



(a)



(b)

Fig. 2. The coated RF antenna inside the discharge chamber of the multicusp field ion source: (a) no RF power; (b) with 120 W RF input and oxygen gas.

function of RF power. It can be seen that the output current increases linearly with RF power. At 500 W RF input, the ion-current densities for argon and helium are 29 and 27 mA cm⁻², respectively. The reason for obtaining a higher argon current than that of helium is probably due to the fact that argon has a lower ionization potential (15.75 eV) than helium (24.58 eV).

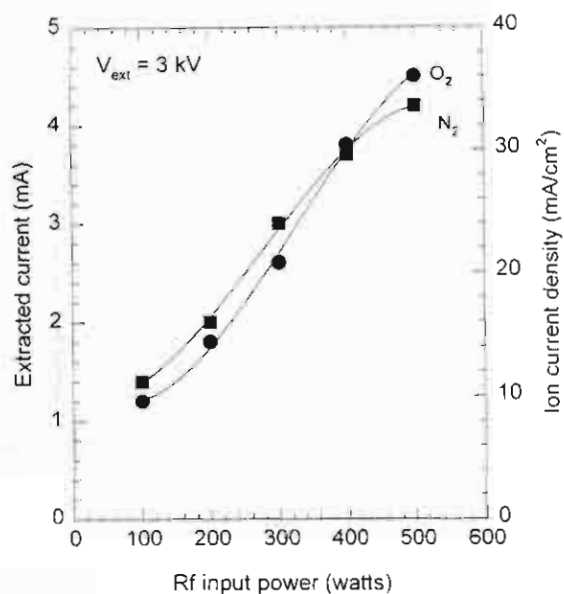


Fig. 4. Extracted ion current and current density versus RF input power for nitrogen and oxygen gases; the extracting voltage is 3 kV operating at a gas pressure of 3 mtorr.

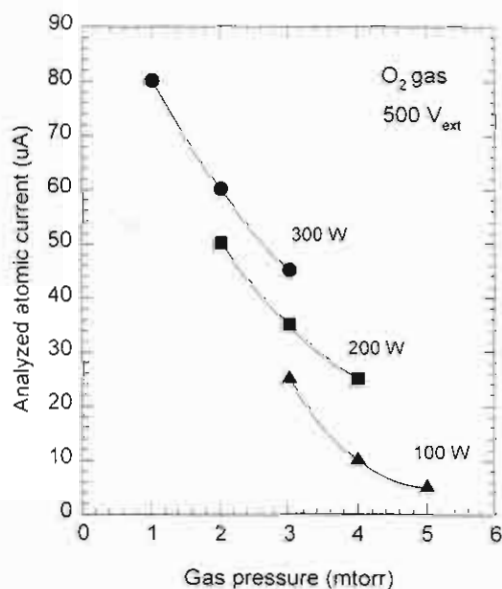


Fig. 5. The relationship between atomic current and gas pressure at different RF powers for oxygen gas.

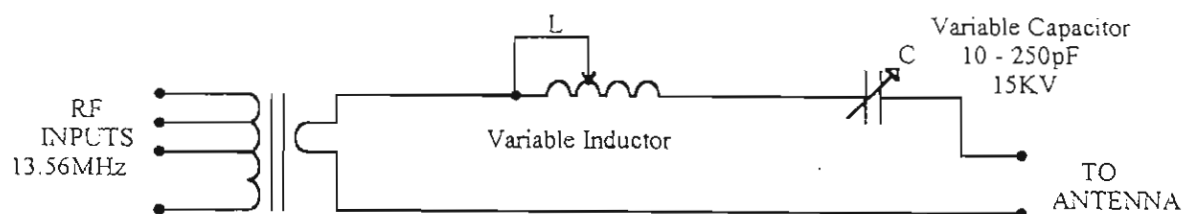


Fig. 3. The isolating and matching circuit for maximum RF power transfer to the multicusp field plasma chamber.

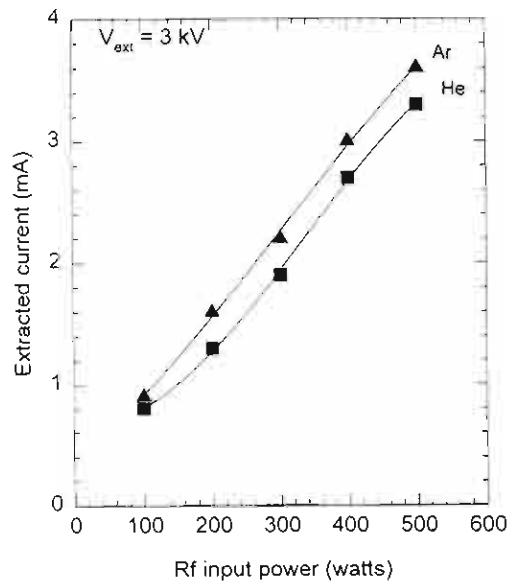


Fig. 6. Extracted ion current versus RF input power for helium and argon gases; the extracting voltage is 3 kV operating at a gas pressure of 10 mtorr.

4. Conclusions

We have constructed a 10 cm diameter by 9 cm long RF discharge multicusp ion source operating at 13.56 MHz. Test runs with nitrogen, oxygen, helium and argon gases indicate that the use of a multicusp field makes it possible to attain a higher discharge efficiency at low RF power [8], which should be adequate to operate an ion source for secondary-ion mass spectrometry applications using argon and oxygen gases. Also, the utilization of a high-frequency field makes it possible to operate the source at low pressure. We plan to

continue performing experiments with higher RF power inputs up to 3 kW using oxygen and nitrogen for applications in SIMOX (separation by implanted oxygen) and surface modifications of materials.

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**Hardness, Tribological Behaviour and Corrosion Performance at the Very
Near Surface of Nitrogen Ion-Implanted X5CrNi18.10 Steel**

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Hardness, tribological behaviour and corrosion performance at the very near surface of nitrogen ion-implanted X5CrNi18.10 steel

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Hardness, tribological behaviour and corrosion performance at the very near surface of nitrogen ion-implanted X5CrNi18.10 steel

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Abstract

The influence of nitrogen ion implantation on the hardness, tribological behaviour and corrosion performance at the very near surface of polished X5CrNi18.10 steel was studied. The specimens were implanted with 120 keV N⁺ ions to four different fluences ranging from 10¹⁶ to 10¹⁸ ions cm⁻². It was found that hardness, tribological behaviour in terms of wear and friction, and corrosion resistance of the specimens strongly depend on the implantation conditions. The greatest improvement in the surface properties of the steel was achieved at doses between 1 × 10¹⁷ and 5 × 10¹⁷ ions cm⁻². Although hardness, wear resistance and friction behaviour were improved noticeably by the implantation, N ion implantation tended to reduce the corrosion resistance of the specimens in KNO₃. © 1998 Published by Elsevier Science S.A.

Keywords: Nitrogen ion implantation; X5CrNi18.10 steel; Hardness; Tribological behaviour; Corrosion performance

1. Introduction

Stainless steels have been widely used in situations where corrosion resistance is required; however, their hardness, wear and friction properties are not particularly good. Ion implantation is an attractive technique for the improvement of metal surfaces, particularly in the small areas, without altering the bulk properties. Near-surface properties in metal surfaces such as hardness, friction and resistance to wear and corrosion are affected by ion implantation. In addition, the surface composition can be altered, leading to the formation of stable or metastable alloys or compounds which cannot often be formed by conventional methods.

Nitrogen is one of the most promising ion species used in ion implantation to improve the surface mechanical and chemical properties of various steels. With normal energies, e.g. 100 keV, implanted N ions penetrate into steels at a depth of about 100 nm and bring about changes in the relevant properties. However, based on conventional testing techniques, as well as

considering practical applications, most of the previous work investigated the hardness and wear behaviour of the N ion-implanted steels in depth ranges far beyond the ion-implanted layers. This was due to employing either relatively high loads or long testing periods (e.g. [1,2]). The results are certainly useful and beneficial, but lack detail on the behaviour in the implanted-ion layer region. To provide data to fill the gap in the previously obtained results, this experiment attempted to study the hardness, tribological behaviour and corrosion performance of a N ion-implanted stainless steel, X5CrNi18.10, in the depth region within the implanted-ion layer, using newly developed testing methods.

2. Experimental

The samples were mechanically punched out of a X5CrNi18.10 steel sheet (see Table 1 for composition) into rectangular pieces of dimension 15 × 10 × 3 mm³. Before the implantation, the samples were electrochemically polished to a roughness value (Ra) below 30 nm.

A Danfysik ion implanter version 101 was used for the ion implantation. The samples were implanted with

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Table 1
Chemical compositions of X5CrNi18.10 steel [3]

Fe	C	Si	Mn	P	S	Cr	Ni
Balance	<0.07	1.00	2.00	0.045	0.030	18	10

Values are shown as percentages.

N^+ ions at 120 keV to doses of 5×10^{16} , 1×10^{17} , 5×10^{17} and 1×10^{18} ions cm^{-2} in a vacuum of 6×10^{-4} Pa. The target temperature measured by a Ni–Cr–Ni thermocouple during the implantation was kept at 150 °C. The ion beam was electrostatically scanned over the entire surface of the samples with a beam current density of about 180–200 $\mu A\ cm^{-2}$.

After implantation, the surface of the samples was observed by a scanning electron microscope. The nitrogen depth distribution was determined using Auger electron spectroscopy (AES) combined with argon sputtering. The Ar^+ ion beam energy was kept constant at 3 kV with sputtering time-steps of 30 s on a scanned area of 2 mm^2 . The elements monitored during the profiling were Fe, Ni, Cr and O. The data for N are in the form of relative atomic concentrations estimated from the Auger peak areas.

The hardness measurements of the unimplanted and implanted samples were carried out using an ultramicrohardness tester DUH-202 (Shimadzu) and a Vickers indenter with a tip angle of 136°. The load depth functions obtained by the tester were analysed by the HAERTE program developed by Chudoba [4], which separates plastic and elastic deformation and includes a tip shape correction of the indenter. The program allows the calculation of a depth-dependent ratio of hardness between the implanted and unimplanted sample. In this way the influence of the ions on the hardness can be observed down to a depth of 20 nm. At the maximum load of 10 mN a penetration depth of about 0.3 μm was reached. The loading speed was 0.095 $mN\ s^{-1}$. At the peak load the load was held constantly for 10 s.

The friction coefficient measurements against a vibrating diamond stylus were performed by a SST-101 scanning scratch tester (Shimadzu). For the measurements a diamond stylus with a tip radius of 10 μm , an amplitude of 100 μm , a down speed of 1 $\mu m\ s^{-1}$ and a scratch speed of 2 $\mu m\ s^{-1}$ (stage movement perpendicular to the vibration) were used. The maximum load was 100 mN.

The wear performance of the samples was evaluated using an alcohol-lubricated sliding wear tester. The tests were carried out using polished ball-bearings of 3 mm diameter made of 1.3505 (100Cr6) steel which was hardened to 64 HRC (800 HV). The sliding speed was 17 $mm\ s^{-1}$ and the normal load 1000 mN.

The corrosion of the samples was investigated using electrochemical impedance spectroscopy (EIS). Impedance data were obtained at the open circuit poten-

tial with an IM5d impedance measurement system (Zahner-Meßtechnik). The experiments were performed in 0.5 M KNO_3 (pH 6–7) with an area of 0.2 cm^2 exposed to the solution. The frequency range analysed was 50 kHz to 0.1 Hz and the amplitude of the superimposed a.c. signal was 10 mV.

3. Results

Fig. 1 shows scanning electron micrographs of the surfaces of the unimplanted and implanted samples. There were no evident surface changes at doses of 5×10^{16} ions cm^{-2} (Fig. 1a), 1×10^{17} ions cm^{-2} (Fig. 1b) or 5×10^{17} ions cm^{-2} (Fig. 1c), but at the dose of 1×10^{18} ions cm^{-2} (Fig. 1d) large blisters associated with the formation of nitrogen bubbles were observed.

Fig. 2 shows the atomic concentration of nitrogen in the implanted layer of polished X5CrNi18.10 steel, measured by AES, combined with Ar ion sputter etching. At the dose of 5×10^{16} ions cm^{-2} the nitrogen peak concentration in the implanted sample was about 2 at.% at 100 nm depth (Fig. 2a). The peak concentration increased to 4 at.% at a depth of 115 nm (Fig. 2b) and a dose of 1×10^{17} ions cm^{-2} . Fig. 2c shows that at a dose of 5×10^{17} ions cm^{-2} the nitrogen peak concentration was 14 at.% at a depth of 80 nm. At the dose of 1×10^{18} ions cm^{-2} two peaks appeared at a depth of 70 nm and 110 nm, respectively, with peak concentrations of 17 and 14 at.% (Fig. 2d).

The effect of the nitrogen ion implantation on the surface hardness of the samples is shown in Fig. 3, in which the depth-dependent ratio of hardness between the implanted samples and the unimplanted sample is given. Nitrogen ion implantation increased the near-surface hardness of the sample up to a depth of about 100 nm by 90% at the dose of 5×10^{17} ions cm^{-2} , 30% at 1×10^{17} ions cm^{-2} , 20% at 1×10^{18} ions cm^{-2} and 10% at 5×10^{16} ions cm^{-2} .

The effect of nitrogen ion implantation on the friction coefficient against a vibrating diamond tip is shown in Fig. 4. The higher the load the deeper the tip penetrated into the sample surface, but up to the maximum load of 100 mN the tip stayed in the implanted layer. The nitrogen ion implantation was able to halve the friction coefficient at the doses of 1×10^{17} ions cm^{-2} and 5×10^{17} ions cm^{-2} , in comparison with the unimplanted sample. There was no evidence of a difference in the friction coefficient of the sample implanted with 5×10^{16} ions cm^{-2} and 1×10^{18} ions cm^{-2} compared with the unimplanted sample.

The effect of nitrogen ion implantation on the wear behaviour in the implanted-ion-layer region (Fig. 5 demonstrates the wear-tested depth) is shown in Fig. 6, which displays the volume loss as a function of the

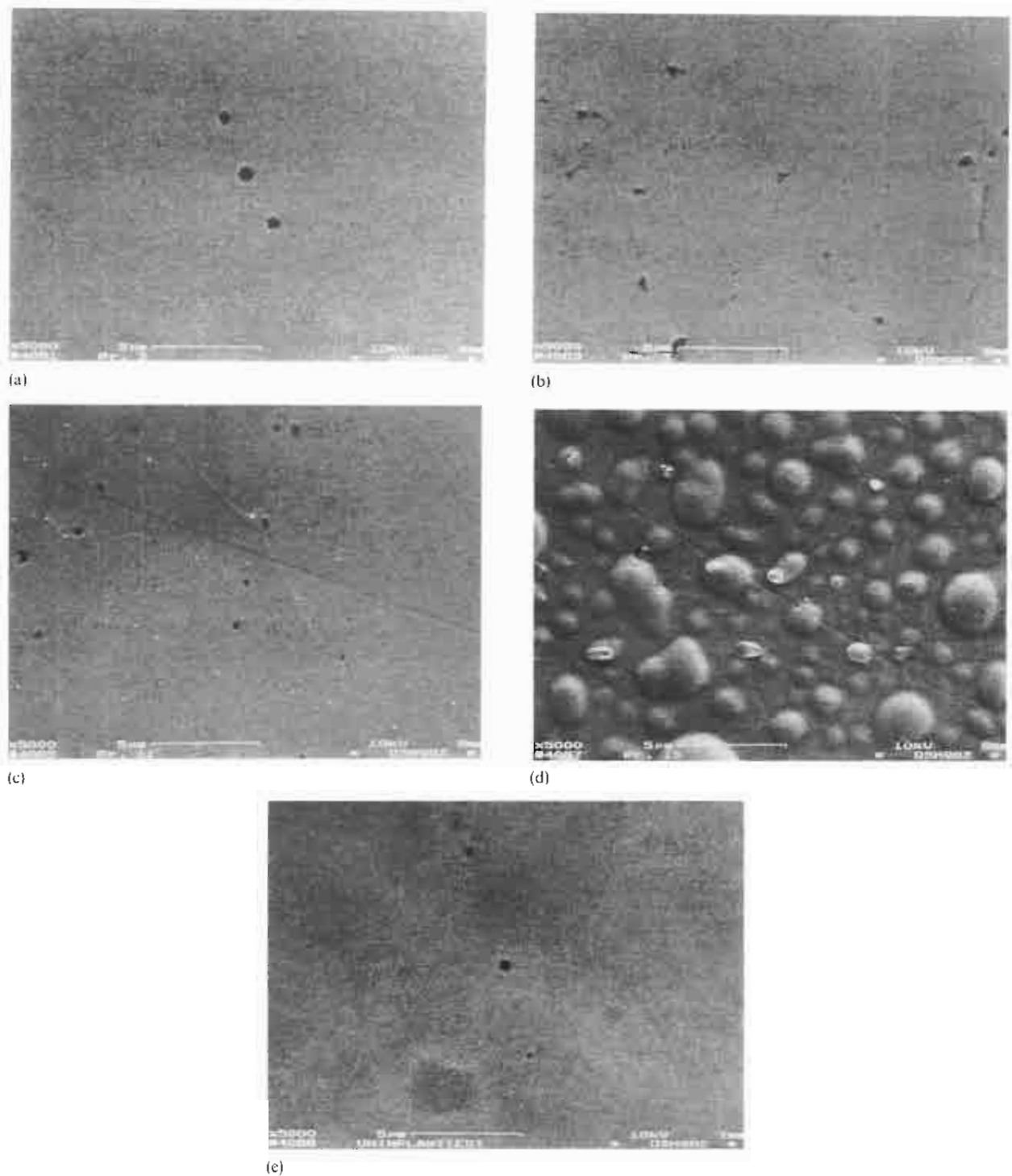


Fig. 1. Scanning electron micrographs of the surface of N^+ -implanted X5CrNi18.10 steel at a dose of (a) 5×10^{16} ions cm^{-2} ; (b) 1×10^{17} ions cm^{-2} ; (c) 5×10^{17} ions cm^{-2} ; and (d) 1×10^{18} ions cm^{-2} . For comparison, the scanning electron micrograph of the surface of unimplanted X5CrNi18.10 steel is shown in (e).

sliding distance. At the doses of 5×10^{16} ions cm^{-2} , 1×10^{17} ions cm^{-2} and 5×10^{17} ions cm^{-2} , the nitrogen ion implantation reduced the volume loss of the implanted surface, but at the dose of 1×10^{18} ions cm^{-2} at the starting distance, there was an increase

in the volume loss for the implanted surface in comparison with the unimplanted surface, caused by large blisters associated with the formation of nitrogen bubbles.

