects of PLB on cell
295 proliferation, cell invasion, apoptosis and the ability to generate ROS were significantly
296 attenuated in endocrine-resistant cells. Since wild-type ER-positive cells still expressed lower
297 level of NQO1, the attenuated ability was still observed in some experiments but the effects
298 were not significant when compared with the resistant cells. Moreover, PLB was able to
299 reduce NCoA3 expression in endocrine-resistant cells which is an important factor in
300 tamoxifen resistance (Sakunrangsit et al., 2016). The inhibitory effect of PLB on NCoA3
301 expression was also attenuated when NQO1 was downregulated or its activity was inhibited.
302 Therefore, the NQO1 activity may be involved in the mechanisms of endocrine resistance in
303 breast cancer. This is the first study to demonstrate the important role of NQO1 in anti-
304 hormonal resistance.

305 Although IC_{50} of PLB in MCF7/LCC2 cells was not significantly altered in cells that
306 were transfected with siNQO1, however the inhibitory effects of PLB became weak. After
307 the cells were treated with PLB, the activity of NQO1 was significantly higher even though
308 its expression did not alter. This finding suggested that the NQO1 protein in this cell line was
309 hyperactive. Therefore, this effect may have been due to the reduction of NQO1 activity
310 rather than its expression. Moreover, PLB significantly decreased Nrf-2 expression in both
311 wild-type and endocrine-resistant cells. Nrf-2 is the transcription factor that regulates NQO1
312 gene expression. However, this mechanism was not specific with endocrine-resistant cells
313 since the same effect was also observed in wild-type MCF7 cells.

314 In summary, NQO1 is crucial for PLB's anti-cancer effects because PLB is the
315 substrate of NQO1 enzyme that can be reduced to become an unstable form that generates
316 ROS in endocrine-resistant cells. This in turn makes PLB a better anti-cancer agent against
317 endocrine-resistant breast cancer cells. Thus, the results of this study provide a new insight
318 for the treatment of not only tamoxifen-resistant breast cancer, but also other over-expressed
319 NQO1 cancers. Further studies of PLB's molecular mechanism and animal models are still
320 required to support the use of PLB as a treatment option for endocrine-resistant breast cancer
321 patients.

322

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332

333 **Conflict of interest**

334 The authors declare that there is no conflict of interest.

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428

429

430 **Table 1.** Comparison of IC₅₀ of the 3 cell lines in 3 conditions; PLB alone, 25 μ M DIC
431 pretreatment, and siNQO1 transfection after PLB treatment (n = 3).

432

433 **Figure 1. NQO1 expression and activity in the endocrine-resistant cell lines. A.** Basal
434 levels of NQO1 protein in wild-type MCF7, endocrine resistant breast cancer MCF7/LCC2
435 and MCF7/LCC9 cells are shown by Western blot. The data were normalized to GAPDH
436 expression. The comparison of NQO1 expression of the 3 cell lines (mean \pm SEM, n=3) are
437 shown in the bar chart. * represents $p < 0.05$ vs. MCF7 cells. **B.** The levels of NQO1 after
438 PLB treatment of the 3 cell lines (0.2% DMSO was used as the control and 1 μ M β -
439 lapachone (β -Lap) was used as the positive control, mean \pm SEM, n=3)

440

441 **Figure 2. The NQO1 enzymatic activity was increased by plumbagin in endocrine-
442 resistant cells.** (A) The NQO1 activities of the 3 cell lines at baseline (mean \pm SEM, n=3). **
443 represents $p < 0.01$ vs. MCF7 cells. The NQO1 activities of the 3 cell lines and cells
444 transfected with siNQO1 after PLB treatment: MCF7 cells (B), MCF7/LCC2 (C) and
445 MCF7/LCC9 (D) cells. * represents $p < 0.05$, ** represents $p < 0.01$, *** represents $p <$
446 0.001 vs. control (0.2% DMSO), and ## represents $p < 0.01$, ### represents $p < 0.001$ vs. PLB
447 alone.

448

449 **Figure 3. The inhibitory effect of PLB on cell proliferation was attenuated in endocrine-
450 resistant cells when the cells were transfected with siNQO1 or pretreated with NQO1
451 inhibitor.** The bar chart illustrates the cell viability (mean \pm SEM, n=3) of the 3 cell lines
452 after PLB treatment for MCF7 (A), MCF7/LCC2 (B) and MCF7/LCC9 (C) under the
453 following 3 conditions: PLB alone, 25 μ M DIC pretreatment, and siNQO1 transfection. *
454 represents $p < 0.05$ vs. control (0.2% DMSO), # represents $p < 0.05$ vs. PLB alone.

455

456 **Figure 4. NQO1 was important for the inhibitory effect of PLB on cell apoptosis in
457 endocrine-resistant cells when NQO1 expression was downregulated or its activity was
458 inhibited.** The flow cytogram and the percentage of cell apoptosis after MCF7 (A and B),
459 MCF7/LCC2 (C and D), and MCF7/LCC9 (E and F) were treated with PLB (mean \pm SEM,
460 n=3) under the following 3 conditions: PLB alone, 25 μ M DIC pretreatment, and siNQO1
461 transfection. * represents $p < 0.05$, *** represents $p < 0.001$ and **** represents $p < 0.0001$
462 vs. control (0.2% DMSO), and # represents $p < 0.05$ vs. PLB alone.

463 **Figure 5. The inhibitory effects of PLB on apoptotic-related genes were lower in**
464 **endocrine-resistant cells when NQO1 expression was downregulated or its activity was**
465 **inhibited.** The expression of *Bcl-2* gene by qRT-PCR for MCF7 (A), MCF7/LCC2 (B),
466 MCF7/LCC9 cells (C) (mean \pm SEM, n=3) under the following 3 conditions: PLB alone, 25
467 μ M DIC pretreatment, and siNQO1 transfection. The *Bcl-xL* expression of MCF7 (D),
468 MCF7/LCC2 (E), MCF7/LCC9 cells (F) under the following 3 conditions: PLB alone, 25 μ M
469 DIC pretreatment, and siNQO1 transfection. * represents $p < 0.05$, ** represents $p < 0.01$ and
470 *** represents $p < 0.001$ vs. control (0.2% DMSO), and # represents $p < 0.05$, ## represents p
471 < 0.01 vs. PLB alone.

472

473 **Figure 6. The ability of PLB to generate ROS was attenuated in endocrine-resistant cells**
474 **when NQO1 expression was downregulated or its activity was inhibited.** The comparison
475 of PLB-induced reactive oxygen species (ROS) generation in MCF7 (A), MCF7/LCC2 (B)
476 and MCF7/LCC9 (C) cells under the following 3 conditions: PLB alone, 25 μ M DIC
477 pretreatment, and siNQO1 transfection by DCFDA assay (means \pm SEM, n = 3) * represents
478 $p < 0.05$, ** represents $p < 0.01$ vs. control (0.2% DMSO), and # represents $p < 0.05$ vs. PLB
479 alone.