The effect of the nitrogen ion implantation on the

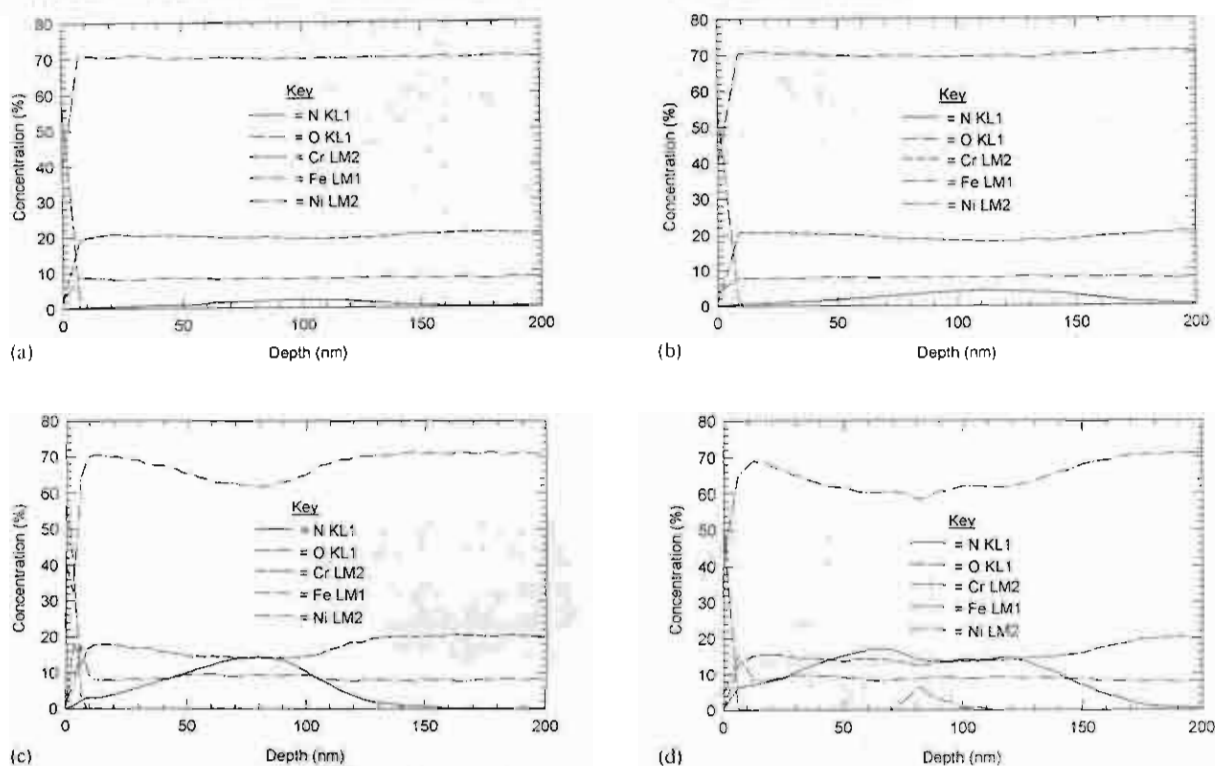


Fig. 2. Depth profiles of nitrogen, oxygen, chromium, iron and nickel in N^- -implanted X5CrNi18.10 steel at a dose of (a) 5×10^{16} ions cm^{-2} ; (b) 1×10^{17} ions cm^{-2} ; (c) 5×10^{17} ions cm^{-2} ; and (d) 1×10^{18} ions cm^{-2} .

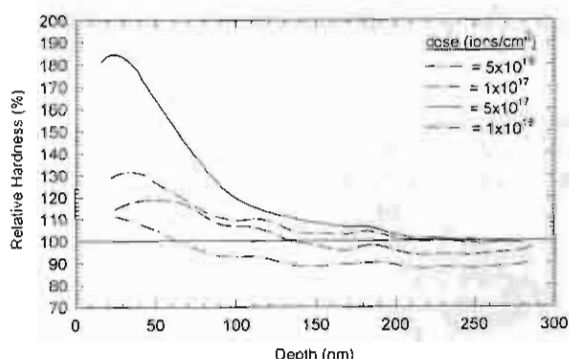


Fig. 3. Depth-dependent ratio of hardness between N^- -implanted and unimplanted X5CrNi18.10 steel for different doses.

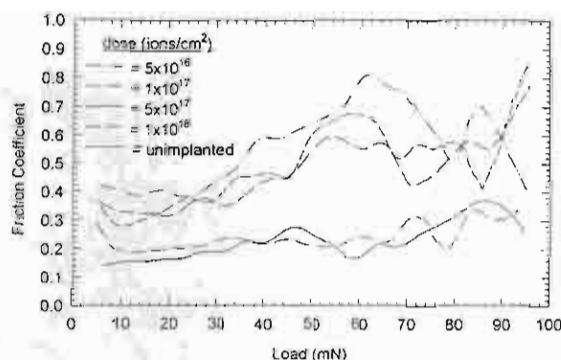
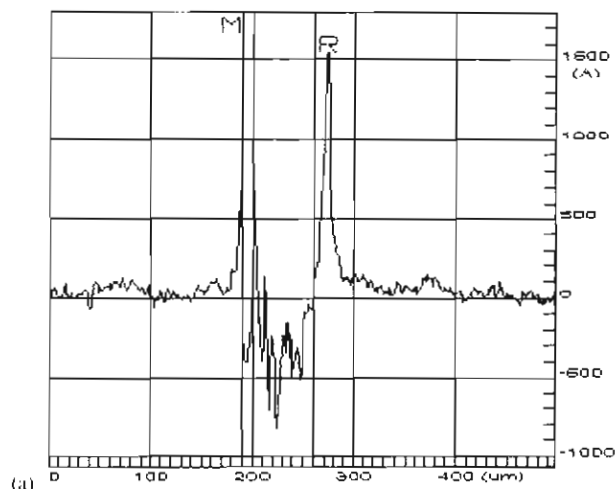


Fig. 4. Load-dependent friction coefficient against a vibrating diamond tip for N^- -implanted X5CrNi18.10 steel for different doses.

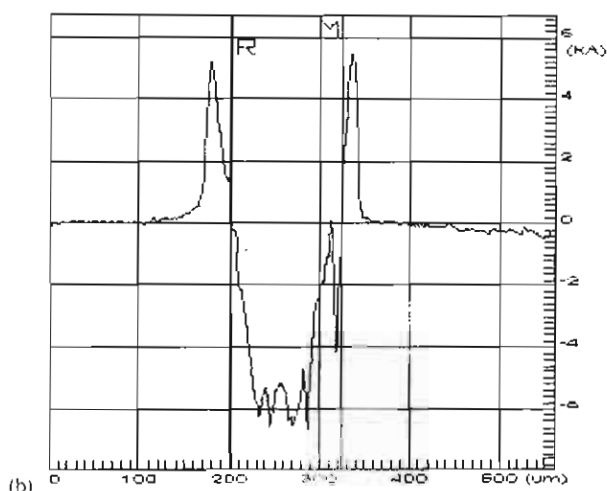
corrosion behaviour of the sample surfaces is shown in Table 2, which compares the electrical properties of the unimplanted and implanted samples. The corrosion parameters were obtained from the impedance spectra plotted as Bode diagrams [5] in Fig. 7. The impedance spectra can be described by an equivalent circuit for solid metal electrodes, where R_{pot} is the corrosion resistance, C_{dl} the double layer capacity and K_{diff} the diffusion resistance [5]. R_{pot} is inversely proportional to the corrosion rate, i.e. the lower the value of R_{pot} the higher the corrosion rate. As predicted by the corrosion potential (U_{corr}), the nitrogen-implanted samples had lower corrosion resistance than the unimplanted sample. With

Table 2
Electrical properties of the surface of the samples

Dose (ions cm^{-2})	U_{corr} (mV)	R_{pot} (k Ω)	C_{dl} (μ F)	K_{diff} (k Ω)
Unimplanted	-35	887	3.56	—
5×10^{16}	-192	598	3.85	—
1×10^{17}	-232	470	3.03	—
5×10^{17}	-186	64	1.64	520
1×10^{18}	-132	73	1.15	332



(a)



(b)

Fig. 5. (a) An example of the profile of a wear trace on the tested sample implanted with a dose of 1×10^{17} N ions cm^{-2} . The average depth of the trace is less than 60 nm, which is within the implanted N ion layer. For comparison, the wear-trace profile on the unimplanted sample is shown in (b).

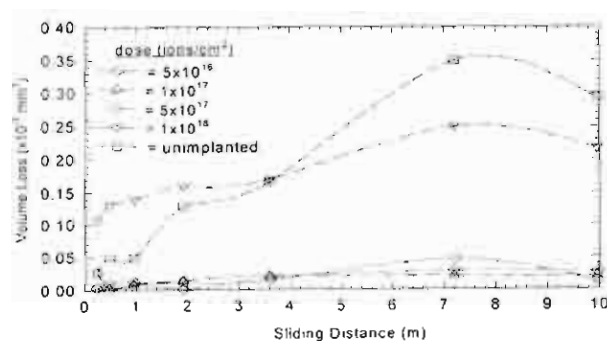


Fig. 6. Dose dependence of volume loss of N^+ -implanted X5CrNi18.10 steel.

doses of 5×10^{17} and 1×10^{18} ions cm^{-2} the corrosion rate was very high and diffusion processes occurred additionally in the impedance spectra, indicating that

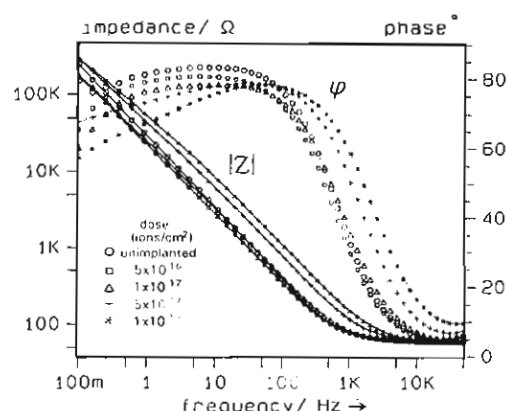


Fig. 7. Bode diagram of N^+ -implanted X5CrNi18.10 steel.

corrosion products must be transported from the sample surface. The drastic decrease in R_{pot} correlated with the increasing roughness of the surface in the cases of 5×10^{17} and 1×10^{18} ions cm^{-2} .

4. Discussion

From the experimental results, it can be seen that N ion implantation is certainly beneficial for the improvement of mechanical properties of X5CrNi18.10 steel, even from the very near surface where the implanted N ions remain. The dose dependence of the hardness, wear and friction of the steel indicates that the greatest improvement in the surface properties of the steel could be obtained at doses between 1×10^{17} and 5×10^{17} ions cm^{-2} for normal nitrogen ion implantation. Although there were no differences in the influence on friction and wear properties between the two doses, the dose of 5×10^{17} ions cm^{-2} produced a higher hardness than did the dose of 1×10^{17} ions cm^{-2} . The surface hardening due to N ion implantation is mainly contributed by the ion-irradiated very-near-surface region, which is slightly shallower than the N ion projectile range, as shown in Fig. 3. It could be supposed that, besides the contribution from the implanted N ions, which form either interstitial decorating dislocations [6] or hard nitride (e.g. [7]), to strengthen the steel, implantation-induced surface oxide (the oxygen distributed in the very-near-surface region is shown in Fig. 2) may also strongly participate in the hardening, as well as decreasing the friction coefficient by weakening the adhesion between two relatively sliding surfaces [8], as demonstrated in Fig. 4. However, as seen in the figures, this effect is not linearly proportional to the ion dose. For the case of the high-dose N ion-implanted sample, it is believed that because of heavy irradiation damage and nitrogen precipitate bubbles on the top surface, mechanical properties including hardness and tribology were not improved as much as for the medium-dose N

ion-implanted samples. From the comparison shown in Fig. 6, wear of the unimplanted surface initially behaved by breaking through step-by-step, while that of the implanted surface showed a stable evolution. These phenomena indicate and also demonstrate that N ion implantation hardens the steel, beginning from the top surface.

However, the corrosion resistivity decreases with the increasing dose of nitrogen ion implantation, especially if the surface becomes rougher. The fact that the corrosion rate at the very-near-surface region of the steel is increased by the N ion implantation apparently disagrees with some previous published results, which showed a positive effect of N ion implantation on reducing the corrosion speed of iron [9]. However, a universal conclusion cannot simply be drawn without noting the detailed experimental conditions. A chemically inert surface layer formed on the substrate is generally responsible for corrosion protection. In cases where N ion implantation can improve corrosion resistance, this is attributed to the formation of metal nitride (e.g. [10]), but ion implantation also causes irradiation damage on the near-surface regions of the substrate, enhancing the corrosion rate (e.g. [11]). Therefore, the compromise between the formation of the corrosion-resistant compound and the production of ion-beam irradiation damage determines the ion implantation effect on modification of the corrosion property. The present implantation was performed using the higher beam current density, about one order of magnitude higher than the conventional values, and basically at room temperature. Consequently, heavier irradiation damage and less nitride are supposedly induced [12]. A synthesized result from these factors is then a reduction in corrosion protection. Furthermore, because the testing acid is KNO_3 , the implanted nitrogen is seemingly inert to the acid as, for example, implanted argon in stainless steel behaving in normal acid solution [13], so that it cannot have much effect on the activity of the acid.

5. Conclusions

The hardness, tribological behaviour and corrosion performance of N ion-implanted X5CrNi18.10 steel were studied at the depths of the implanted ion layers using specially designed methods for testing the very-near-surface region. The dose dependence of the property modification was investigated. The noticeable improvement in hardness and tribology, beginning from the top

surface, benefited most from the medium-dose (1.5×10^{17} N ions cm^{-2}) N ion implantation. The effect of N ion implantation on the corrosion protection of the steel in the acid KNO_3 was found to be negative, proportional to the dose, and supposedly attributed to surface irradiation damage and less nitride formation.

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Surface Modification of Tool Steels by Combined Cr- and N-Ion Implantation

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Surface modification of tool steels by combined Cr- and N-ion implantation

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Abstract

High and low Cr-content tool steels, SKD11 and SKS3, are implanted successively with 50 keV Cr-ions and 90 keV N₂-ions to fluences of $4 \times 10^{17} \text{ Cr}^+ \text{ cm}^{-2}$ and $4 \times 10^{17} \text{ N}^+ \text{ cm}^{-2}$. Microhardness and wear tests, in either dry air or diluted NaCl+H₂SO₄ solution environments, are used to investigate the effects of the multiply ion-implanted steel surface modification; these results are compared with singly Cr- and N-ion-implanted surfaces. The role of Cr-ion implantation in improving hardness and wear resistance of the steels in a dry-air environment is inferior to that of N-ion implantation, even affecting the co-implantation effectiveness on increasing hardness and wear resistance. However, combined Cr- and N-ion implantation can more positively enhance wear resistance in the salt-acid solution environment for the low-Cr steel than the high-Cr steel. XRD analysis supplies evidence for an explanation of the results. © 1998 Elsevier Science S.A.

Keywords: Cr+N-ion co-implantation; Microhardness; Tribology; Diluted salt-acid solution; Tool steels

1. Introduction

The effects of adding nitrogen and chromium into steels on the modification of mechanical and chemical properties are well known: N plays a role in improving hardness and tribological behavior and Cr contributes to raising corrosion resistance. N- or Cr-ion implantation of steels for materials modification has been widely and intensively investigated, and results have confirmed the modification effects [1]. It was proposed that by combining Cr- and N-ion implantations in steels one might obtain dual benefits in both mechanical and chemical property improvements, probably due to the formation of Cr–N. Results from previous work (e.g. see Refs. [2,3]) demonstrated some of the merits of the co-implantation, but still some ambiguities remained, particularly the effect on the modification of tribology. The experiment reported in this paper was designed to investigate Cr+N-ion co-implantation and its effect on hardness and wear behavior in dry air or salt-acid solution environments for tool steels in different conditions, such as high and low chromium contents and whether heat-treated or not.

2. Experimental

Samples of heat-treated and untreated high-Cr tool steel, SKD11, and low-Cr tool steel, SKS3, were prepared into Ø20 mm × 3 mm disks with a mirror-surface finish. (See Table 1 for chemical compositions and Table 2 for the heat treatment processes.) The sample surfaces were implanted with 50 keV Cr-ions to $4 \times 10^{17} \text{ Cr}^+ \text{ cm}^{-2}$ followed by 90 keV N₂-ions to $4 \times 10^{17} \text{ N}^+ \text{ cm}^{-2}$, as shown in Table 3. Microhardness and tribology tests were then performed on the samples. A digital microhardness tester (MXT- α , Matsuzawa) was used for hardness testing with normal loading of 5 gf and varying loads from 1 to 50 gf for some tests. Average Knoop hardness numbers (kilograms per square millimeter) with deviations were automatically output by the tester software after testing points randomly distributed on the sample surface. A pin-on-disk-type tribometer (ISC-200, Implant Sciences) was applied for wear testing. The testing environment was either dry air or diluted NaCl(3 wt%)+H₂SO₄ (pH=3.00) solution. Testing parameters were chosen to be: 5 or 8 cm s⁻¹ sliding speed, WC or 52100-steel ball, 500 gf load and a total of (1–2) × 10⁴ rotations. X-ray diffraction (XRD) was used to analyze for the formation of

* Corresponding author.