480

481 **Figure 7. The inhibitory effect of PLB on cell invasion was abrogated in endocrine-**
482 **resistant cells when NQO1 expression was downregulated or its activity was inhibited.**
483 The anti-invasive activity of PLB (percentage of the relative cell invasion) on MCF7/LCC2
484 (A and B) and MCF7/LCC9 (C and D) under the following 3 conditions: PLB alone, 25 μ M
485 DIC pretreatment, and siNQO1 transfection (mean \pm SEM, n=3). **** represents $p < 0.0001$
486 vs. control (0.2% DMSO) and # represents $p < 0.05$ vs. PLB alone.

487

488 **Figure 8. The inhibitory effect of PLB on tamoxifen-resistant gene was attenuated in**
489 **endocrine-resistant cells when the cells were pretreated with NQO1 inhibitor or**
490 **transfected with siNQO1.** The *NCaO3* expression of the 2 endocrine-resistant cell lines by
491 qRT-PCR (mean \pm SEM, n=3) for MCF7/LCC2 (A) and MCF7/LCC9 cells (B) under the
492 following 3 conditions: PLB alone, 25 μ M DIC pretreatment, and siNQO1 transfection. *
493 represents $p < 0.05$, ** represents $p < 0.01$ vs. control (0.2% DMSO), and # represents $p <$
494 ## represents $p < 0.01$ vs. PLB alone.

Table1

Conditions	IC₅₀ (μM) MCF7	IC₅₀ (μM) MCF7/LCC2	IC₅₀ (μM) MCF7/LCC9
PLB alone	1.75	1.72	2.14
siNQO1 + PLB	2.03	2.55	4.15
Dicoumarol + PLB	4.31	4.30	4.11

Figure1

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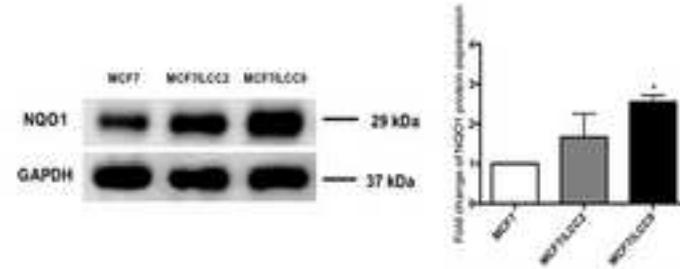
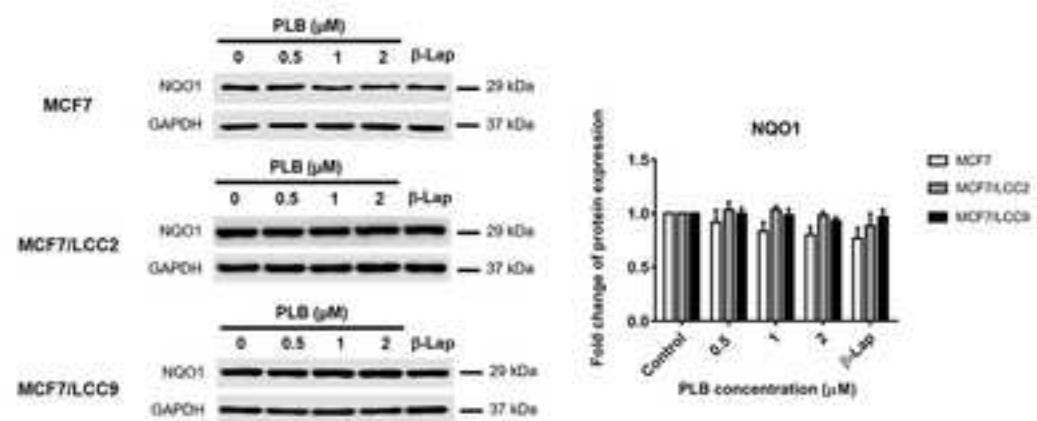
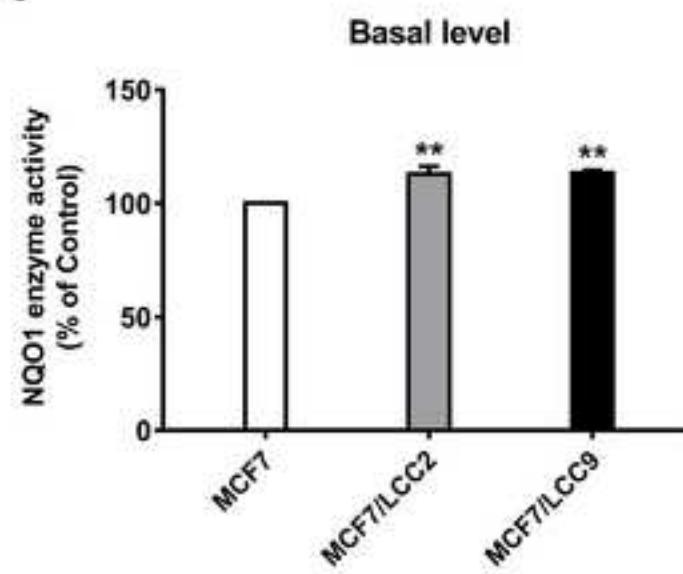
A**B**

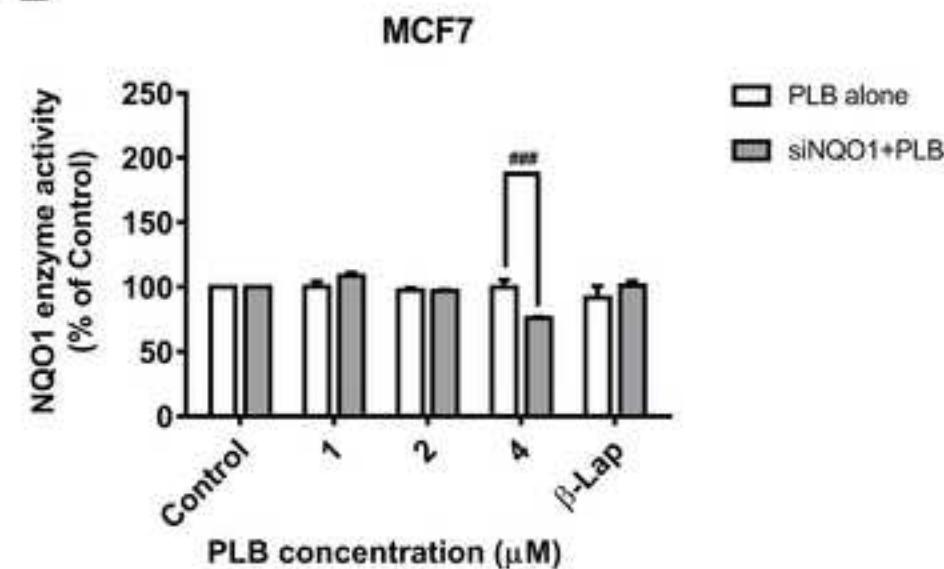
Figure 2

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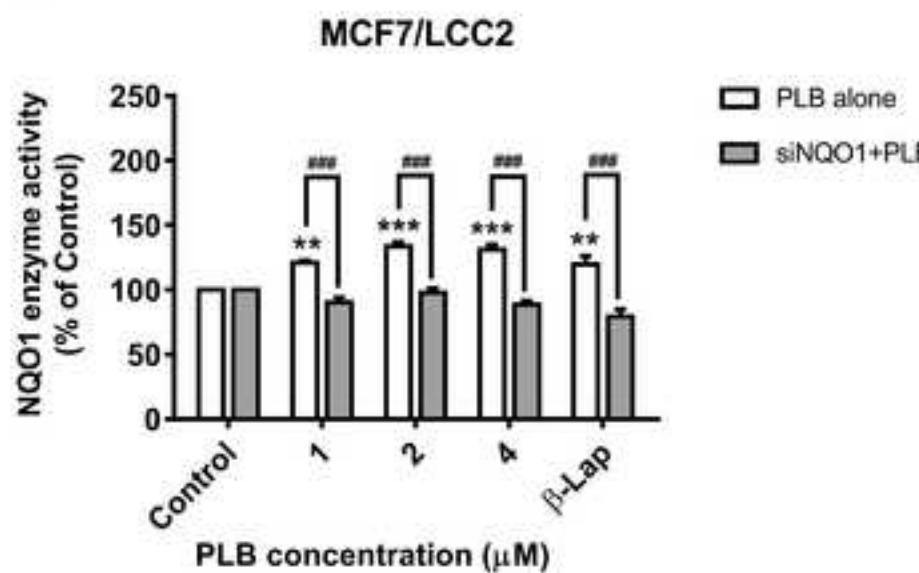
A



B



C



D

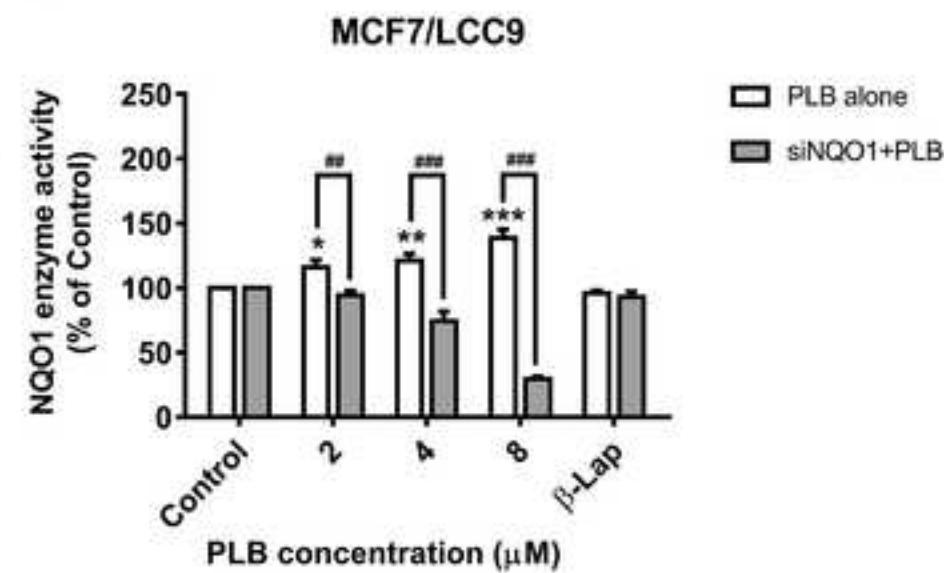
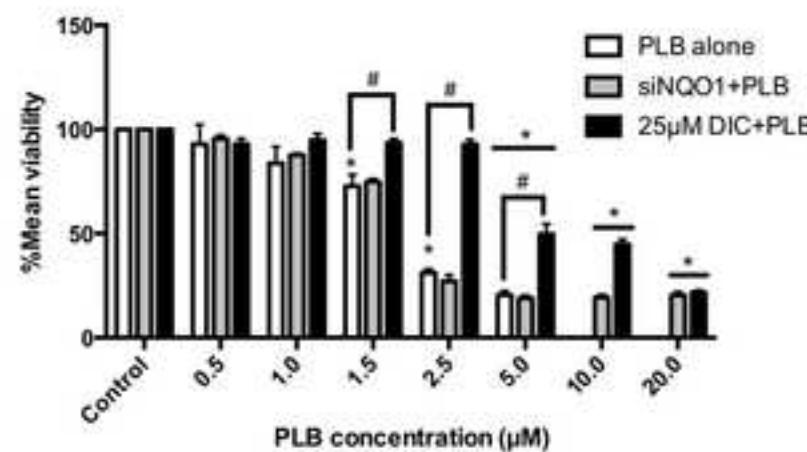


Figure3

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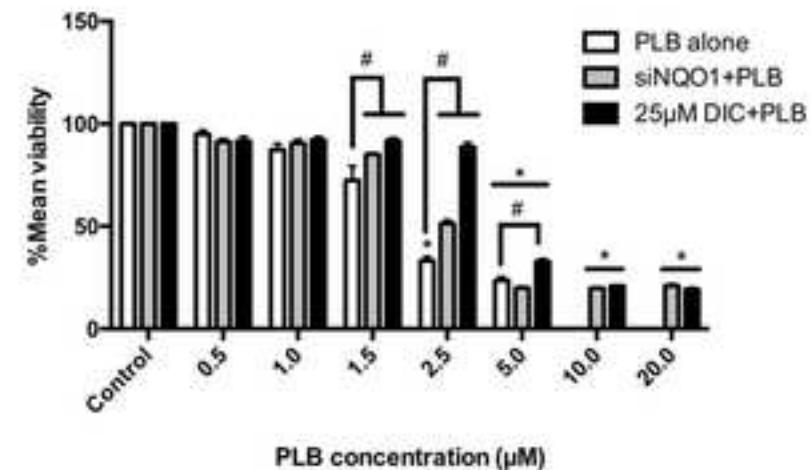
A