Table 1
Chemical compositions and application examples of the tool steels

Steel: JIS (AISI)	Chemical composition (%)								Typical applications
	C	Si	Mn	Cr	Mo	W	V	Ni	
SKD11 (D2)	1.5	0.3	0.4	12.0	1.0		0.4	0.5	die, roller, shear blade, plastic mold, gage, etc.
SKS3 (O1)	1.0	0.3	1.0	0.8		0.8			die, gage, tap, roll, shear blade, cutter, plastic mold

Table 2
Heat treatment processes of the steel samples

Steel	Preheating		Hardening		Quenching media	Tempering	
	Temp. (°C)	Time (min)	Temp. (°C)	Time (min)		Temp. (°C)	time (h)
SKD11	850	5	1050	15	oil	150	1 + 1
SKS3	650	5	850	15	oil	150	1 + 1

Table 3
Ion implantation data of the steel samples, microhardness and pin-on-disk wear testing results

Steel (JIS)	Heat treatment	Ion implantation species (energy)	HK (kg mm ⁻²)	Wear rate (10 ⁻⁶ mm ³)
SKD11	treated	—	520	0.25, 0.20(ac1)
		N ₂ (90 keV)	818	0.10/0.24(ac1)
		Cr (50 keV)	709	
		Cr (50 keV) + N ₂ (90 keV)	860	0.20, 0.17(ac1) 0.45(ac2)
	untreated	—	250	8.50
		N ₂ (90 keV)	371	0.20
		Cr (50 keV)	280	
		Cr (50 keV) + N ₂ (90 keV)	341	0.25
SKS3	treated	—	510	0.12
		N ₂ (90 keV)	620	0.09, 0.50(ac2)
		Cr (50 keV)	577	
		Cr (50 keV) + N ₂ (90 keV)	595	0.14/0.20(ac2)
	untreated	—	260	0.23
		N ₂ (90 keV)	295	0.17
		Cr (50 keV)	270	
		Cr (50 keV) + N ₂ (90 keV)	286	0.10

The Knoop hardness number (HK) data were obtained under a testing load of 5 gf. The wear rate data without any notes were under testing conditions of dry-air environments, WC ball, 5 cm s⁻¹ sliding speed, 500 gf load and total 10⁴ cycles; those with (ac1) were under the diluted salt acid solution, 52100-steel ball, 4 cm s⁻¹ sliding speed, 500 gf load and total 2 × 10⁴ cycles; those with (ac2) were under the diluted salt acid solution, 52100-steel ball, 8 cm s⁻¹ sliding speed, 500 gf load and total 10⁴ cycles.

compounds or phases and an image microscope was used to observe the sample surfaces.

3. Results and discussion

Data for microhardness and wear testing are shown in Table 3, and conclusive results with relevant discussion are described below.

3.1. Microhardness

3.1.1. Hardness changes due to different ion-species implantation

Either N-, or Cr-, or Cr + N-ion implantation can increase the hardness, but the single Cr-ion implantation makes less improvement than the single N-ion implantation. Even the effect on hardening from the Cr + N-ion co-implantation is lower than that from the single N-ion

implantation in most cases. This agrees with the result of Ref. [2], indicating that Cr-ion implantation in tool steels does not produce a significant hardening effect compared with N-ion implantation. The reason may depend on the hardening mechanisms. N-ions strengthen the implanted steels by either interstitial hardening [4] or nitride precipitate hardening [5], whereas Cr-ion implantation of steels relies on forming hard Cr-compounds. This can be demonstrated by the fact that Cr-ion-implanted heat-treated steels show a greater hardness increase than untreated steels, as shown in Table 3. This is because the heat-treated steels, which are quenched in oil, contain a high carbon content in the near-surface region, so that the implanted Cr, as a carbide-forming element [6], can form Cr-C to harden the steel surface. This contrasts with the untreated steels which, having less surface carbon, cannot provide the high probabilities for forming Cr-C.

3.1.2. Hardness comparison of high- and low-Cr steels

As shown in Fig. 1 and Table 4, it is found that the high-Cr steel, SKD11, gains in surface hardness more

from the co-implantation than the low-Cr steel, SKS3. This is probably due to the formation of chromium-nitride in the implanted high-Cr steel contributing to the hardening.

3.1.3. Hardness comparison of heat-treated and untreated steels

Fig. 1 and Table 4 also show that the hardness of the heat-treated steel samples is increased due to the co-implantation more than that of the untreated steel samples. This is also believed to be related to the formation of large levels of chromium-carbide in the heat-treated steels.

3.2. Wear behavior

3.2.1. Wear rates under dry-air environment

From Table 3, it is seen that, in most cases, particularly for the heat-treated steels, the effect on improving wear resistance of the steels from the co-implantation is less than that from single N-ion implantation. A careful observation of the data for unhardened steels shows that for high-Cr steel the co-implantation results in a wear rate which is slightly higher than with the single N-ion implantation; however, for the low-Cr steel the co-implantation leads to a wear rate lower than for N-ion implantation. XRD patterns displayed in Fig. 2 show that ϵ -(Fe,Cr)N forms in non-heat-treated steels but not in heat-treated steels. This may explain why the heat-treated steels produce a negative result. A greater number of precipitates in the wear track on the low-Cr steel samples than on the high-Cr steel sample, as shown in the photomicrographs in Fig. 3, may better protect the low-Cr steel surface from wear.

3.2.2. Wear rates under salt-acid solution environment

As seen in Table 3 and Fig. 4, however, co-implantation shows a greater effect on reducing wear under the testing condition of diluted salt-acid solution than the single N-ion implantation, which produces even higher wear rates than no implantation. It is indicated that nitrogen interstitials decorating defects [7] only mechanically

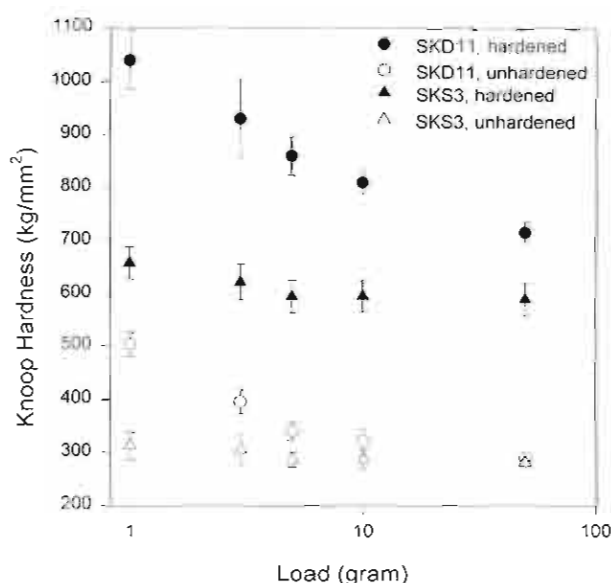


Fig. 1. Knoop hardness of Cr + N-ion co-implanted steels tested with various loads: 1, 3, 5, 10 and 50 g.

Table 4

Comparisons for hardness between the Cr + N-ion co-implanted high-Cr steel and low-Cr steel

Steel	Heat treatment	$\Delta HK, HK_0(5g) (\%)$	$HK(1g)/HK(5g)$
SKD11 (high-Cr)	treated	65	1.21
	untreated	36	1.47
SKS3 (low-Cr)	treated	17	1.11
	untreated	10	1.10

$\Delta HK = HK - HK_0$, where HK is the hardness of the implanted samples, and HK_0 that of unimplanted samples, tested with a load of 5 gf (data from Table 3). $HK(1g)$ is under a load of 1 gf, and $HK(5g)$ under 5 gf (data from Fig. 1).

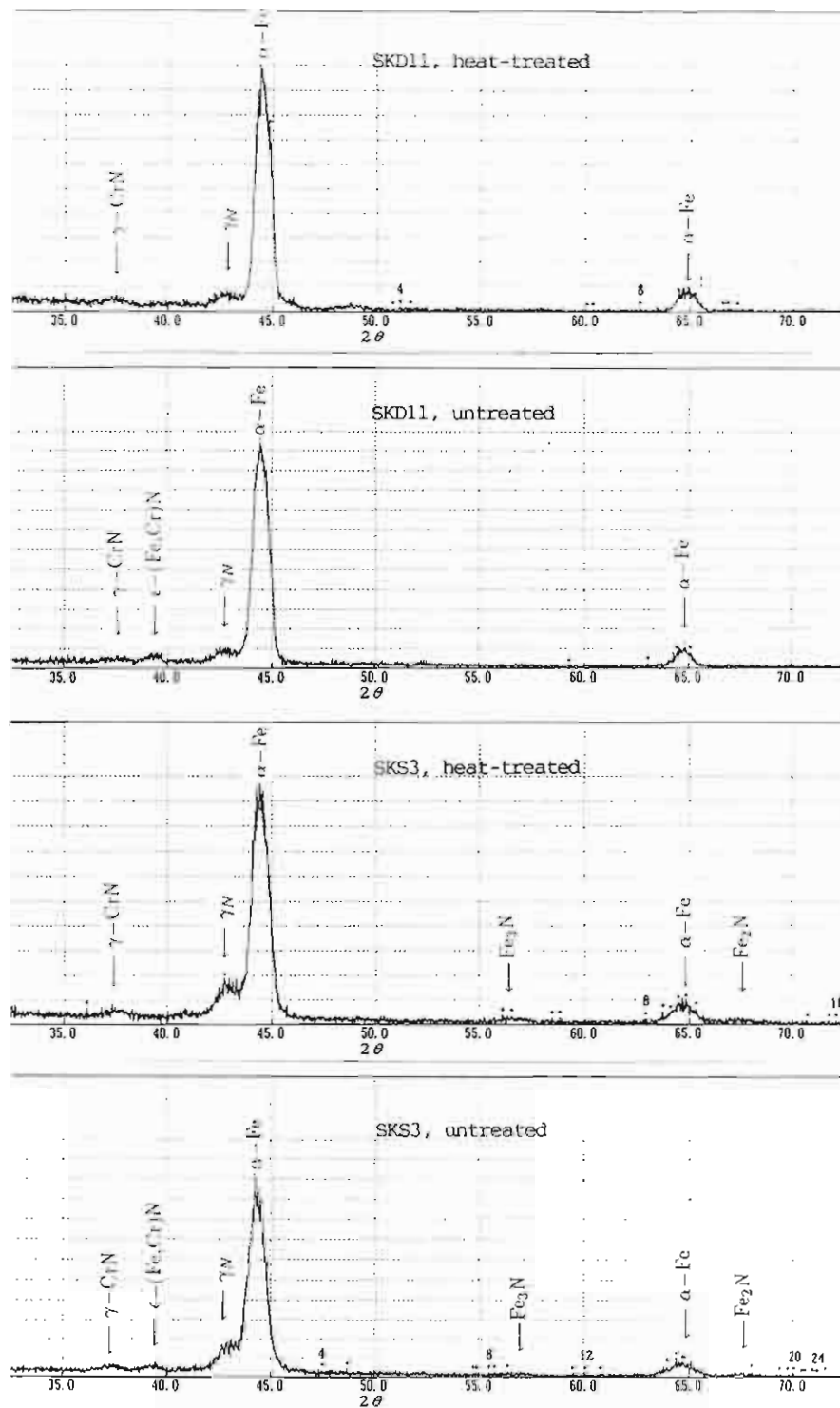


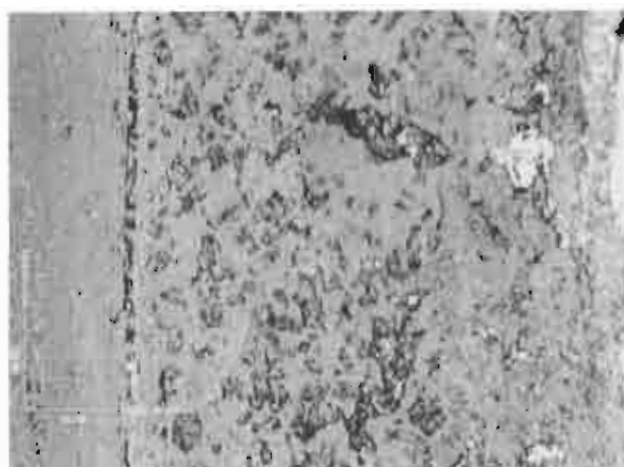
Fig. 2. XRD patterns of Cr + N-ion co-implanted steel samples.

strengthen the substrate, but have limited ability to protect the substrate from chemical affinity attacks.

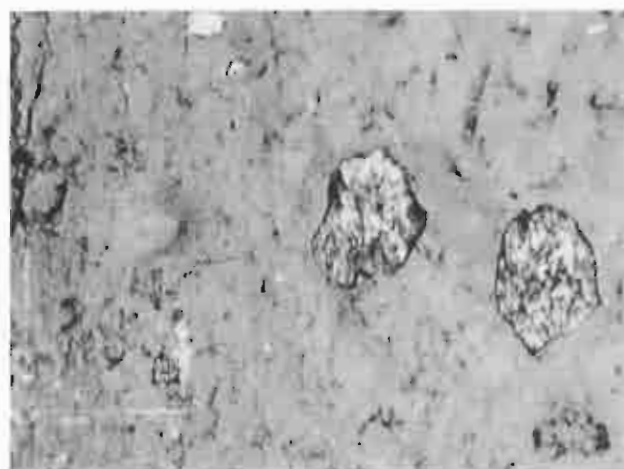
3.2.3. Comparison of wear rates of high- and low-Cr steels under salt-acid solution environment

The low-Cr steel, SKS3, receives more of a benefit from the co-implantation than the high-Cr steel, SKD11,

as shown in Table 3 and Fig. 4. For the high-Cr steel, because of the rich Cr content, which will trap N-ions to form Cr-N due to chromium also being a nitride-forming element [6], N-ions cannot penetrate deeper inside the substrate. But for the low-Cr steel, saturation by the trapping of Cr with N-ions forming Cr-N will not impede more N-ions from travelling to deeper



(a)



(b)

Fig. 3. Photomicrographs of wear tracks on the non-heat-treated steel samples tested in a dry-air environment. A greater number of nitride precipitates are seen on the SKS3 (low Cr) sample (a) than on the SKD11 (high Cr) sample (b).

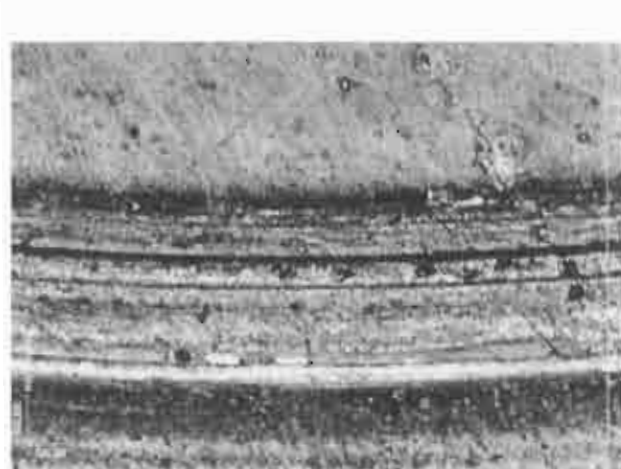
regions in the substrate subsurface [8]. XRD analysis found Fe–N formed in SKS3 steel but no nitride in SKD11, as shown in Fig. 2. Now that both steels have Cr–N, the one having Fe–N certainly has higher wear resistance.

4. Conclusions

The effects of Cr- and N-ion implantation in tool steels on the modification of hardness and wear behavior have been studied. Comparisons have been made between single Cr-ion and N-ion implantation and Cr+N-ion co-implantation, under the wear conditions of either dry air or a diluted salt acid solution environment, using high- and low-Cr steels in the heat-treated and untreated states. From the study, it is concluded that although implanting Cr-ions in various ways can



(a)



(b)



(c)

Fig. 4. Photomicrographs of wear tracks on the heat-treated steel samples tested in the salt acid solution environment. The track on the Cr+N-ion co-implanted SKS3 sample (a) is narrower than that on either the singly N-ion-implanted SKS3 sample (b) or the Cr+N-ion co-implanted SKD11 sample (c).

truly help the steel hardness enhancement, its role in improving mechanical and chemical properties of the steels is still debatable. Detailed conclusions are listed below.

Implanting Cr-ions, whether singly or in combination with N-ions, in tool steels is generally not significantly helpful (and sometimes even deleterious) to hardening and improvement of wear protection in a dry-air environment compared with implanting N-ions alone. However, Cr- and N-ion co-implantation has a positive effect on reducing wear of steels in a diluted salt-acid solution environment compared with single N-ion implantation.

High-Cr steel has a greater hardness improvement from the co-implantation than low-Cr steel, whereas the low-Cr steel receives greater improvement in wear resistance in the diluted salt-acid solution environment than the high-Cr steel.

The wear behavior of the co-implanted steels in a dry-air environment depends on the Cr content and heat treatment. Co-implantation provides the heat-treated steels with less improvement in wear resistance than single N-ion implantation. For untreated steels, low-Cr steel has better wear protection after co-implantation than the high-Cr steel.

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**N-Ion Implantation Assisted by Preparative and Closing Implantation
For Surface Modification of Tool Steel**

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implantation for surface modification of tool steel

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N-ion implantation assisted by preparative and closing implantation for surface modification of tool steel

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Abstract

The study aims at investigating the effect of auxiliary preparative and closing implantations in hardened tool steels on surface tribology modification. Samples of a martensitic tool steel, SKD11, are triply implanted with ions of N or Ar at higher energies, N at the normal energy, and N, BF and CO₂ at lower energies. The ion implantations result in dramatic increases of hardness and wear resistance to the samples in comparison with single N-ion implantation and double ion implantation. Analysis of ion depth profiles and surface compositions and microstructures is performed to reveal the mechanisms. It can be concluded that the improvement of the mechanical properties can be attributed to the deepening in the ion penetration and the forming of compound covers at the near surface region. © 1999 Elsevier Science B.V. All rights reserved.