MCF7



B

MCF7/LCC2



C

MCF7/LCC9

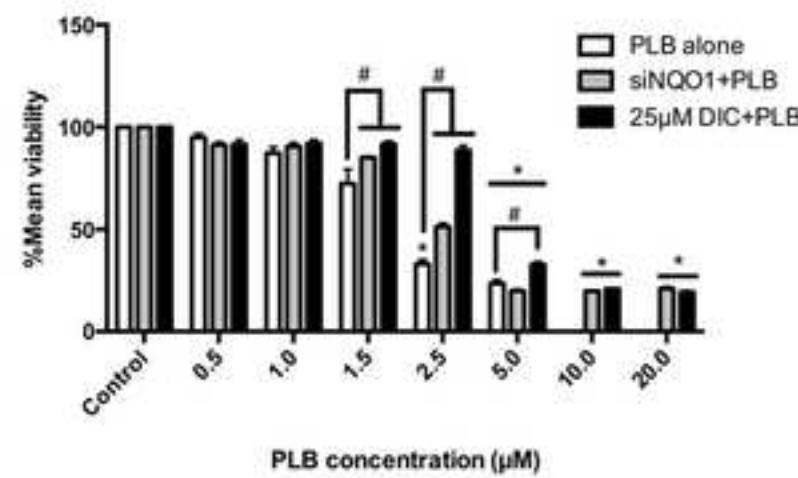


Figure4

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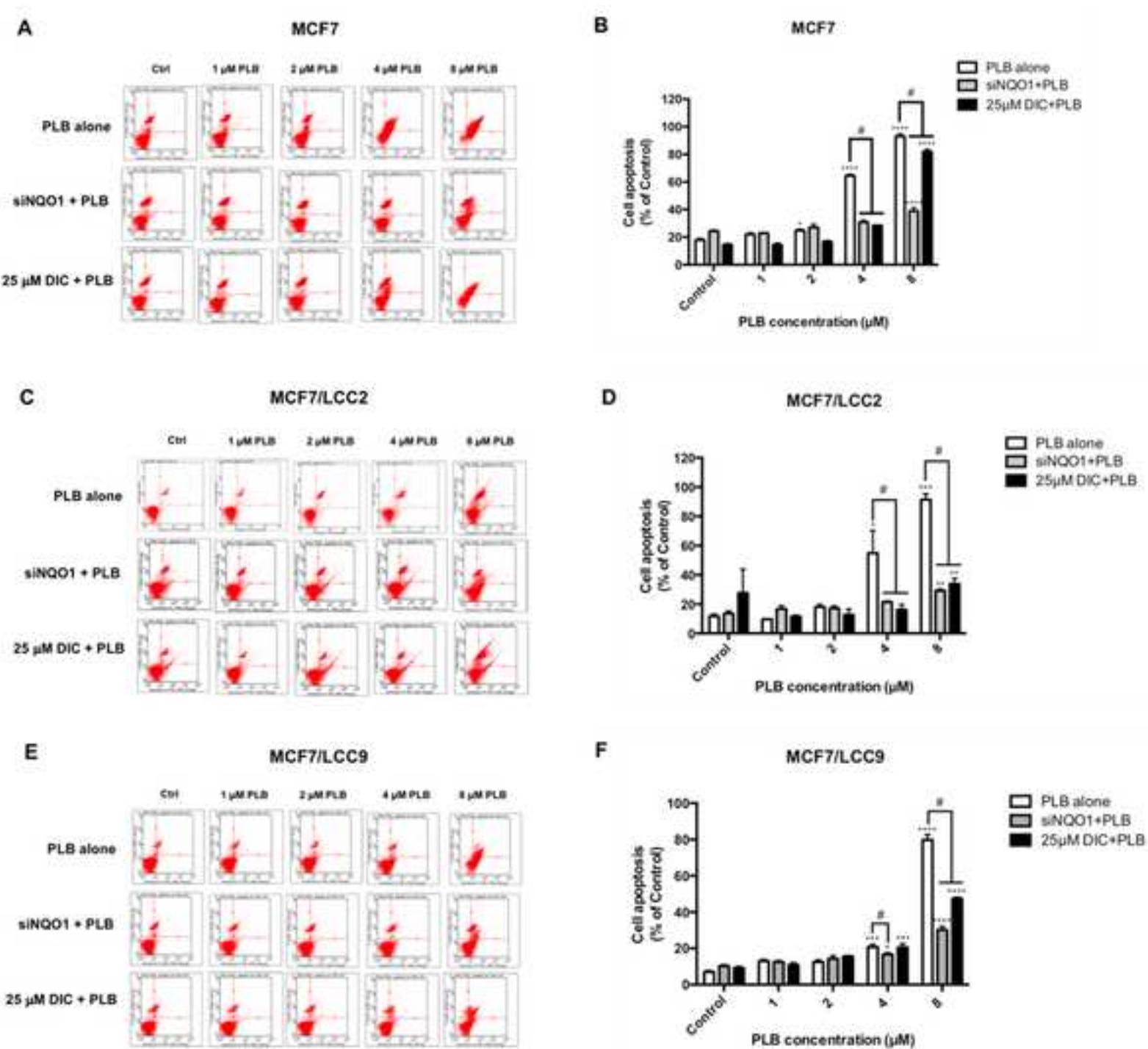


Figure 5

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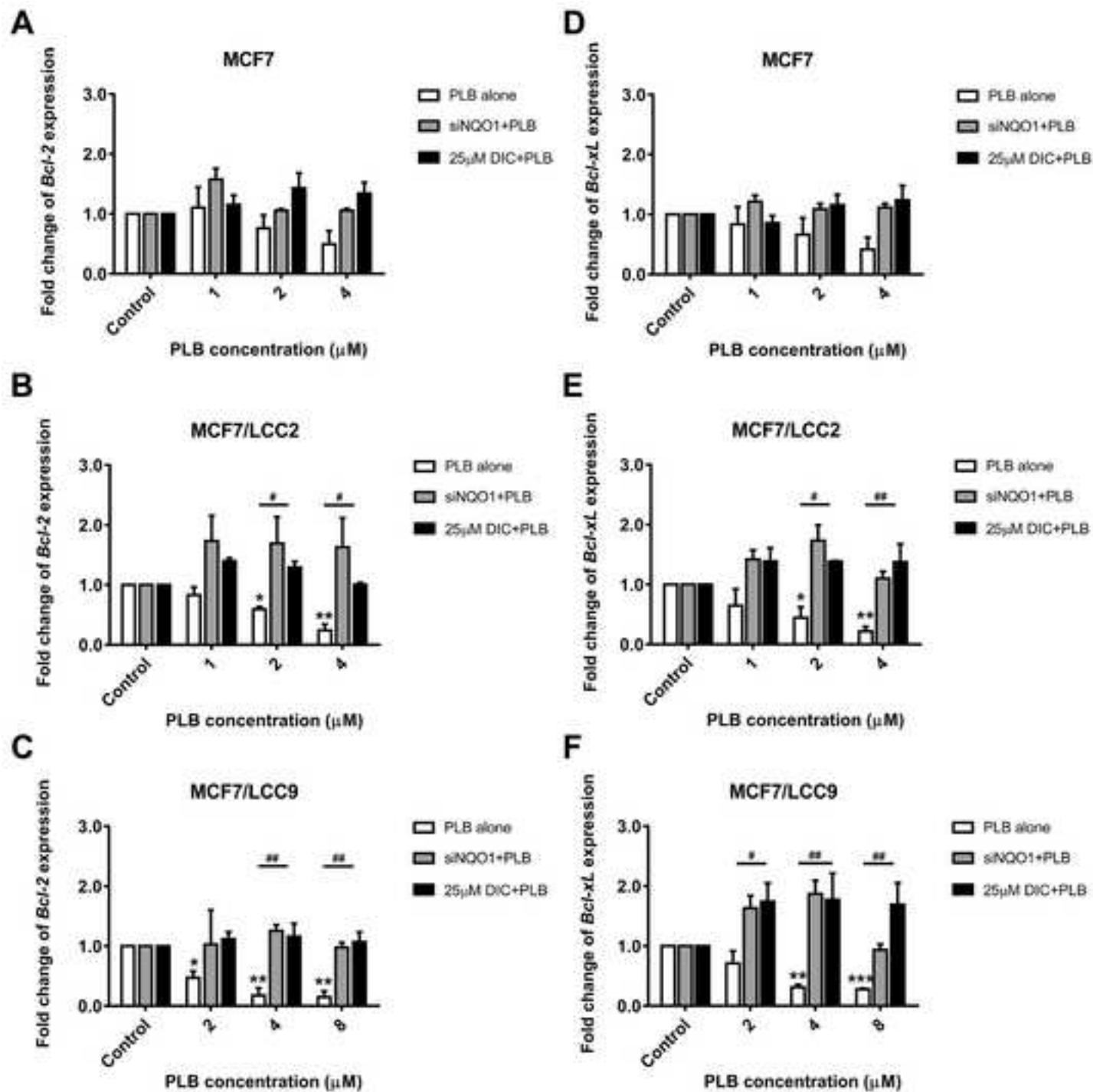


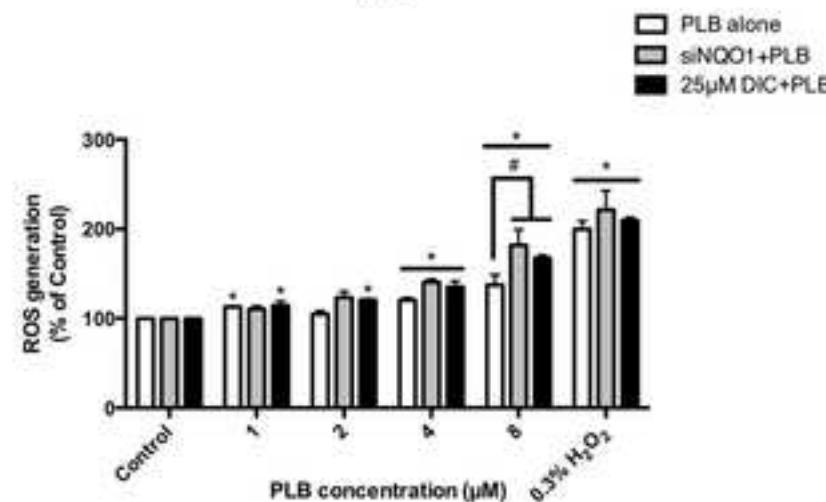
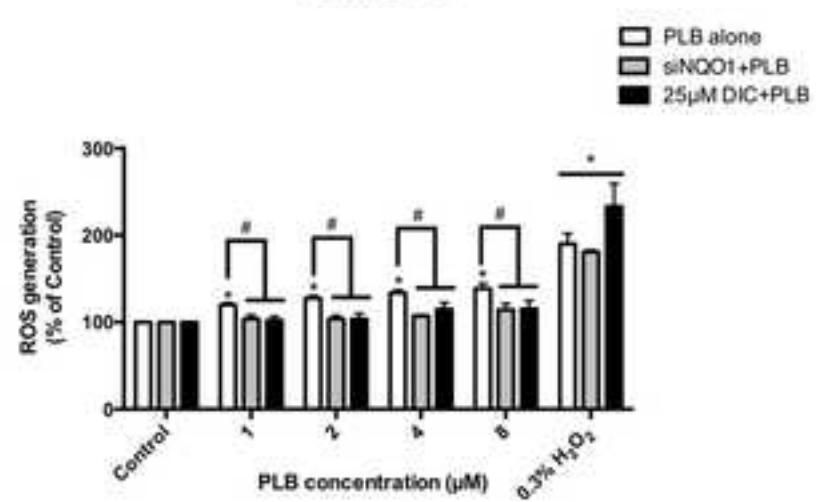
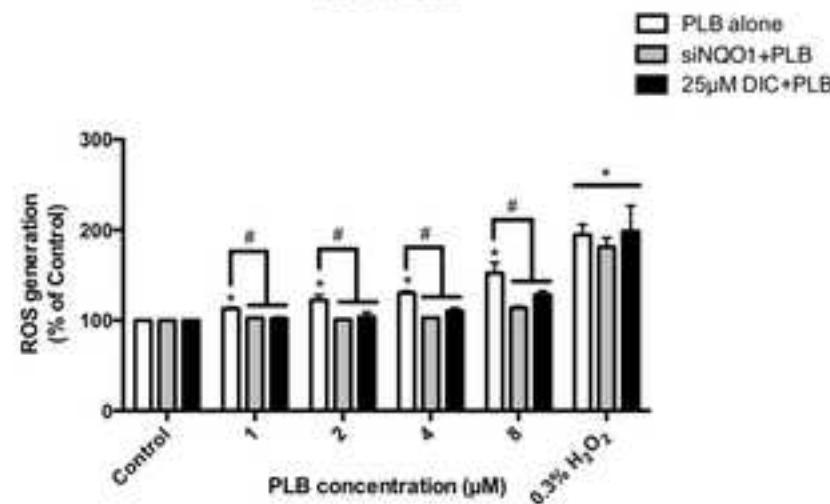
Figure 6[Click here to download high resolution image](#)**A****MCF7****B****MCF7/LCC2****C****MCF7/LCC9**