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Keywords: Preparative implantation; Closing implantation; Hardened tool steel; Tribology; Microhardness; Wear rate

1. Introduction

N-ion implantation has long been investigated for modifying mechanical properties, such as hardness and wear rate, of steels. N-ion implantation of hardened steels has commanded great interest [1] not only because of its practical application but also its different characteristics from implantation of unhardened steels [2]. The implanted N-ion depth profiles indicate that the

hardened steels have a lower ability in retaining N-ions than the unhardened steels [3], so that they normally exhibit difficulties in gaining comparable improvement in tribology from N-ion implantation. Preparative surface treatment and implantation have been applied prior to normal N-ion implantation to improve the ability of the hardened steels to retain more nitrogen ions [4]. Auxiliary closing implantation is now added to the implantation procedure in an attempt to form a compound cover at the near surface region to close any paths for the normal implanting ions to escaping (diffusing) out, as well as to construct a hard layer which strengthens the normally implanted area.

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Table 1
The chemical compositions of the SKD11 (D-2) tool steel

C	Si	Mn	Cr	Mo	V	Ni
1.5	0.3	0.4	12.0	1.0	0.4	0.5

2. Experiment

Well-surface-finished (0.3 μm) samples of hardened SKD11 (AISI D-2) steel (the chemical compositions are shown in Table 1 and the heat treatment process is displayed in Table 2) were preparatively implanted with higher-energy N-ions or Ar-ions, then normally implanted with 120 keV N₂-ions, and finally implanted with lower-energy BF₃ or N₂, or CO₂ ions (the triple ion implantation will sometimes be referred to as preparative-plus-closing ion implantation), as summarized in Table 3. The ion implantations were carried out using the 150 kV, mass-analyzed heavy ion implantation facility at Chiang Mai University [5]. The implantation temperatures at the target did not exceed 100°C.

The implanted samples together with unimplanted ones underwent mechanical property testing. A digital microhardness tester (MXT- α , Matuzawa) using the Knoop scale tested surface hardness by applying a load varying from 1 to 50 g. A pin-on-disk wear tester (ISC-200 Tribometer, Implant Sciences) using WC balls tested wear behavior under the following conditions, 500 g load, 4.8 cm/s sliding speed, and 10 000 total-rotations. The wear rates were evaluated based on the relevant international standard [6].

The ion depth profiles were analyzed using either Auger electron spectroscopy (AES)(mostly) or secondary ion mass spectrometry (SIMS) (only for the samples implanted with Ar-ions). The surface microstructure was characterized and observed using X-ray diffraction (XRD) (Cu, K α) and Scanning electron microscopy (SEM).

3. Results and discussion

Table 3 shows the measured microhardness (under the load of 1 g) and the derived wear rate of the ion-implanted samples compared with the data of the unimplanted sample.

As can be seen from the table, as well as Fig. 1, which shows microhardness tested with varying load, the preparative-plus-closing ion implantation increases the hardness drastically in comparison with the single and double ion implantations. The effect of the preparative implantation depends on the ion species. Nitrogen performs better than argon owing to the fact that the N-ions are able to cause both radiation damage and structural strengthening whereas the Ar-ions create only damage. The effect from the closing implantation seems to be more pronounced than the preparative implantation. Proper selection of the closing implanted ion species, such as boron, carbon or oxygen ions, can result in a larger enhancement of hardness than nitrogen. It is interesting to note that at the lowest load (i.e. at the nearest surface region) all the preparative-plus-closing ion implantations increase the hardness relatively higher than other implantations do, as shown by the steeper slopes between the hardnesses with loads of 1 and 3 g for the triply implanted samples (Fig. 1). This fact indicates that the triple ion implantation is more effective in improving nearest-surface-hardness. This is supposedly contributed mainly by the closing implanted ions, which lead to forming hard compounds with either metal elements or even previously implanted nitrogen, as demonstrated by XRD analysis (Fig. 2).

Also, it can be seen from the wear testing results that, similar to the hardness modifications, the triple ion implantation generally reduces wear remarkably, while the wear on either the unimplanted sample or the single and the double N-ion implanted ones is comparatively high. In due

Table 2
Heat treatment procedure of the steel samples

Preheating	Hardening	Quenching	Tempering
850°C/5 min	1050°C/15 min	Oil	150°C/1+1 h

Table 3
Ion implantation and mechanical testing results of the SKD11 steel samples^a

Sample	Ion	Energy (keV)	Current ($\mu\text{A}/\text{cm}^2$)	Dose ($10^{17}/\text{cm}^2$)	HK (kg/mm^2)	Wear rate (10^{-3} mm^3)
S0	—	0	0	0	470	1.30 ^b
S1	$\text{N}+\text{N}_2+\text{N}_2$	120-120-90	100-200-200	2+4+2(N)	1040	0.11
S2-1	$\text{N}+\text{N}_2+\text{BF}$	120-120-90	100-200-50	2+4+2(B)	1410	0.10
S2-2	$\text{N}+\text{N}_2+\text{BF}$	120-90-75	100-200-30	2+4+1(B)	1046	0.11
S3	$\text{N}+\text{N}_2+\text{CO}_2$	120-120-110	100-200-100	2+4+2(C)	1420	0.14
S4	$\text{Ar}+\text{N}_2+\text{BF}$	140-120-90	200-200-50	2+4+2(B)	1120	0.26
S5	N_2	120	200	4	795	1.30 ^c
S6	$\text{N}+\text{N}_2$	120-120	100-200	2+4	895	1.26 ^d
S7	N_2+BF	120-90	200-50	4+2(B)	1200	0.19

^a Ion implantations in samples S5, S6 and S7 are for comparison. For the last implantation of multiple ion implantation, when a compound ion species was used, the last dose indicates the atom dose of the element in the (). The microhardness testing condition: 1 g load; the wear testing conditions: WC ball, 500 g load, 4.8 cm/s sliding speed, 10 000 total-rotations.

^b Obtained only for 700 total-rotations when the friction limit of the tester was reached.

^c Obtained for 1800 total-rotations when the friction limit was reached.

^d Obtained for 1900 total-rotations when the limit was reached.

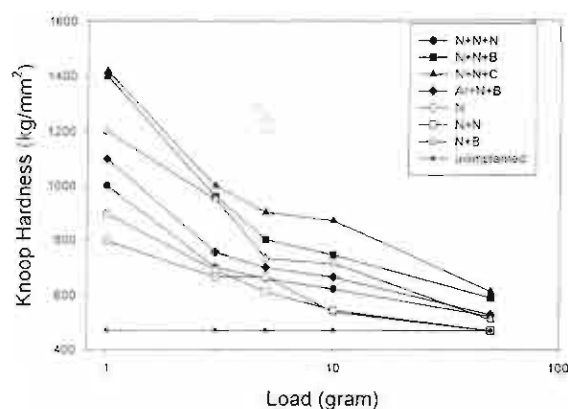


Fig. 1. Microhardness in the Knoop scale as a function of the testing load (1, 3, 5, 10 and 50 g).

succession, the ion species for the preparative implantation being effective on the wear reduction are nitrogen and argon, and the species for the closing implantation are boron, nitrogen, and carbon/oxygen. A close SEM-observation on the surface microstructure of the samples, as shown in Fig. 3, provides some hints. On the surface of the $\text{N}+\text{N}_2+\text{N}_2$ and $\text{N}+\text{N}_2+\text{BF}$ ion implanted samples we can observe an extended large-grained or textured structure whereas on the $\text{N}+\text{N}_2+\text{CO}_2$ and $\text{Ar}+\text{N}_2+\text{BF}$ ion implanted samples we see a much

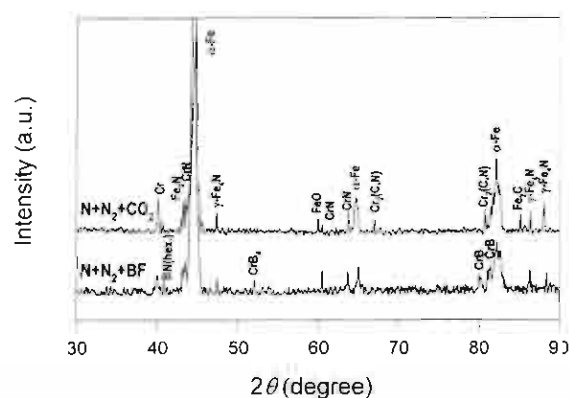


Fig. 2. XRD analyzed results from the $\text{N}+\text{N}_2+\text{BF}$ -ion implanted sample and $\text{N}+\text{N}_2+\text{CO}_2$ -ion implanted sample. The peaks are only designated once if they have the same positions in both patterns.

finer and smoother structure. The former microstructure is thought to be related to nitride or boride (as shown in Fig. 2) exhibiting high wear-resistance, while the latter is less helpful to wear resistance due to either brittle oxide for the case of the $\text{N}+\text{N}_2+\text{CO}_2$ -ion implanted surface or heavy radiation damage created by Ar-ions for the case of the $\text{Ar}+\text{N}_2+\text{BF}$ -ion implanted surface.

The ion-depth-profile analysis, as shown in Fig. 4, leads to a further understanding of the wear

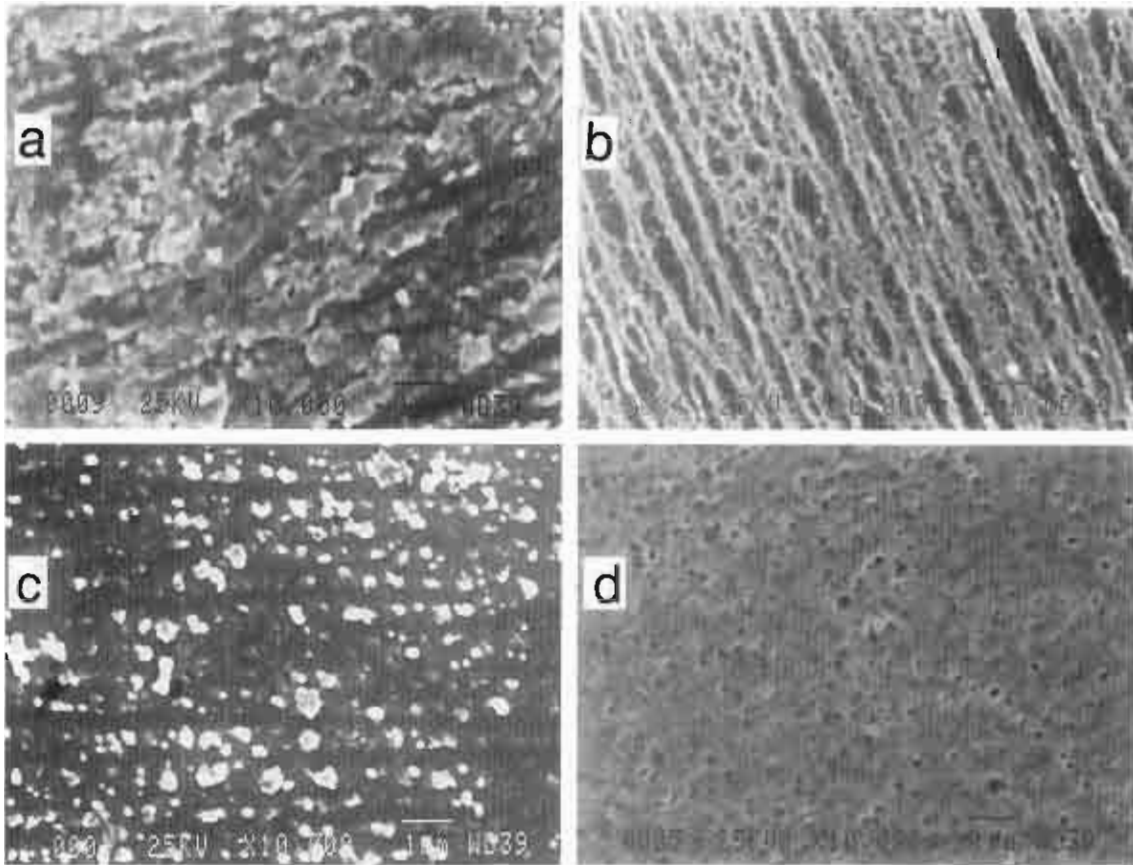


Fig. 3. SEM photographs of the preparative-plus-closing ion-implanted sample surfaces. (a) $N+N_2+N_2$ ion implanted, (b) $N+N_2+BF$ ion implanted, (c) $N+N_2+CO_2$ ion implanted, and (d) $Ar+N_2+BF$ ion implanted. The width of the SEM image is $12\ \mu m$.

testing results. The preparative-plus-closing ion implantation generally tends to broaden and deepen the nitrogen distributions. A comparison between the PROFILE-simulated [7] and the measured $N+N_2+N_2$ -ion implanted profiles shows that the simulated one (Fig. 4a), which is a sum of three consecutively implanted ion-profiles, ranges only up to a depth of 200 nm while the measured one (Fig. 4b) becomes flat and extends to a depth of more than 600 nm (the depth scale is already converted from the sputtering time). Other profiles display similar behavior. The effect of broadening and deepening ion profiles seems very beneficial in achieving the lasting low wear rates by means of triple ion implantation. It can be seen that the closing B-ion implantation leads partly to the migration of normally implanted N-ions to the B-ion

region, as shown in Fig. 4c and e, while the closing CO_2 -ion implantation causes the normally implanted N-ions to be pushed further into the substrate (Fig. 4d). This fact implies that the implanted boron has a stronger affinity of bonding with the formerly implanted nitrogen [8] than the carbon and oxygen have (correlated with the XRD result, as shown in Fig. 2). Therefore, the $N+N_2+BF$ ion implantation produces a lower wear rate than the $N+N_2+CO_2$ ion implantation. For the case of $Ar+N_2+BF$ ion implantation, it is considered that radiation damage induced by the preparative Ar-ion implantation makes the N-ions migrate more easily to the near surface region, so that the N-ion distribution is shallower (Fig. 4e) than those in other cases. Therefore the low wear rate cannot last and the average wear rate becomes high.

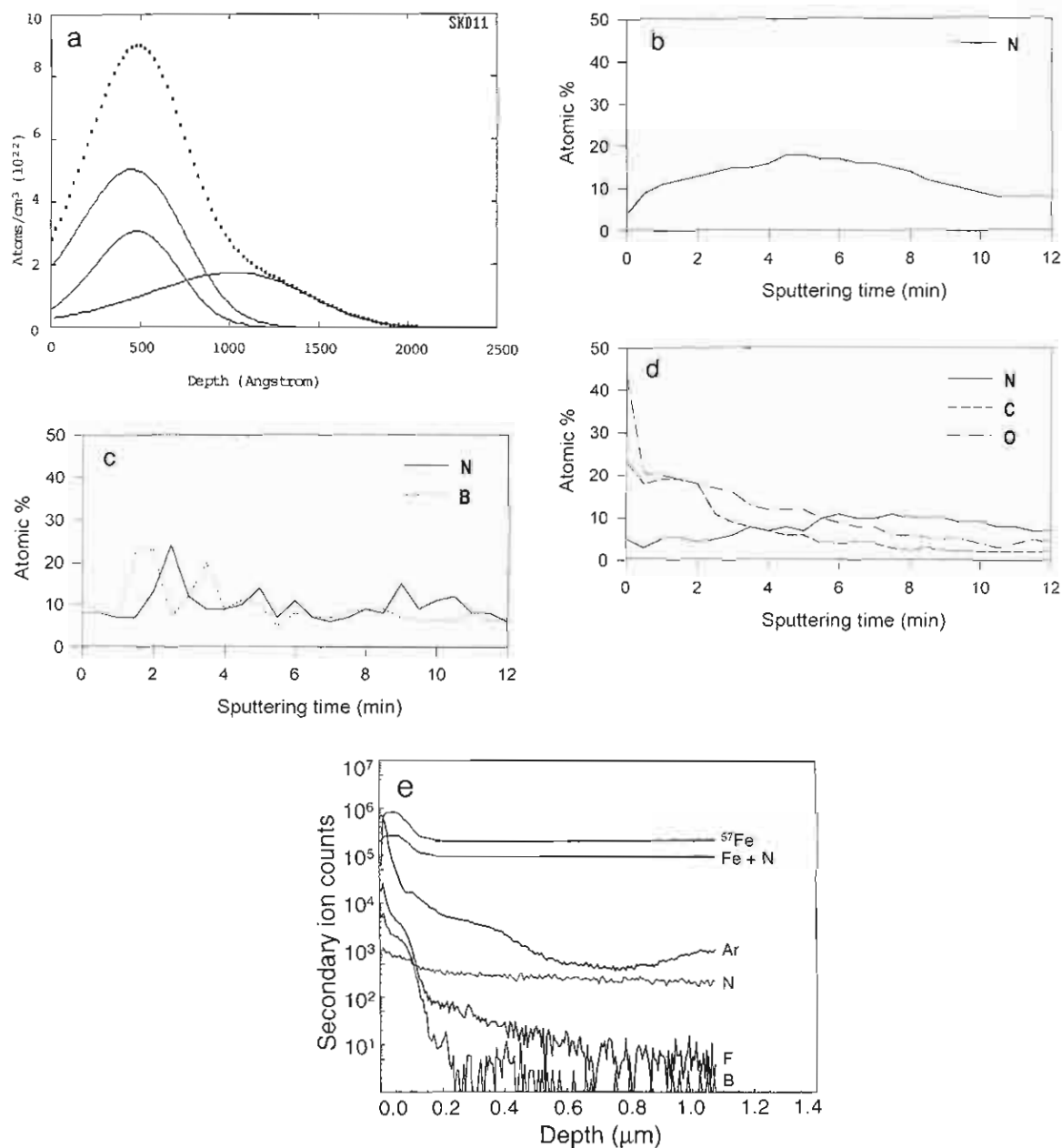


Fig. 4. Analyses of the ion depth profiles. (a) PROFILE-simulated profile for $N+N_2+N_2$ ion implantation with the same parameters as the experimental one's (the dotted curve represents the final profile); (b) AES-analyzed profile for the $N+N_2+N_2$ ion implantation; (c) AES-analyzed profile for the $N+N_2+BF$ ion implantation; (d) AES-analyzed profile for the $N+N_2+CO_2$ ion implantation; and (e) SIMS-analyzed profile for the $Ar+N_2+BF$ ion implantation.

4. Conclusion

The preparative-plus-closing ion implantations result in dramatic increases in surface hardness and wear resistance of the hardened tool steel in comparison with single N-ion implantation and double ion implantation. The degree of improvement depends on the preparative and closing implanting ion species. Nitrogen is better than argon as the preparative implanting species while boron is the best of the closing implanting species. The broadened and deepened N-ion distribution and the formed high-wear-resistant near-surface structure or compound by the preparative-plus-closing ion implantation are considered to be the contributors to this improvement.

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Tribological Effects of Oxygen Ion Implantation into Stainless Steel

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TRIBOLOGICAL EFFECTS OF OXYGEN ION IMPLANTATION* **INTO STAINLESS STEEL**

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Abstract

The formation of sub-surface oxide layers by hybrid metal-gas co-implantation into steel and other metals can improve their tribological properties. In this report, we compare the wear and friction performance of previously studied Al+O hybrid implants with that produced by single species oxygen ion (O⁺) implantation under similar conditions. The substrates were AISI 304L stainless steel disks polished to a final mirror finish using 1 micron diamond paste, and the ion implantation was done using a conventional swept-beam technique at ion energies of 70 or 140 keV and doses of up to 1×10^{17} cm⁻². The wear and friction behaviour of the implanted and unimplanted material was measured with a pin-on-disc tribometer. Here we describe the experimental procedure and results, and discuss the improvement relative to that achieved with surface layers modified by metal-gas co-implantation.

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Keywords: oxygen ion implantation, stainless steel, wear, friction, surface modification.

INTRODUCTION

Ion implantation has become an accepted method of modifying the tribological properties of metal surfaces. Initial work focused primarily on the use of ions of gaseous elements such as nitrogen and oxygen with significant improvements being observed in many systems. The success of these early studies stimulated research into the effects of other ion species particularly metal ions. While studies on single ion implants have predominated, there has been increasing interest in recent years on the use of dual ion implants [1-4] which have yielded greater enhancements in wear performance. For example, recent studies by Sasaki and co-workers have shown the beneficial effects of Ti+C dual ion implantation into hardened steel (0.95wt%C-3.2wt%Cr)[2,3]. Usually, such dual implants are carried out sequentially, though less frequently simultaneous operation of two ion sources has been used.

Since their inception [5], ion sources based on metal vapour vacuum arcs (MEVVA) [6] have been used extensively for surface modification research. These sources offer a convenient means of generating pulsed, high current ion beams of most elemental and alloyed metals, and some non-metallic species (eg. Si and LaB₆). An intrinsic feature of such sources is the solid conducting

cathode, which provides the source of ions for extraction, and this precludes their use for producing ion beams of purely gaseous (or liquid) species. However, a recent innovation in MEVVA source technology has succeeded in adapting them for hybrid metal-gas operation [4, 7-9]. This mode permits the simultaneous implantation of gas and metal ions into a target. A description of the modifications to the source at Lawrence Berkeley National Laboratory together with some early tribological results for various combinations of ions have been presented previously [4,9]. It was found that both wear and friction were reduced in most of the systems studied [4]. Despite these promising early results, some uncertainty remains over the relative concentrations of metal and gaseous ions retained during the implantation process. For

instance, ion beam analysis of the dual implanted surfaces revealed some discrepancies between the measured and expected concentrations of the two ions with the effect being most pronounced for the metal species [4,10]. Possible explanations for this finding were proposed and discussed. Consequently, further investigations were undertaken to provide a better understanding of factors contributing to the observed results. While these investigations have focused on characterisation of the implanted surfaces, we have also performed a number of single ion implants for comparison with the dual implanted materials. This report presents the first results obtained for comparison. In particular, samples of AISI 304L stainless steel have been implanted with oxygen ions under similar conditions to those used for the dual implants. Subsequent pin-on-disc wear testing of these oxygen implants yielded wear and friction data which were then compared with the corresponding results from Al+O dual implants into the same material.

The tribological properties of oxygen implanted steels have been the subject of several previous investigations. Langguth and co-workers [11-13] studied a number of steels, though not AISI 304, implanted with oxygen ions at energies of 50, 100 or 200 keV and doses of 5 or 10×10^{17} ions cm^{-2} . They reported substantial reductions in wear for many of the implant conditions and steels tested with the best results observed for AISI 52100 at the lowest implant energy of 50 keV [12]. More recently, Rej *et al* [14] have compared conventional and plasma source ion implantation of stainless steel (comparable to AISI 321) with several ion species including oxygen. These authors found no improvement in surface hardness following oxygen implantation to a dose of 1×10^{18} ions cm^{-2} . Possibly on account of this result, Rej *et al* [14] did not perform wear tests on their oxygen implanted samples. The tribology and microstructure of oxygen implanted AISI 304 stainless steel has been studied by Wei *et al* [15]. Doses in the range $1-10 \times 10^{17}$ O_2 cm^{-2} and an energy of 60 keV were used in their work, which also examined the effect of varying implantation temperatures from 100 - 500°C. For a dose of 4×10^{17} O_2 cm^{-2} , they found the critical load increased with temperature to a maximum of 28 N at 400°C, after which it decreased.

EXPERIMENTAL

As in our previous study [4], the test pieces were disks of type AISI 304L stainless steel (30 mm diameter x 3 mm thick) diamond polished to a mirror finish. These were implanted with 70 or 140 keV oxygen ions (O^+) using a mass-analysed oxygen ion beam. Applied doses were in the range 1×10^{16} - 1×10^{17} ions cm^{-2} with ion beam currents of 10-12 and 30-35 μA at 70 and 140 keV respectively. The beam was scanned over the target to achieve a uniform implant dose. With all samples, an annular region on the periphery (width ~ 6 mm) was masked to provide a reference area for wear testing. During implantation, target temperatures were monitored with a thermocouple and found to be less than 100 $^{\circ}C$. Friction and wear testing was performed on a pin-on-disc tribometer (CSEM) using a 6 mm diameter ruby ball, a load of 2 N and a velocity of 0.2 ms^{-1} . No lubrication was used for the friction measurements while ethanol was flowed over the test surface during the wear tests. Wear tracks after 1000 revolutions from both the implanted and masked regions of each test sample were analysed with a profilometer (Tencor Instruments). The oxygen concentrations in the steel test pieces were not determined directly. Rather, small pieces of beryllium were mounted at the centre of each sample during implantation. The oxygen doses in these Be reference samples were then measured by RBS analysis using a 1.95 MeV He^+ beam.

RESULTS AND DISCUSSION

The RBS spectra of two Be samples implanted to a dose of 1×10^{17} ions cm^{-2} at energies of 70 and 140 keV are shown in Fig.1. The oxygen doses determined from these and similar spectra for the other implant conditions are tabulated in Table 1. Agreement between the nominal and measured doses is reasonable. The implant doses for the steel substrates can be assumed equal

to those determined for the Be samples provided little or no sputtering occurs with the former. This would appear to be a reasonable assumption under the present implant conditions since previous studies [11–15] on a range of steels, including AISI 304, have reported much higher retained doses at similar energies; results which would only have been possible in the absence of significant sputtering.

Since the implant depth profiles in the steel specimens were not measured directly, T-DYN [16] simulations were performed to estimate the oxygen distributions under present conditions. Initially, such calculations were applied to the implanted Be reference samples in order to permit comparison with the oxygen profiles determined from RBS analysis. The resulting profiles are displayed in Fig. 2. For the highest doses at 70 and 140 keV, these are in fair agreement with the measured profiles of Fig. 1. Applying T-DYN to the implanted steel specimens yielded the distributions in Fig. 3. As found previously with the oxygen implanted Be, the calculated profiles for steel may differ somewhat from the actual ones. However, these differences notwithstanding, simulations of the type presented in Figs. 2 and 3 do provide a qualitative indication of changes in implant distributions resulting from different processing conditions. Thus, the profiles in Fig. 3 clearly show the effect of ion energy on the concentration of oxygen at the surface of the steel specimens for the two energies used in the present study.

Friction measurements were performed on all samples. The largest decrease in friction was observed for the oxygen dose of 1×10^{17} ions cm^{-2} implanted at 70 keV. The friction trace for these conditions and the corresponding trace from the masked region on the same sample are plotted in Fig. 4. At the same energy, the medium dose of 6.5×10^{16} ions cm^{-2} showed less improvement while the lowest dose sample yielded a trace similar to that of the unimplanted material. A similar trend was observed in the friction traces for the low and medium dose implants at the higher ion energy of 140 keV. However, the highest dose at this energy yielded

a result which was little different from that obtained at the medium dose, suggesting deeper implants are not as effective in improving the properties of the layer closest to the surface.

The profilometer traces of wear tracks on the as-polished and implanted (O^+ dose = 6.5×10^{16} ions cm^{-2} at 70 keV) regions of the same specimen are shown in Fig. 5. The large change produced by oxygen implantation is clearly evident in this data. Similar measurements were performed on all samples wear tested for the present study and the results are plotted in Fig. 6. The ordinate in this figure is the ratio of the wear track cross-sectional area on the as-polished steel to that on the implanted region of the same sample. The values used for determining these ratios were the cross-sectional areas below the baseline defined by the original surface of the sample. The ratios plotted in Fig. 6 are the averages of multiple measurements on each sample at different positions on each wear track.

The present results are in general agreement with those of previous studies which reported improvement in the tribological properties of steels following oxygen implantation under a variety of conditions [11-13, 15]. Of particular note is the work of Langguth *et al* [11, 12] who implanted AISI 52100 steel at energies of 50, 100 and 200 keV and found the largest reduction in wear occurred at 50 keV. This trend is similar to that found herein for AISI 304L steel where samples implanted with O^+ ions at 70 keV exhibited less wear than those at 140 keV for all doses studied.

The aim of the present study was to compare the effect of dual metal + oxygen implants to those of oxygen-only on type AISI 304L stainless steel. Previously, we reported the results of wear and friction measurements on a nominal 50:50 Al+O implant into this material [4]. While the oxygen-only implants of the present study produced an improvement in wear performance, the magnitude of the effect was greater for the Al+O implants. For example, the wear track cross-sectional area ratio for the Al+O case was ~10 whereas the best value obtained for oxygen only

was 3.6. Thus, selected metal + gas hybrid implantation is capable of producing superior wear resistance relative to single species oxygen implantation. Similarly, the duration of reduced friction was greater for the dual implant. For the 50:50 Al+O implant, a lower coefficient of friction was maintained for a running distance in excess of 6 m. This is significantly longer than the total running distance to breakthrough of 3.5 m recorded for the sample of Fig. 4, which represented the best result of the oxygen only implants studied. The better friction performance achieved with the Al+O implant showed a similar trend to that of the wear data discussed previously. Together, these findings indicate greater improvements in tribological properties are possible with the hybrid treatment.

CONCLUSIONS

The implantation of oxygen into AISI 304L stainless steel resulted in reduced wear and a lower coefficient of friction. This behaviour was most pronounced at highest oxygen dose and lowest ion energy of the ranges studied. Comparison of the present data with previously reported results for dual Al+O implants into the same steel indicated the presence of Al leads to a further improvement in tribological performance. This finding is in accordance with earlier studies in which surface properties, enhanced by implantation of a single ion type, were further improved following implantation of a second ion species. Therefore, the implantation of selected combinations of ions appears to offer an attractive alternative to the more conventional single implant method. While a variety of approaches have been used for dual ion implantation, the recently developed hybrid MEVVA ion source provides a convenient means of performing such implantations. Future studies will examine the application of this hybrid source in more detail with particular emphasis on the benefits to be derived from the use of dual ions and the operational conditions producing optimum surface properties.

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FIGURE CAPTIONS

- Figure 1** - RBS spectra of Be reference samples implanted with a dose of 1×10^{17} ions cm^{-2} at ion energies of 70 keV (◐) and 140 keV (●).
- Figure 2** - T-DYN profiles for Be implanted with O^+ at ion energies of 70 keV (upper) and 140 keV (lower). The relevant doses are as indicated on the plots.
- Figure 3** - T-DYN profiles for 304L stainless steel implanted with O^+ at ion energies of 70 keV (upper) and 140 keV (lower). The relevant doses are as indicated on the plots.
- Figure 4** - Friction coefficient as a function of distance travelled for as-polished (upper plot, right axis) and implanted (lower plot, left axis) regions of a type 304L stainless steel specimen. In this case, the O^+ dose was 1×10^{17} ions cm^{-2} at 70 keV.
- Figure 5** - Cross-sectional profiles of wear tracks on implanted (—) and as-polished (- - -) regions of type 304L stainless steel implanted with an O^+ dose of 6.5×10^{16} ions cm^{-2} at 70 keV.
- Figure 6** - Plot of ratios of wear track cross-sectional areas as a function of implant dose at 70 keV (◆) and 140 keV (■).

Table 1 - Summary of Implantation Conditions

Sample No.	Ion Energy (keV)	Ion Current (μA)	Nominal Dose (O^+/cm^2)	Measured Dose (O^+/cm^2)
1	140	30-35	1×10^{17}	1.0×10^{17}
2	140	30-35	5×10^{16}	6.0×10^{16}
3	140	30-35	1×10^{16}	-
4	70	10-12	1×10^{17}	1×10^{17}
5	70	10-12	5×10^{16}	6.5×10^{16}
6	70	10-12	1×10^{16}	1.0×10^{16}