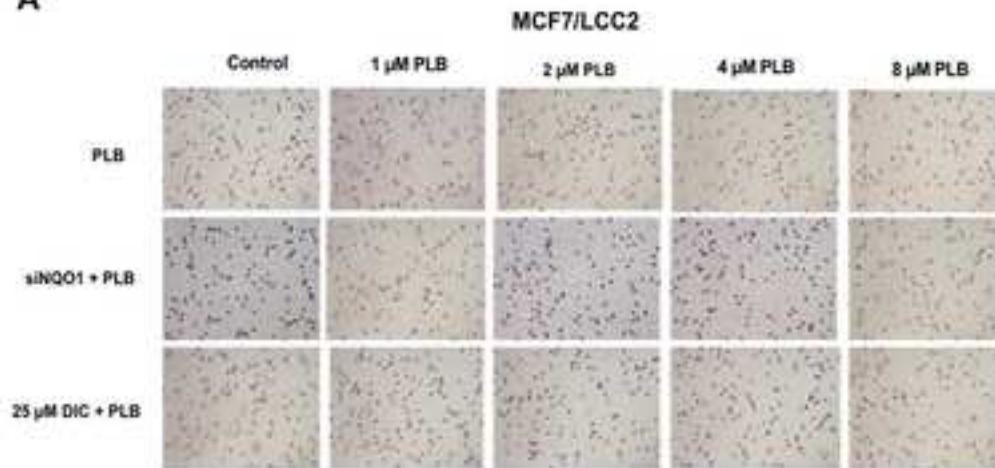
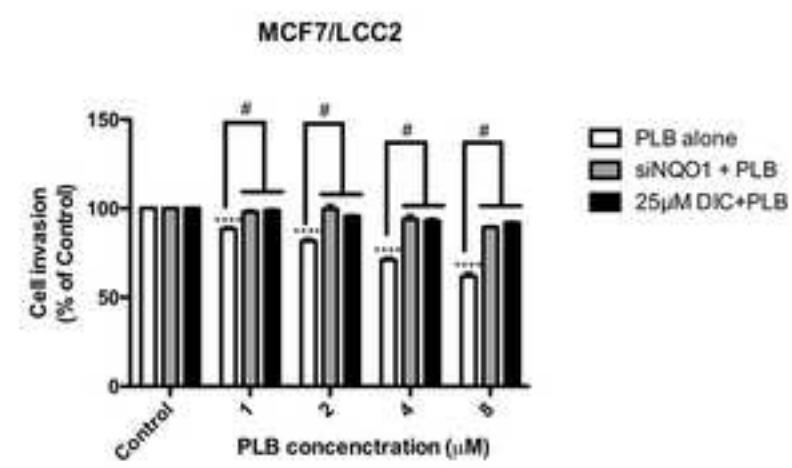
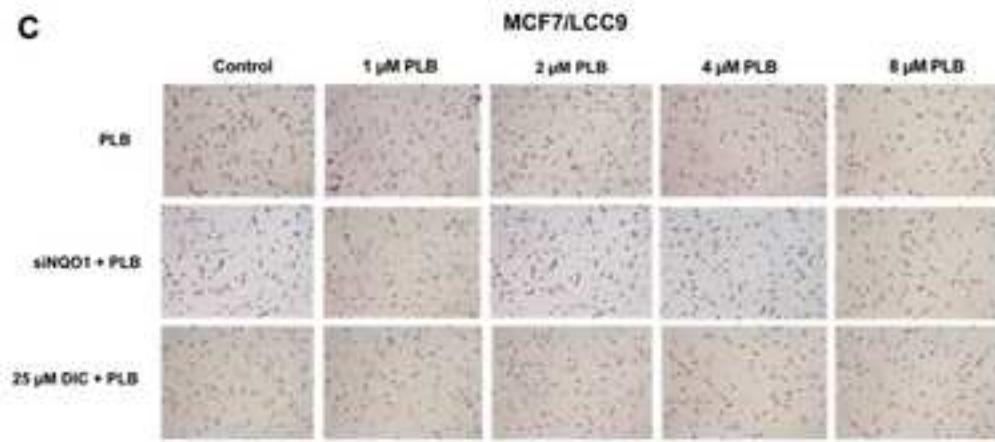
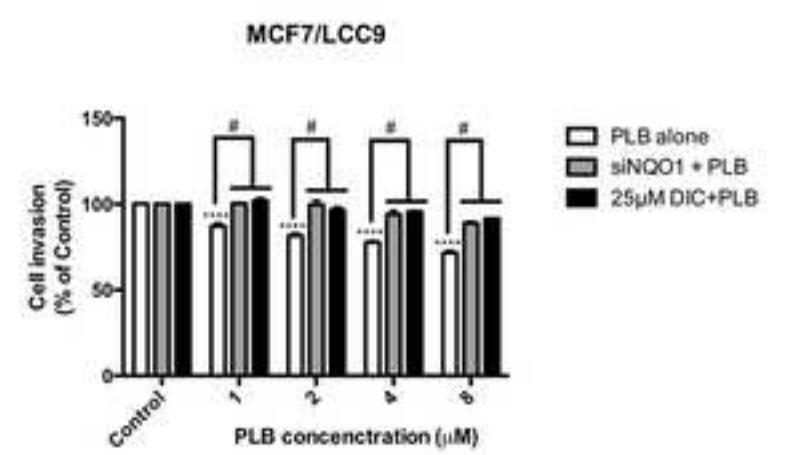
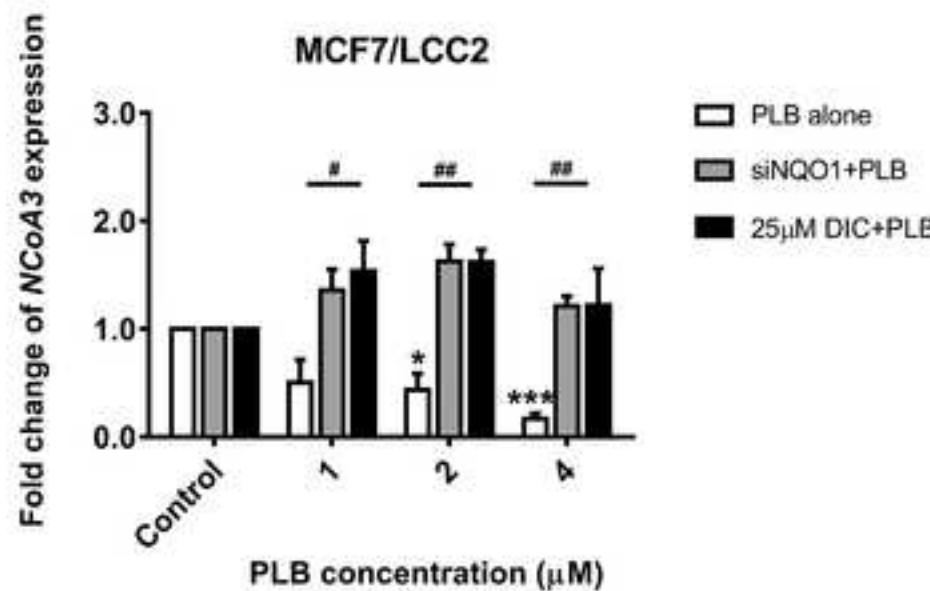