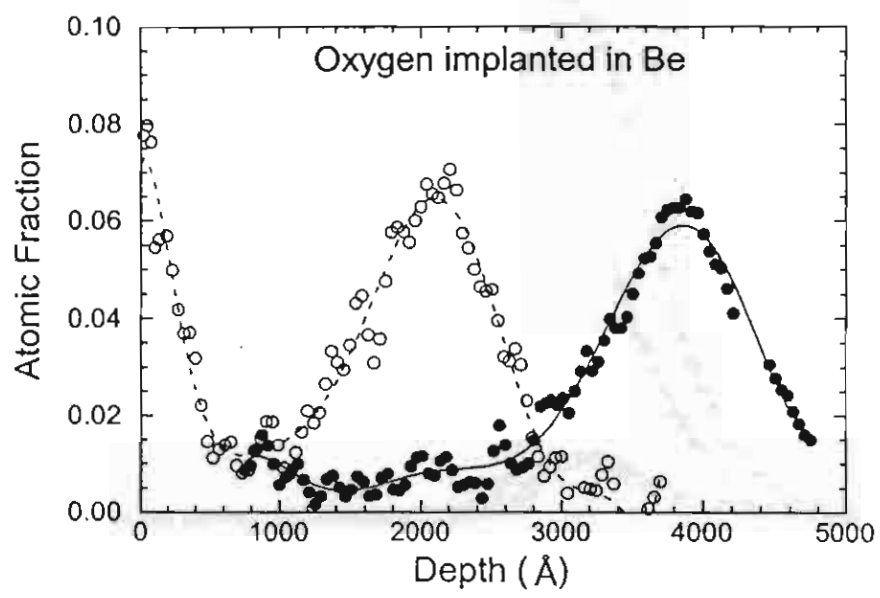


Fig.1

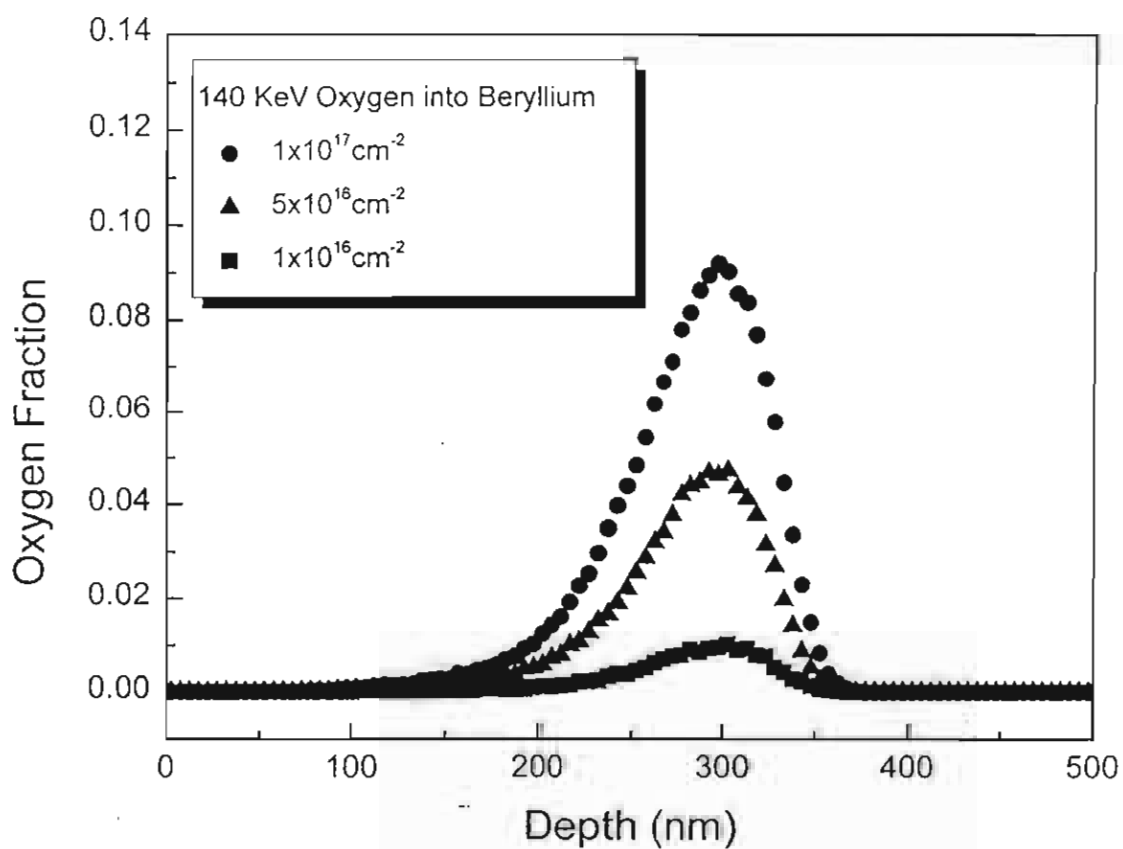
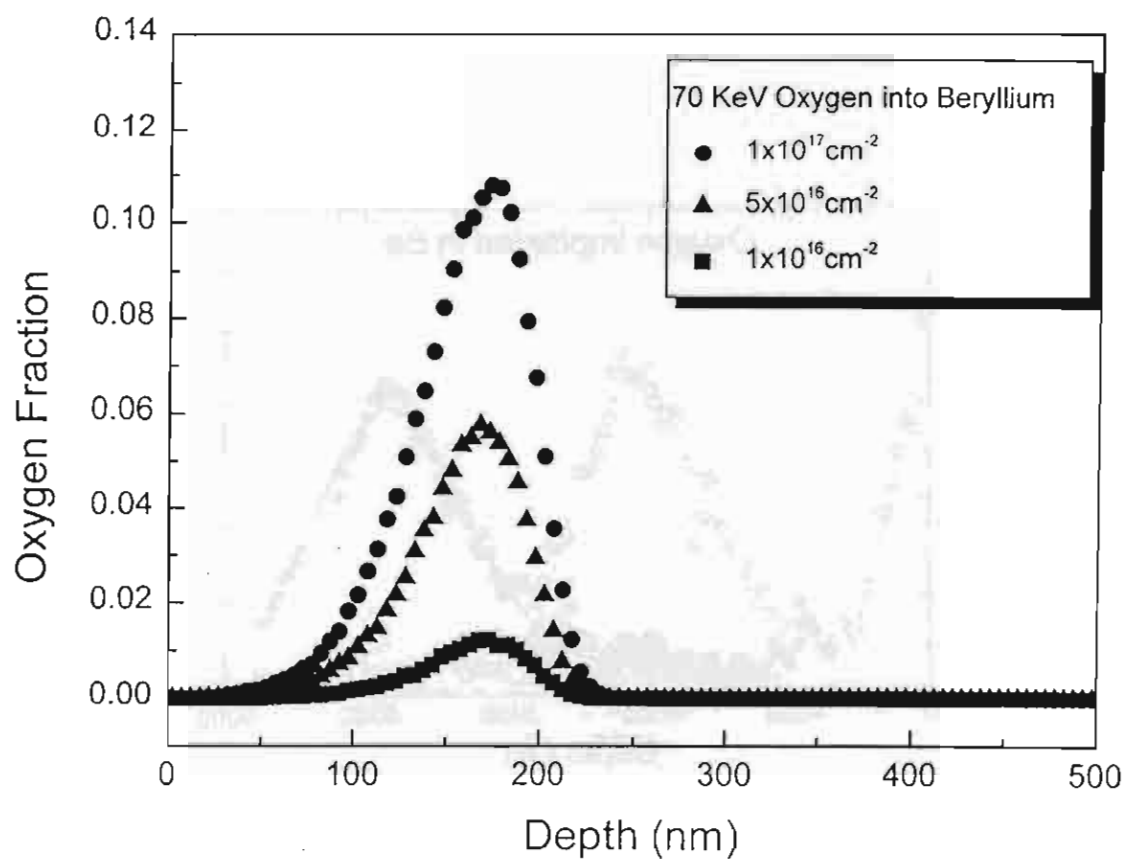


Fig.2

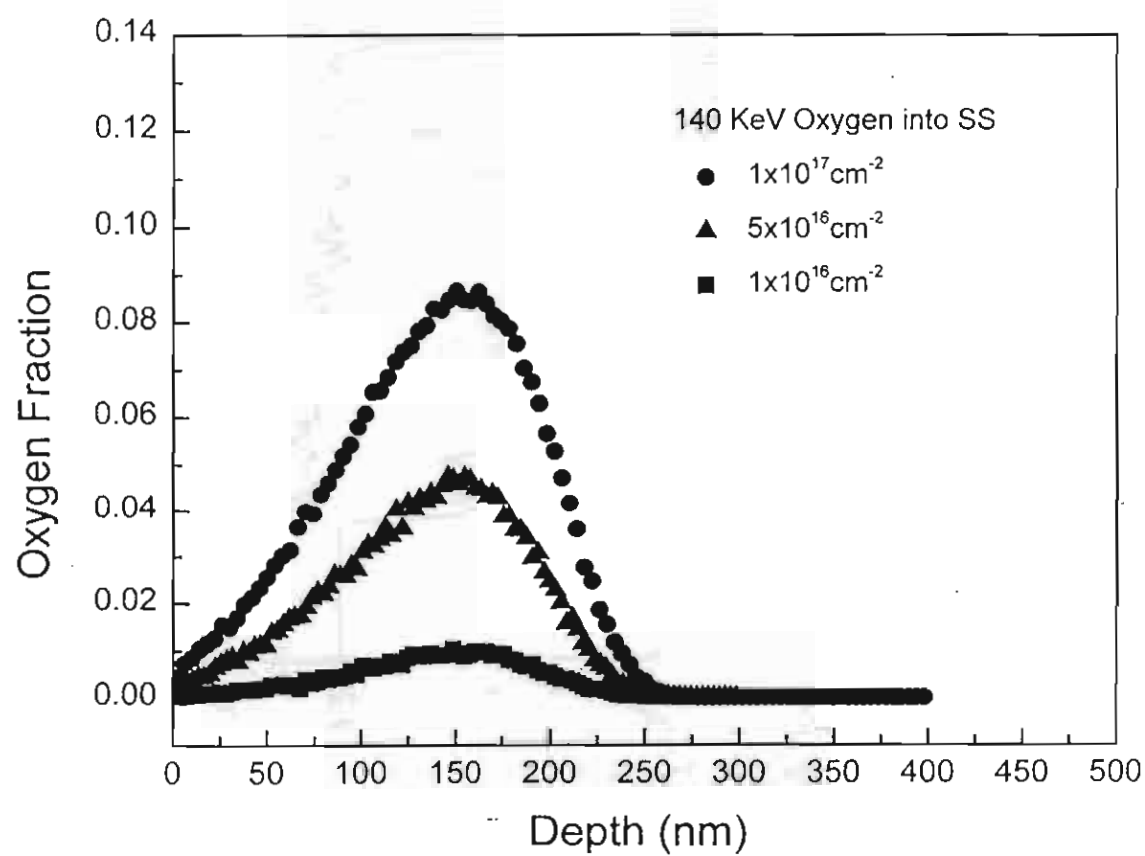
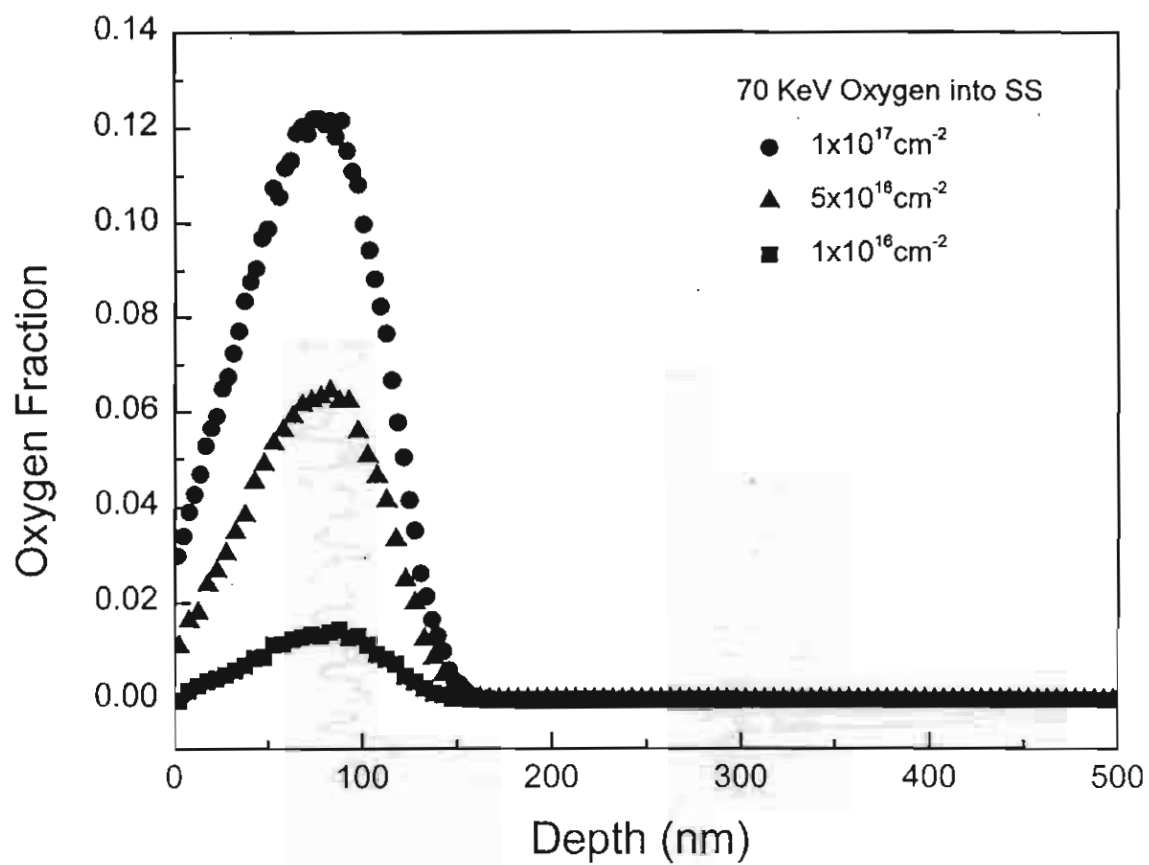


Fig.3

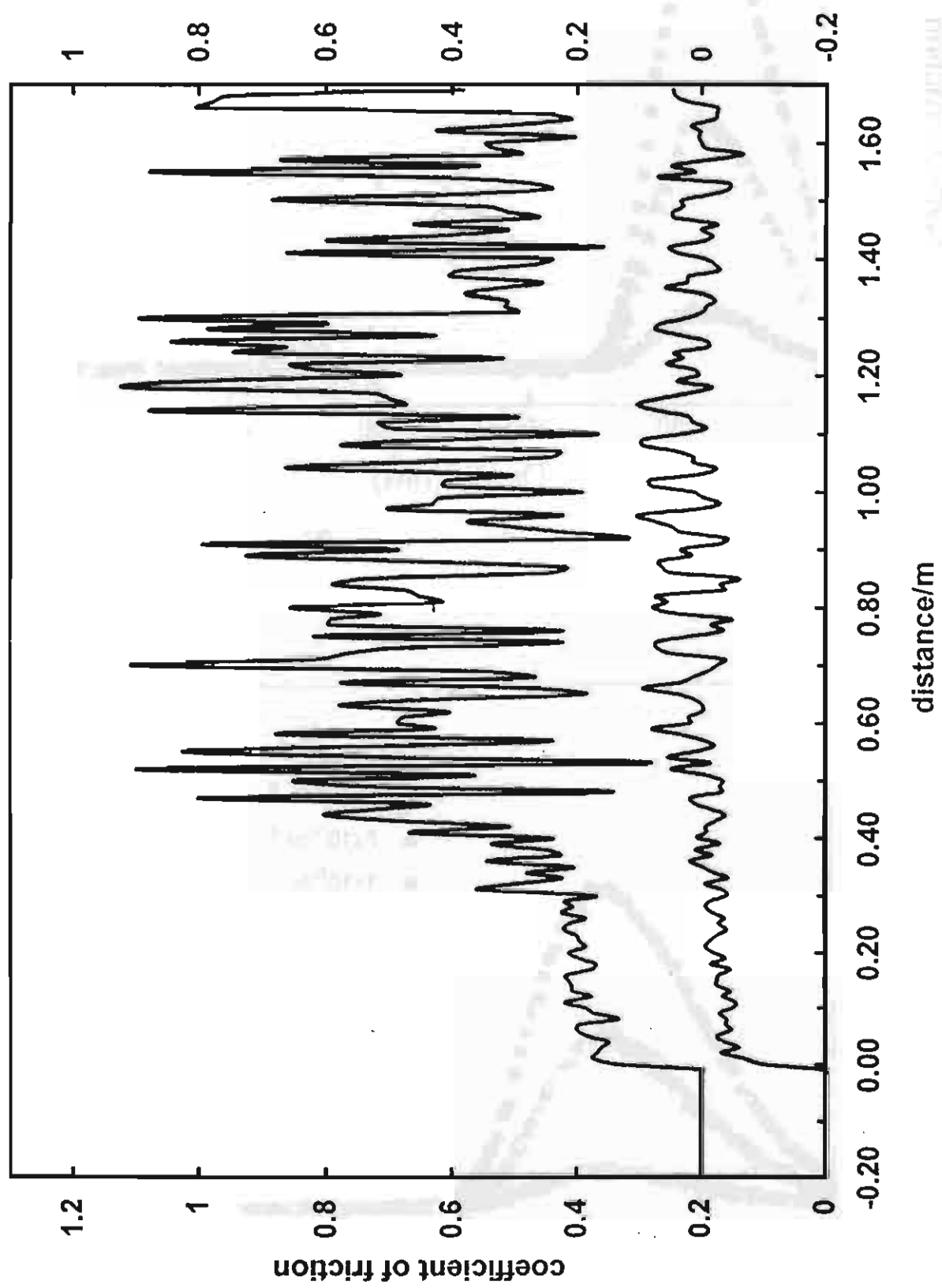


Fig.4

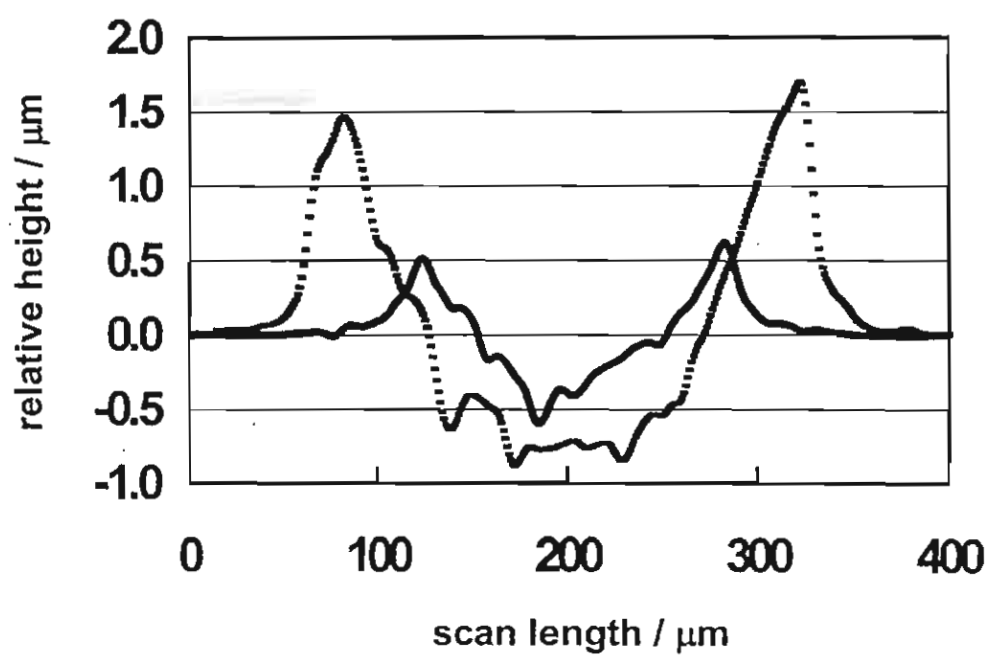


Fig. 5

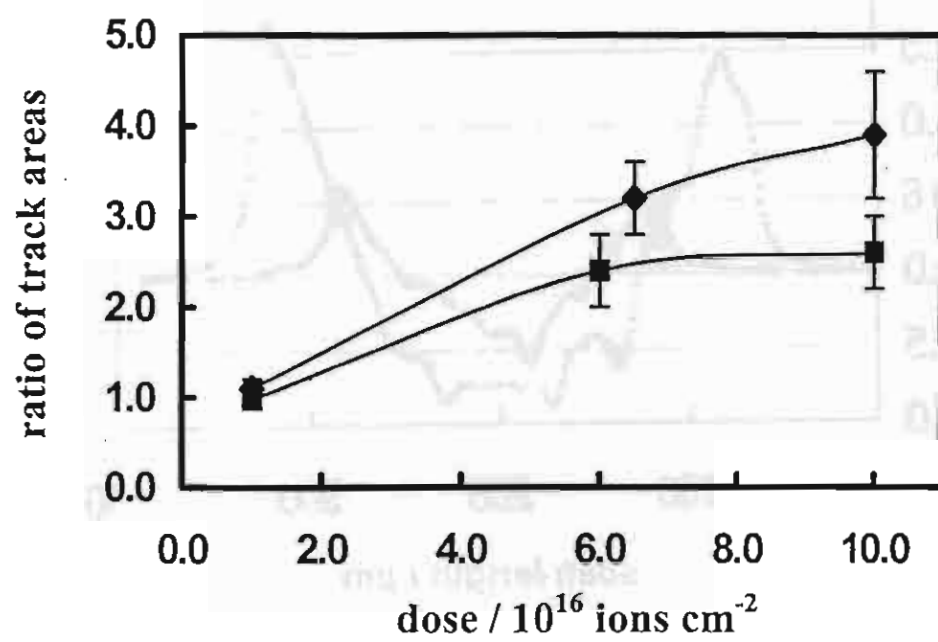


Fig.6

**Modification of Tribology and High-Temperature Behavior of Ti-47Al
Intermetallic Alloy Nitrided by N-Ion Implantation**

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Modification of Tribology and High-Temperature Behavior of Ti-47Al Intermetallic Alloy Nitrided by N-Ion Implantation*

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Abstract

N-ion implantation in Ti-47Al-2Nb-2Cr intermetallic alloy has been investigated for surface nitriding treatment to modify tribology and high-temperature oxidation properties of the material. The alloy samples were implanted using either normal(medium)-current or high-current N₂-ion beams at an energy of 140 keV to doses of $4 \times 10^{17} - 4 \times 10^{18}$ ions/cm². Post-annealing was performed to the ion-beam-treated samples at temperatures of 200°C and 300°C for different periods (24 - 240 hours). The treated samples underwent tests of microhardness, tribology and high-temperature oxidation. The results show that N-ion implantation and post-annealing improve surface hardness in all cases, wear resistance in the case of high-current and high-dose ion implantation, and high-temperature oxidation behavior in the early testing stage. N-ion implantation particularly with high beam-current and high ion-dose without post-annealing results in the best modification of tribology. XRD analyses indicate that the formation of metal nitride and oxide is supposed to be responsible for the modification of the relevant properties. The ion implantation compared with the gas-nitriding technique has some advantages and shortcomings.