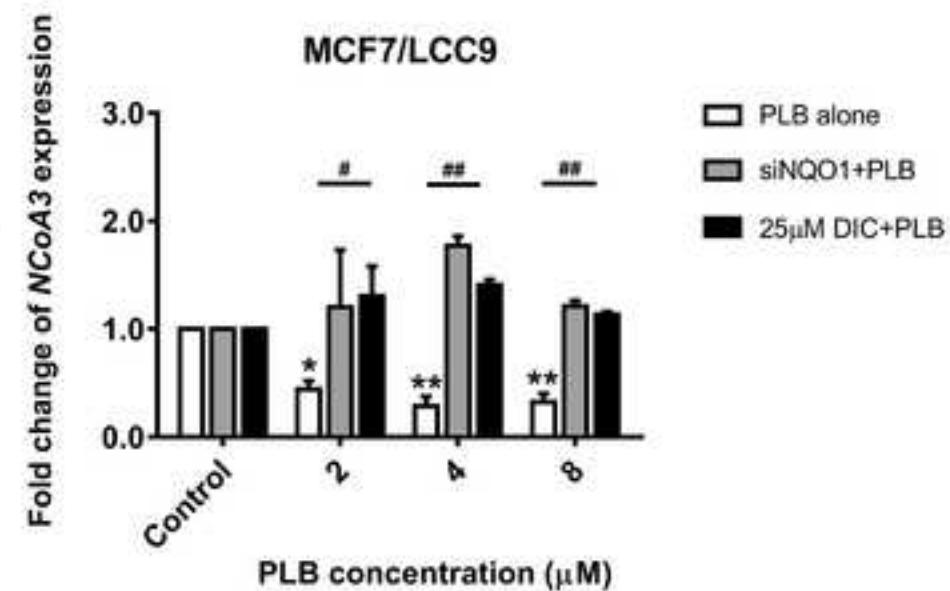
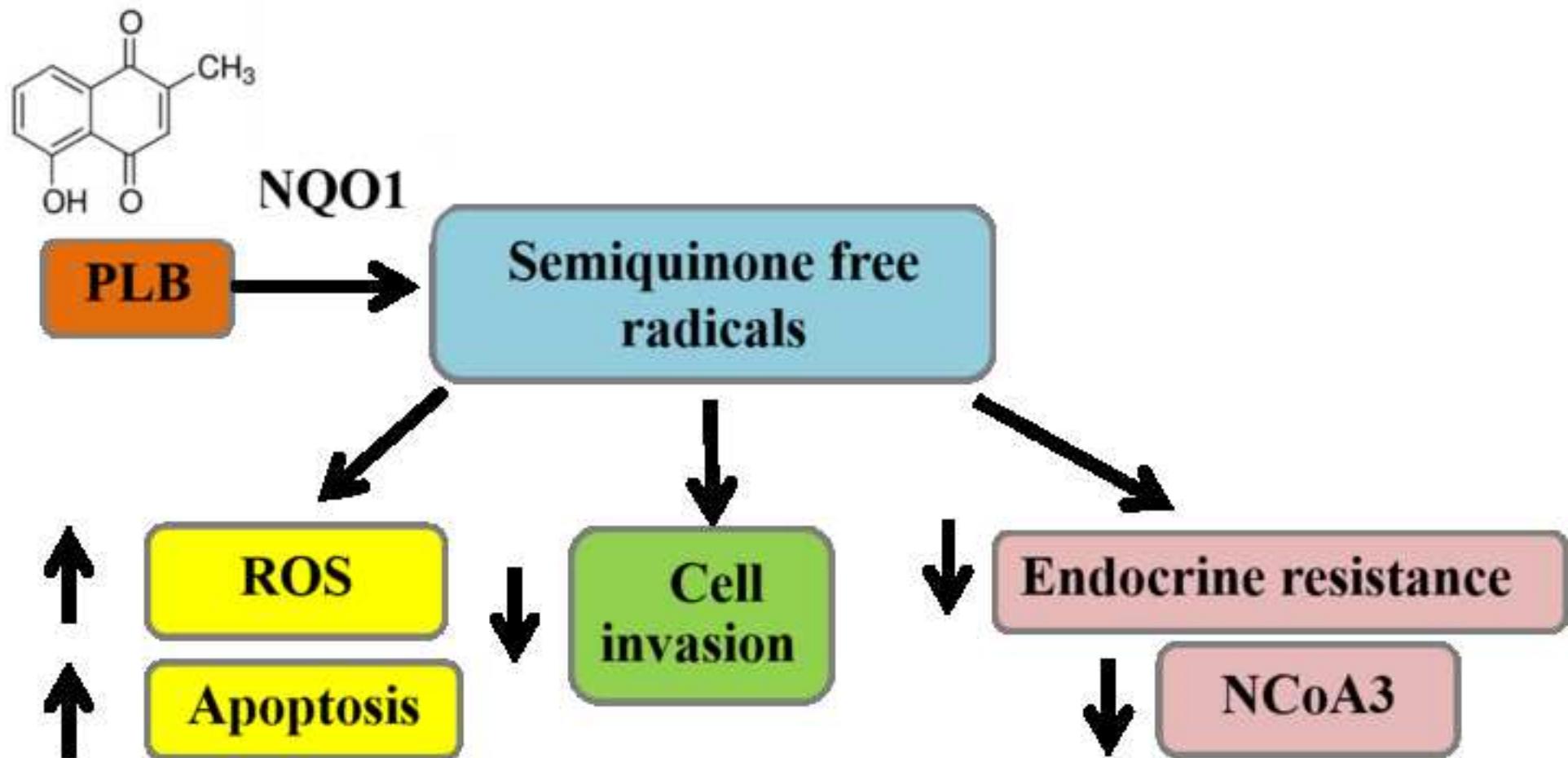
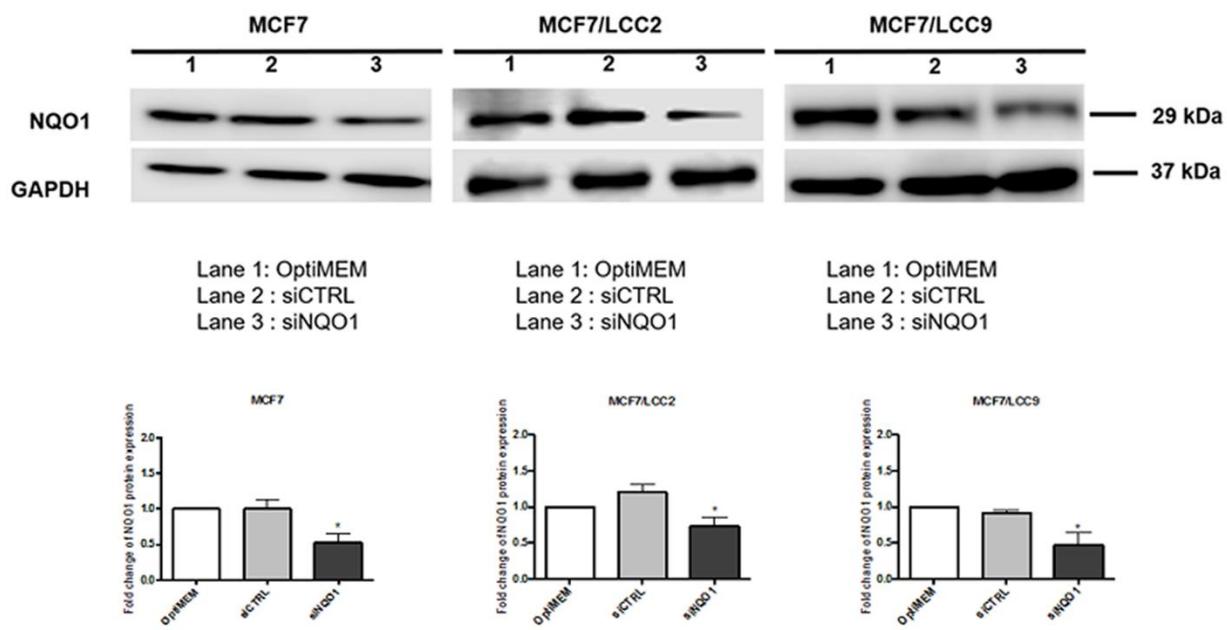
Figure7[Click here to download high resolution image](#)**A****B****C****D**