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1 Introduction

Ti-Al intermetallic alloys have long attracted materials engineers' interests for their special mechanical and chemical properties [1,2] and their potential tribology and high-temperature applications such as engine valves [3,4]. Among the various Al-contents of the alloys, an alloy possessing an intermetallic phase with a narrow Al-content range around 47 at.% has emerged and been intensively studied [5,6]. A traditional technique of modifying the Ti-Al alloy is gas-nitriding [4]. Since ion implantation technique was developed ion beam nitriding has been attempted to improve the physical and chemical properties of the alloys [3]. We describe here our work employing N-ion implantation under proper implantation and post-annealing conditions as a nitriding means for modification of tribology and high-temperature oxidation of the specific intermetallic alloy.

2 Experimental

2.1 Processing

Samples of HIPed (Hot Isostatic Pressed) Ti-47Al-2Nb-2Cr intermetallic alloy named MJ12 (Howmet, MI, USA) were cut by a diamond saw from a raw bar into 20 mm diameter and 2 mm thick disks. The sample surfaces were polished by alumina powder to a final finish of 0.3- μm .

N-ion implantation into the alloy samples consisting of two groups were carried out at low current and dose using an analyzed heavy ion implantation facility and at high current and dose using a non-analyzed high-current N-ion implanter in the Ion Beam Technology Center, Chiang Mai University [7]. Table 1 lists the ion implantation conditions. During implantation, we deliberately employed no target cooling, and the temperatures of the target surface were estimated to be about 100°C for the lower-current implantation and a few hundred °C for the higher-current implantation. The working pressures inside the target chambers were kept in the range between $10^{-4} - 10^{-3}$ Pa.

After ion implantation the samples were annealed step by step in flowing air at temperatures of 200°C to 300°C for various periods as shown in Table 2.

2.2 Testing

Hardness testing was performed using an advanced Digital Microhardness Tester on the samples after each step of annealing. A Knoop hardness testing indenter with a load of 5 g was applied to various positions at random on each sample surface. Average values and standard deviations of the Knoop hardness number HK (in kg/mm²) for each sample were automatically calculated and printed out by the tester software.

Tribology testing was performed on the samples after the annealing was completed in a laboratory air atmospheric environment using a pin-on-disk tribometer where the sample was the rotating disk and a ball with a proper load was fixed to slide on the disk. The ball material was WC ($r = 3.175\text{mm}$). The load was 250 g or 50 g, the sliding speed was 4.8 cm/s and the total rotations were 10000.

Oxidation test was performed using a high-temperature gas flow testing system [8]. The samples were hung in a refractory tube, where normal air at 1200 K flowed through at a rate of 8 cm³/s. The test lasted for a total of 10 hours. The samples were regularly taken out during the testing period for measuring the mass change by a sensitive digital balance.

2.3 Analysis

XRD, using a Cu target (K_α) at 30 kV with a current of 30 nA, was performed on the samples for identification of compound formation. An image microscope was used to observe the sample surface microstructure.

3 Results

Microhardness: Figure 1 shows changes in the surface microhardness owing to ion implantation and post-annealing. It is clear that surface hardness has been improved by the treatments. However, the change in the hardness with annealing step for the low-current ion-beam implanted alloy is much different from that for the high-current beam implanted one.

Tribology: Table 3 lists the tribology testing results. The data from either the higher-load or the lower-load tested samples behave similarly. For low-current and low-dose ion implanted

samples the wear rates and friction coefficients do not have significant changes whereas the as-implanted data show better improvements than the annealed one for the high-current and high-dose ion implanted samples.

High-temperature oxidation: The rate of mass change at the high temperature as a function of the testing time for differently processed samples is shown in Figure 2. It is seen that in the first 2-hour period of the total testing time the mass-increase rates of all the ion-implanted samples are lower than that of the unimplanted one. Then after, the oxidation rate of the unimplanted one becomes saturated.

XRD: Figure 3 shows the XRD patterns from the ion-implanted and annealed samples compared with the pattern from the virgin sample. Some nitride and oxide such as Ti_2AlN , $\text{Nb}_3\text{Al}_2\text{N}$, TiO and Ti_3O_5 formed after ion implantation, especially, after further annealing. A comparison between the high-current and low-current ion implanted results indicates that the Ti_2AlN and TiO peaks of the former case are more pronounced than those of the latter case.

4 Discussion

Hardness: For the case of the low-current ion beam implanted samples, the decrease in hardness in the preliminary annealing stage is thought to be caused by annealing-relaxation of the ion-implantation-induced surface compression tension, which originally contributes to the surface hardness increase [9]. With an increase in nitride and oxide formation by the annealing process, the surface becomes harder and harder up to an almost constant level. For the high-current and high-dose ion implanted alloy, rich nitrogen as well as the higher annealing temperature makes the nitriding dominant in the process [10,11], so that the hardness increases with the annealing almost linearly.

Tribology: Surface microstructure analysis by image microscopy indicates different structures formed in the as-implanted surface and the post-annealed surface as shown in Figure 4. The martensite-like structure of the as-implanted surface may play a resistive role to wear. Although the nitride and oxide formed due to annealing results in a high hardness, the hard

debris falling off from the nitrided surface during wear might severely abrade and harm the alloy surface. Therefore, the annealed surface was worn much more. This interpretation is supported by the microscopic surface observation on the wear tracks of the wear-tested samples as shown in Figure 5, where more abrasive wearing grooves are seen in the track of the annealed surface than the unannealed one. In fact, modification of wear resistance is affected by factors involving both surface structure and composition [12] such as nitride and oxide formation which compromise for an optimum improvement in the resistance.

High-temperature oxidation: The result indicates that the nitride formed due to ion implantation does reduce oxidation. If the oxidation behavior of two different surfaces is simplified as shown by an insert on the top-left hand corner of Figure 2, in which one characterized by a typical parabolic curve and the other by a straight line with a lower slope at the primary stage, a conclusion obviously drawn from such behavior is that the latter has an intrinsic oxidation rate lower than the former does. Because the well-known parabolic oxidation behavior [13] is caused by intense oxidation in the first stage then followed by protection owing to an increasingly accumulated oxide. The oxidation tending to moderation in the parabolic behavior is simply due to the oxide and not the material itself. Therefore, it is said that the oxidation of the ion-implanted samples is intrinsically reduced. Because of lower oxidation rates the ion-implanted samples were oxidized slowly and could not accumulate sufficient oxide to protect their surfaces from being further oxidized, and hence, their oxidation behaves nearly linearly with time. The phenomenon exhibited at the later testing stage is consistent with the result reported in [3] which, however, did not notice some details occurring in the early testing stage. The oxide protection role can be understood by the fact that only the unimplanted data obey the parabolic oxidation law quite well. The formed oxide virtually protected the surface in any case so that the implanted data still show the parabolic behavior, but very obtusely. The protective role of the oxide can be further demonstrated by the fact that the data from the annealed samples are generally lower than the data from the unannealed ones in the case of high-current and high-dose implantation, as shown in the figure, because the annealing already easily created oxide on the heavily ion-irradiated surface [14] before the oxidation test. For the low-current ion implantation the wear rate data from both unannealed and annealed samples are not noticeably different as

the ion-irradiation damage on the surface are not heavy.

5 Conclusion

The Ti-47Al intermetallic alloy nitrided by N-ion implantation and post-annealing increases its surface microhardness under various ion implantation conditions particularly with increasing of the beam current, ion dose and annealing time. The high-current, high-dose ion implantation without post-annealing is found to be most beneficial in improving tribological properties. The high-temperature oxidation rates are reduced in the early testing stage for the alloy in all cases of N-ion implantation. The alloy is indeed nitrided by the ion beam processing assisted by the annealing, and the formed metal nitride and oxide are shown to be responsible for the modification of the relevant properties.

Compared with gas-nitriding [4], the high-current and high-dose N-ion implantation without post-annealing to achieve the similar modification of the alloy has the advantages of having much short processing time, being “cold” treatment and preserving original surface morphology. The shortcomings of having thin modified layers and lower improvement in tribology.

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Table 1. Ion implantation conditions. During implantation the analyzed beam (l-c: low current) was swept on the target while the non-analyzed beam (h-c: high current) was fixed.

Ion beam type	Ion	Energy (keV)	Beam current (μA)	Dose (N-atoms/cm ²)
analyzed beam (l-c)	N ₂ ⁺	140	60	4×10^{17}
non-analyzed beam (h-c)	N ⁺ + N ₂ ⁺	140	500	4×10^{18}

Table 2. Annealing steps of the samples implanted by the low-current ion beam or the high-current ion beam.

Ion beam type	Low current							High current	
Annealing step	1	2	3	4	5	6	7	1	2
Temperature (°C)	200	200	200	200	200	300	300	300	300
Time (hours)	24	24	24	48	48	24	24	24	24
Total time (hours)	24	48	72	120	168	192	216	24	48

Table 3. Microhardness and tribology testing results. HK: Knoop hardness number; “annealed”: after the total annealing steps were completed (the annealing steps refer to Table 2). The numbers (1) and (2) represent the load conditions under 250 g and 50 g respectively. The friction coefficients are the mean values for the total tested sliding distance.

Sample type	Hardness HK(kg/mm ²)	Wear rate (10 ⁻⁶ mm ²)	Friction coefficient
virgin	330	(1) 2.15; (2) 0.80	(1) 0.7; (2) 1.2
low-current as-implanted	656	(1) 2.14; (2) 0.77	(1) 0.7; (2) 1.2
low-current implanted & annealed	841	(1) 1.59; (2) 0.80	(1) 0.7; (2) 1.0
high-current as-implanted	806	(1) 0.36; (2) 0.22	(1) 0.7; (2) 0.6
high-current implanted & annealed	1291	(1) 1.39; (2) 0.70	(1) 0.6; (2) 0.9

Figure Captions

Figure 1. Microhardness of the N-ion implanted samples compared with that of the unimplanted. The testing load is 5 g. The Annealing Step refers to Table 2; the data for Step 0 are from the as-implanted samples.

Figure 2. High-temperature oxidation rate as a function of the testing time. The oxidation rate is defined as the ratio of the mass change of the sample during a given test period and the processed surface area on the sample. The insert shows a simplified oxidation behavior.

Figure 3. XRD patterns of the processed samples compared with the unprocessed one.

Figure 4. Image microscopic photographs of (a) the as-implanted surfaces and (b) the post-annealed surfaces. The upper ones are treated by the low-current ion beams and the lower ones by the high-current ion beams.

Figure 5. Wear tracks of (a) the as-implanted surface and (b) the post-annealed surface implanted with high-current and high-dose N-ions.