Figure8

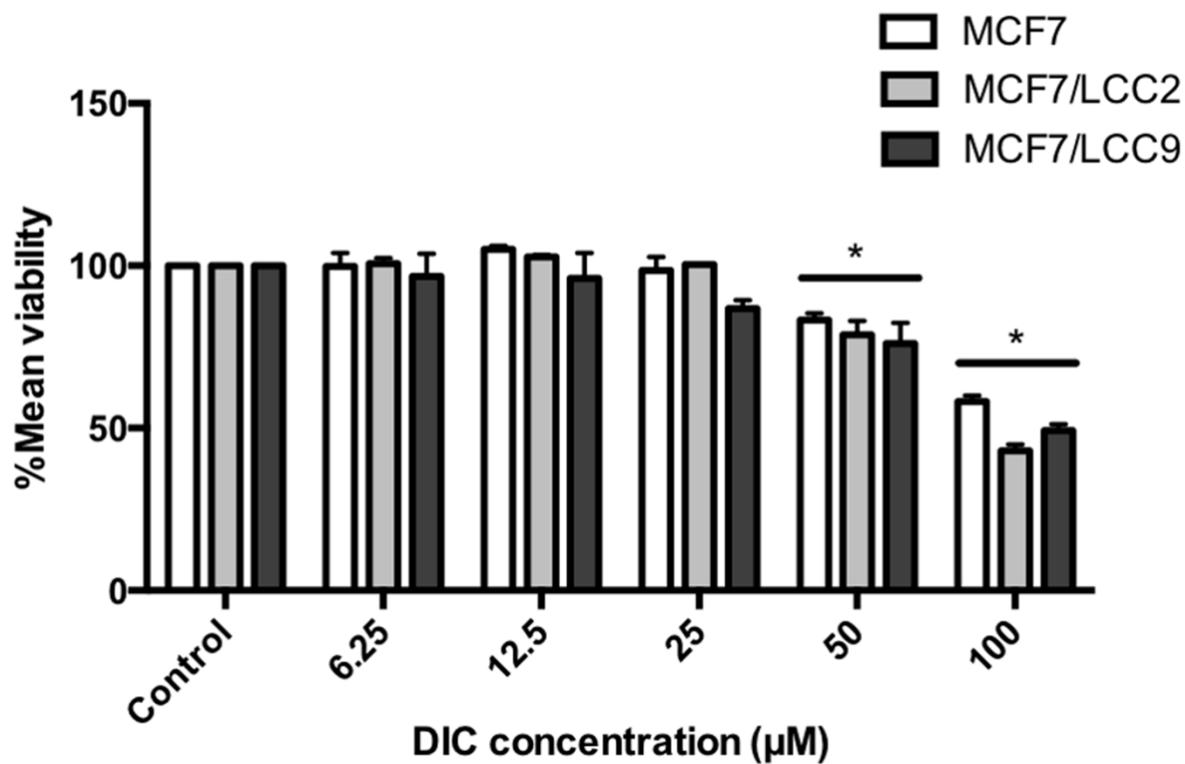
[Click here to download high resolution image](#)**A****B**



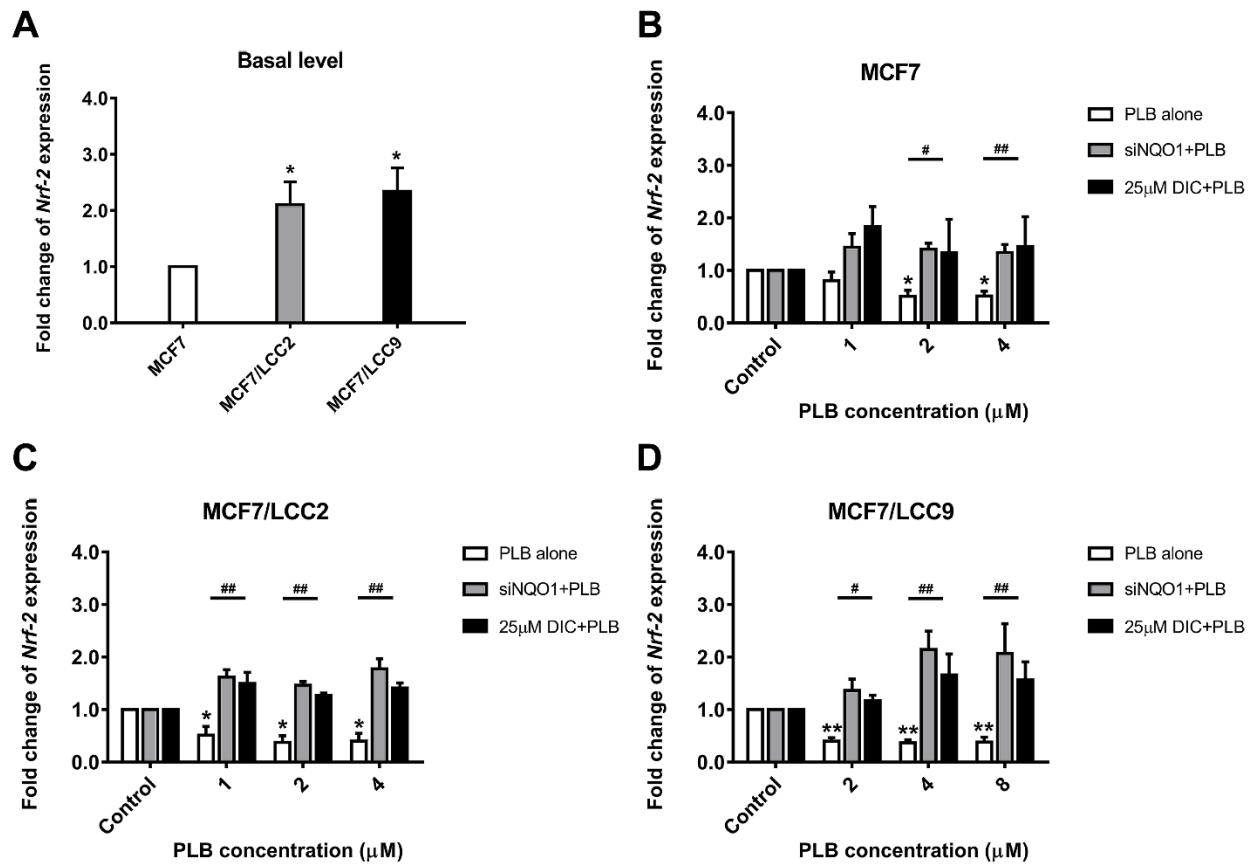
Supplementary figures



Supplementary figure 1. The level of NQO1 protein expression of the 3 cell lines after 24 hours of transfection with siNQO1 and negative control siRNA transfection using 1.5 μ M of lipofectamine. The efficiency of the NQO1 knockdown by transient NQO1 siRNA transfection was confirmed by evaluating the levels of the protein expression. * represents $p < 0.05$ and ** represents $p < 0.01$ vs. siCTRL.



Supplementary figure 2. Dicoumarol (DIC) is NQO1 inhibitor and is not toxic to breast cancer cells. The bar chart illustrates mean percentage and standard error of the mean of cell viability of MCF7 cells against DIC at increasing concentrations after 48 h incubation (mean \pm SEM, n=3). The IC₅₀ of DIC on MCF7, MCF7/LCC2, and MCF7/LCC9 is >100 μ M, 88.50 μ M \pm 3.40 , and 98.76 μ M \pm 3.03 , respectively. * represents $p < 0.05$ vs. control (0.2% DMSO).



Supplementary figure 3. The inhibitory effect of PLB on *Nrf-2* expression was lower when the NQO1 was downregulated or its activity was inhibited. (A) The baseline *Nrf-2* levels for the 3 cell lines by qRT-PCR analysis (mean \pm SEM, n=3). * represents $p < 0.05$ vs MCF7 cells. The *Nrf-2* expression for PLB alone, 25 μ M DIC pretreatment, and 24 h after all 3 cell lines were transfected with siNQO1: MCF7 (B) MCF7/LCC2 (C) and MCF7/LCC9 (D) cells.

* represents $p < 0.05$, ** represents $p < 0.01$ vs. control (0.2% DMSO), and # represents $p < 0.05$, ## represents $p < 0.01$ vs. PLB alone.