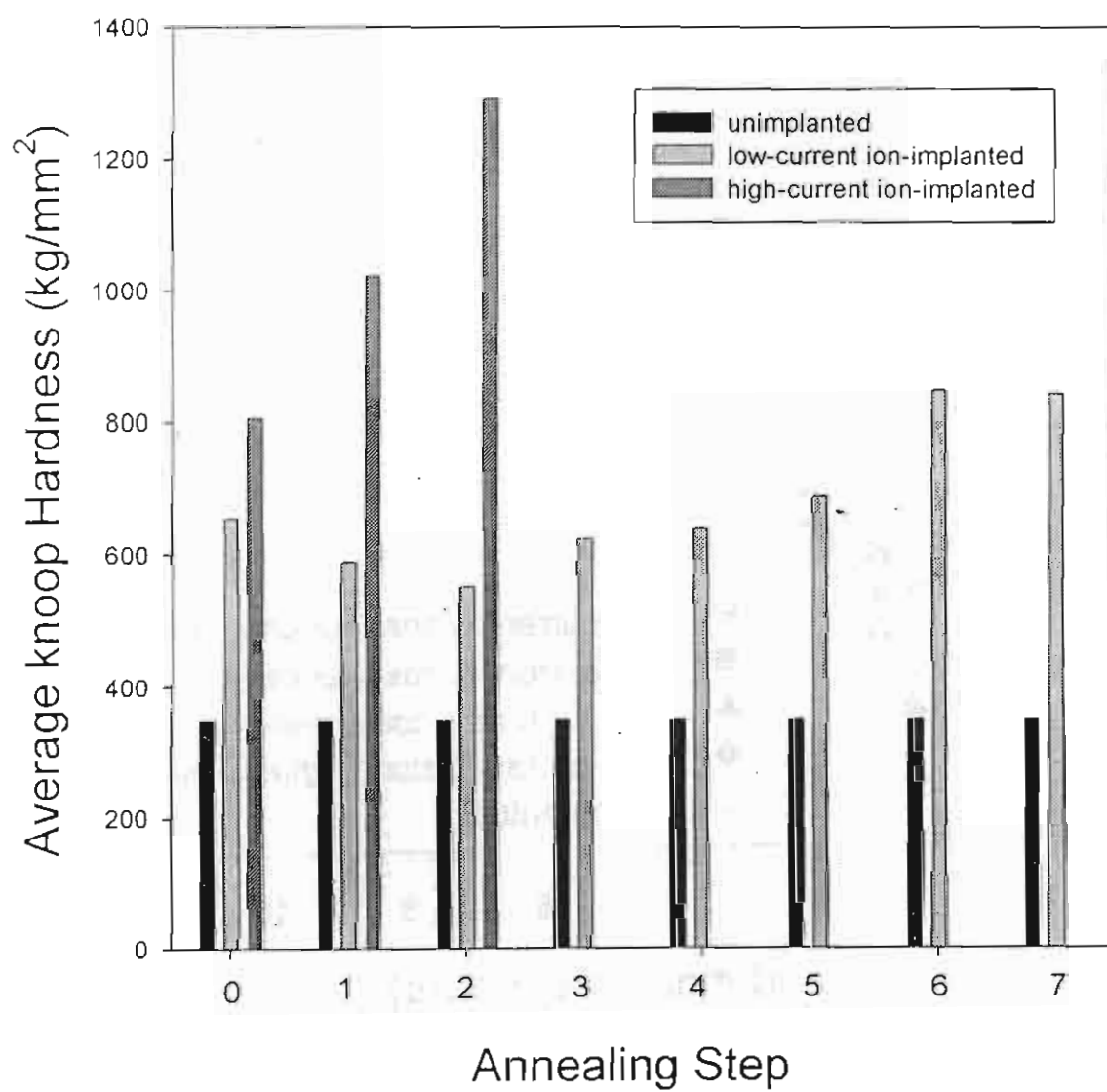


Fig. 1

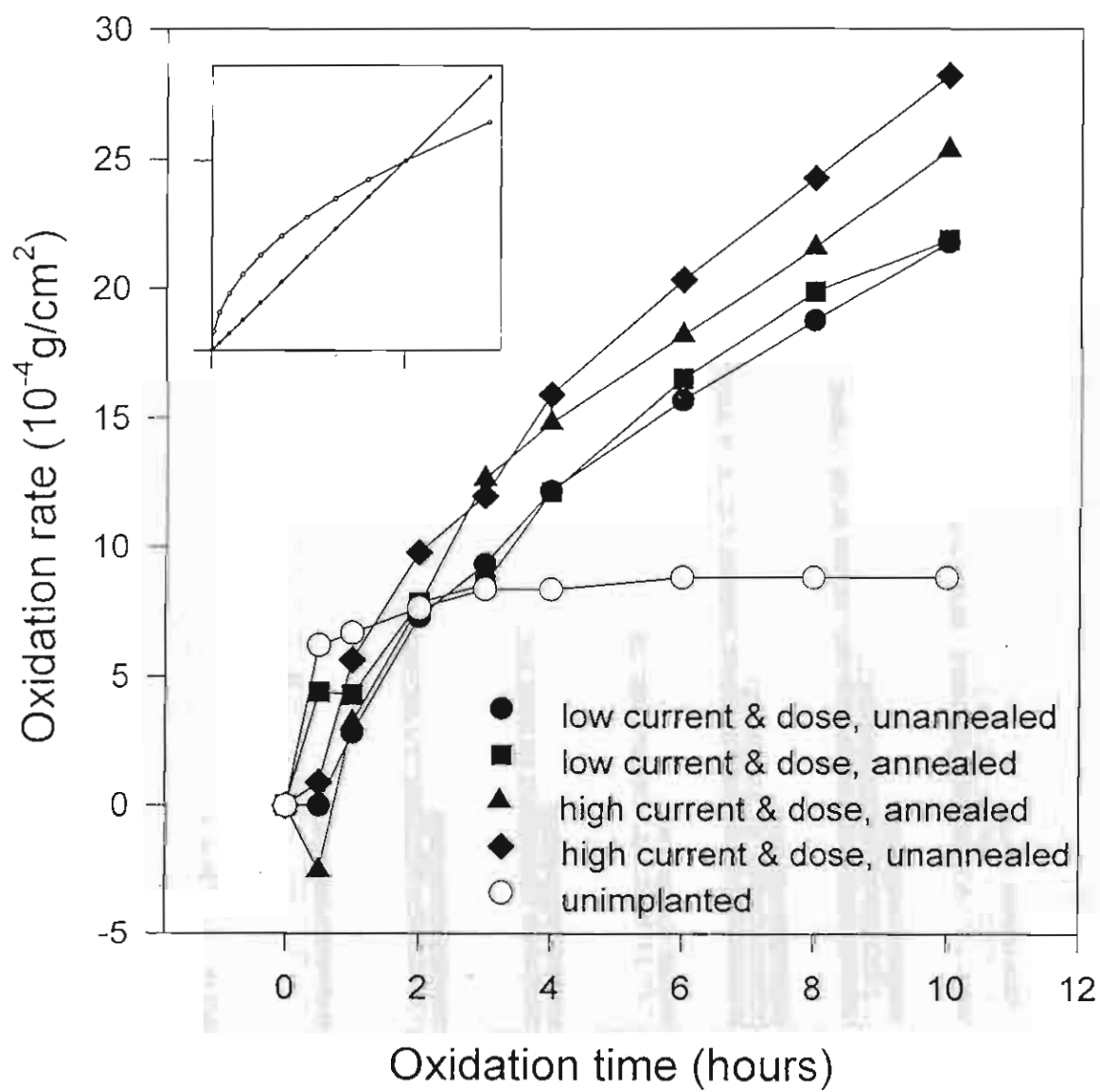


Fig. 2

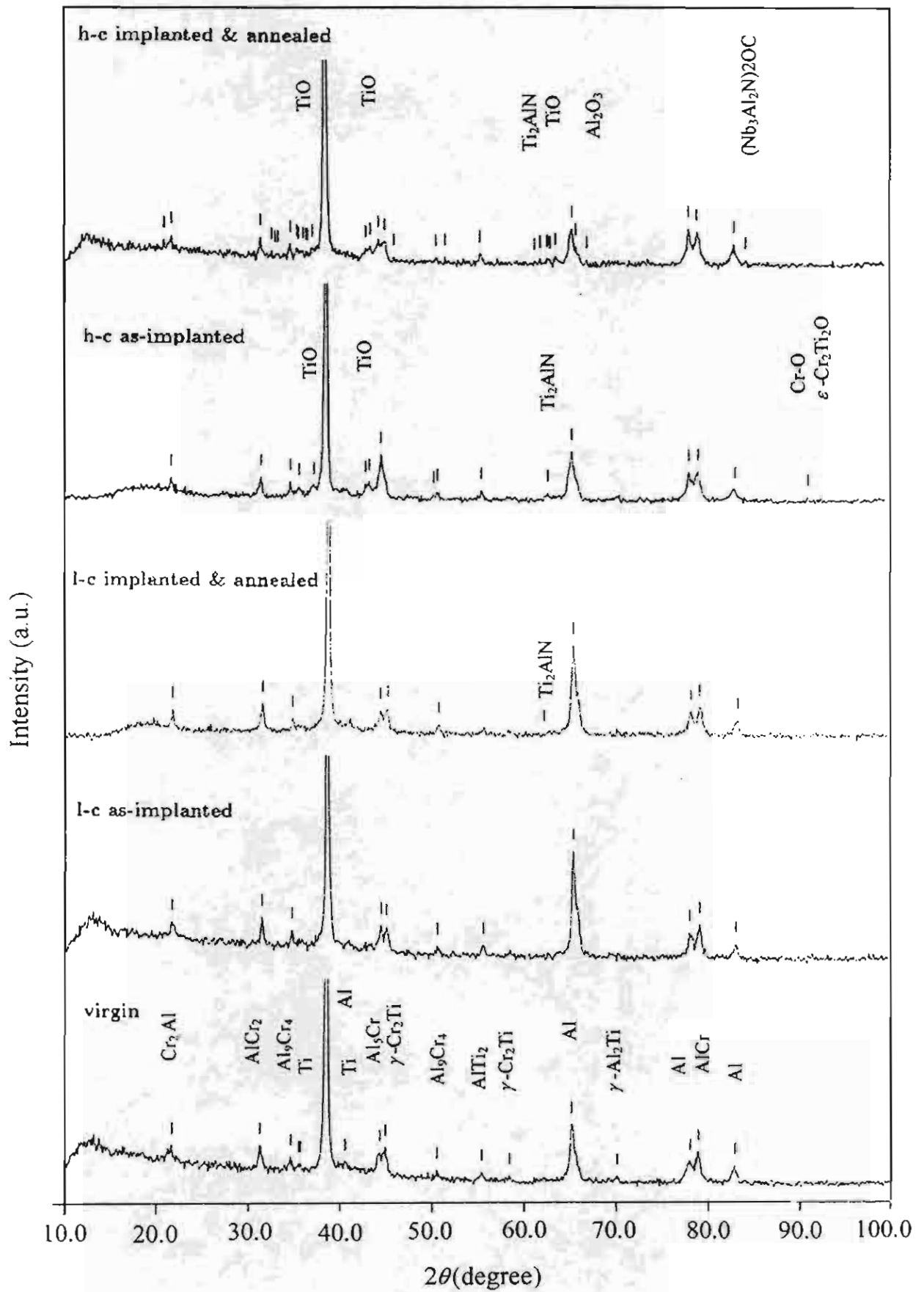


Fig. 3

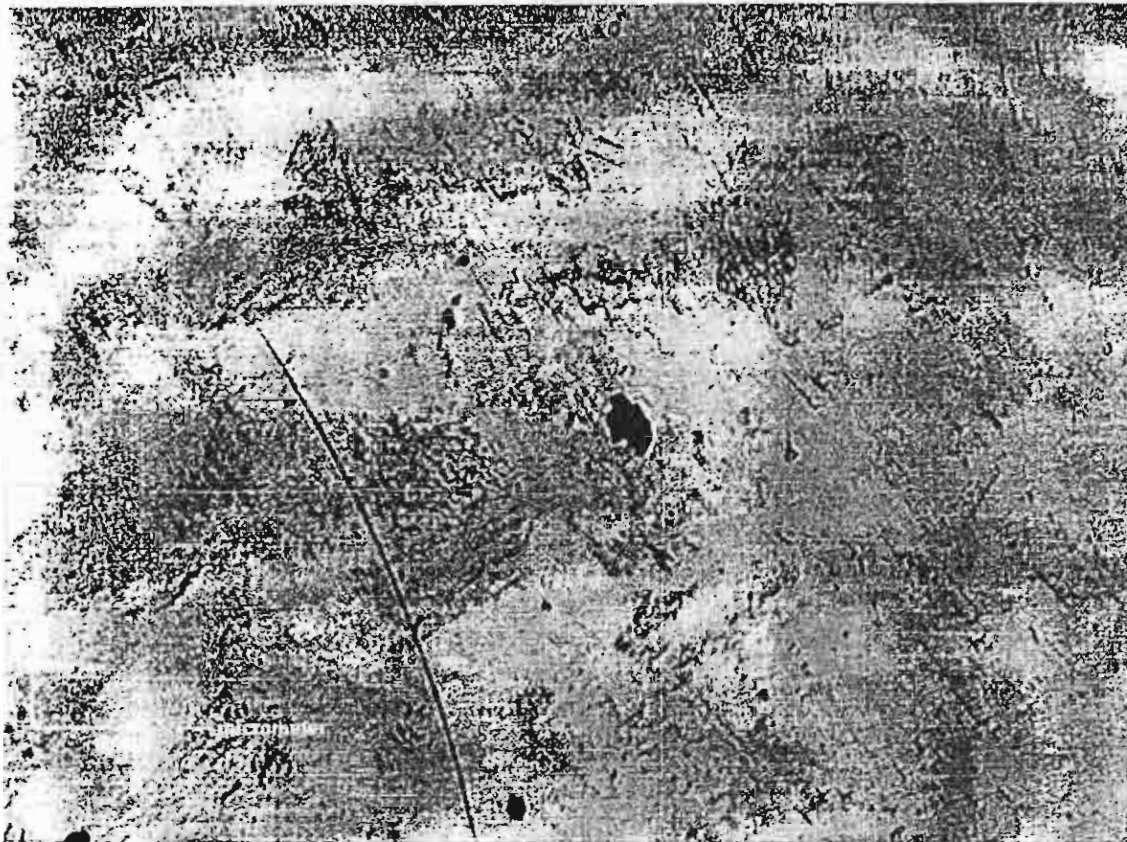


Fig. 4 (a)

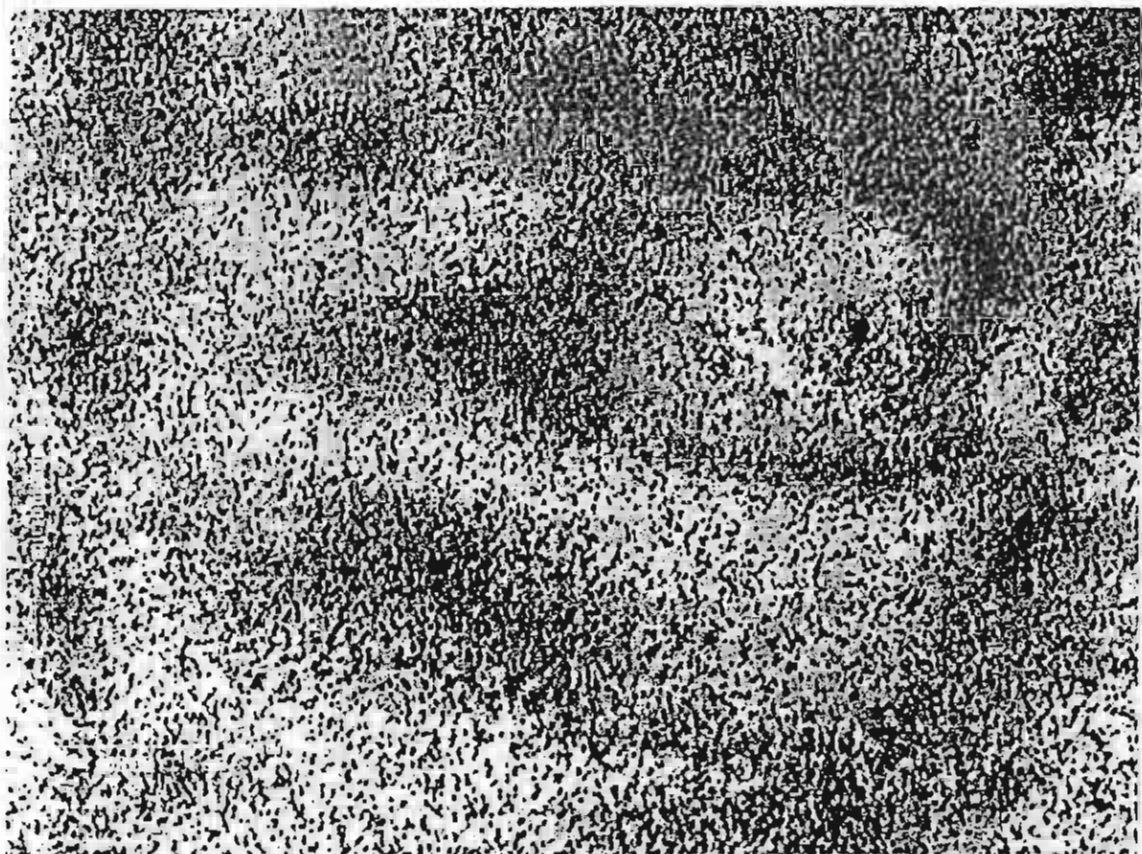
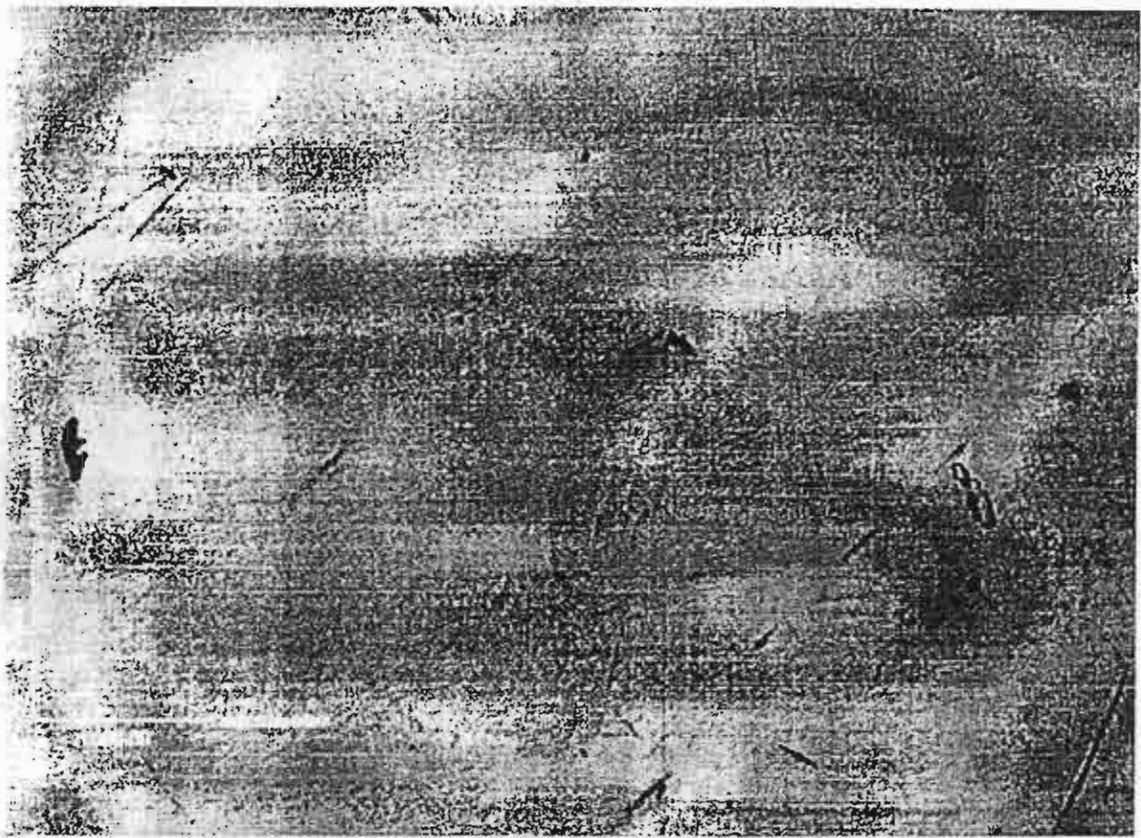


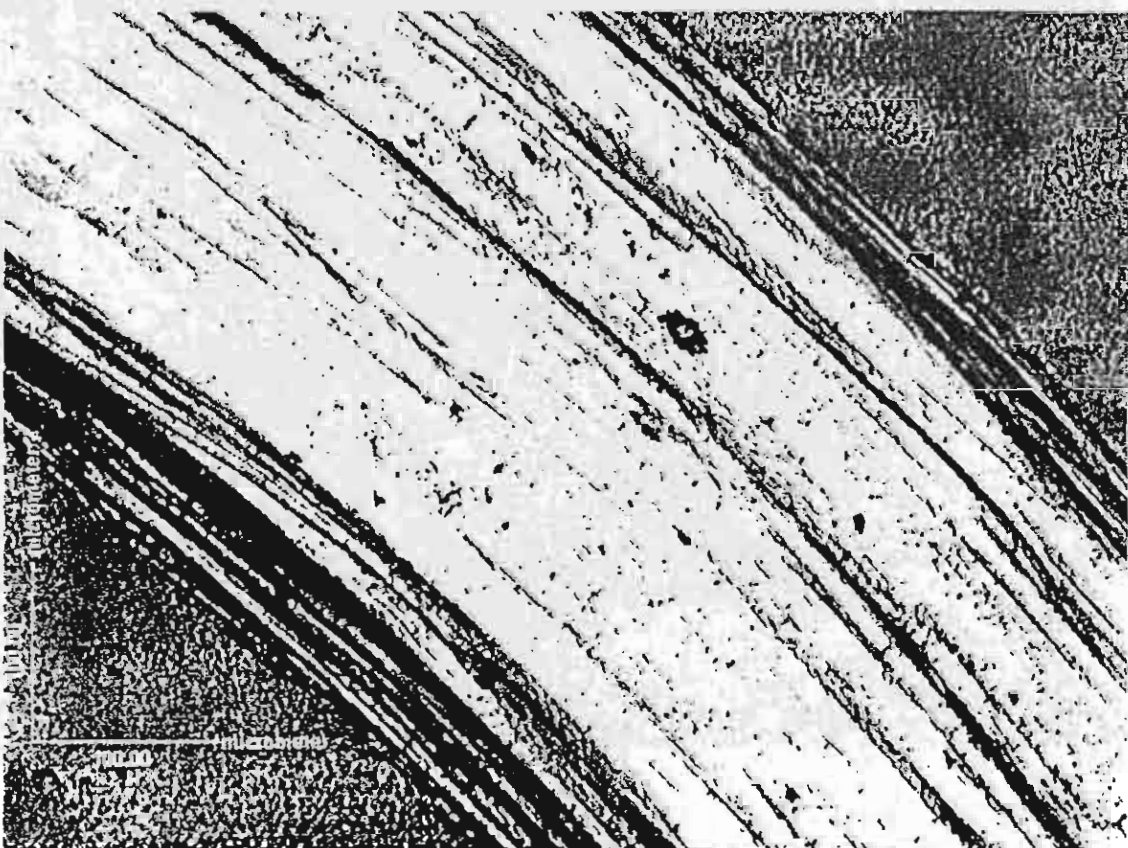
Fig. 4 (b)

(a)



(a)

(b)



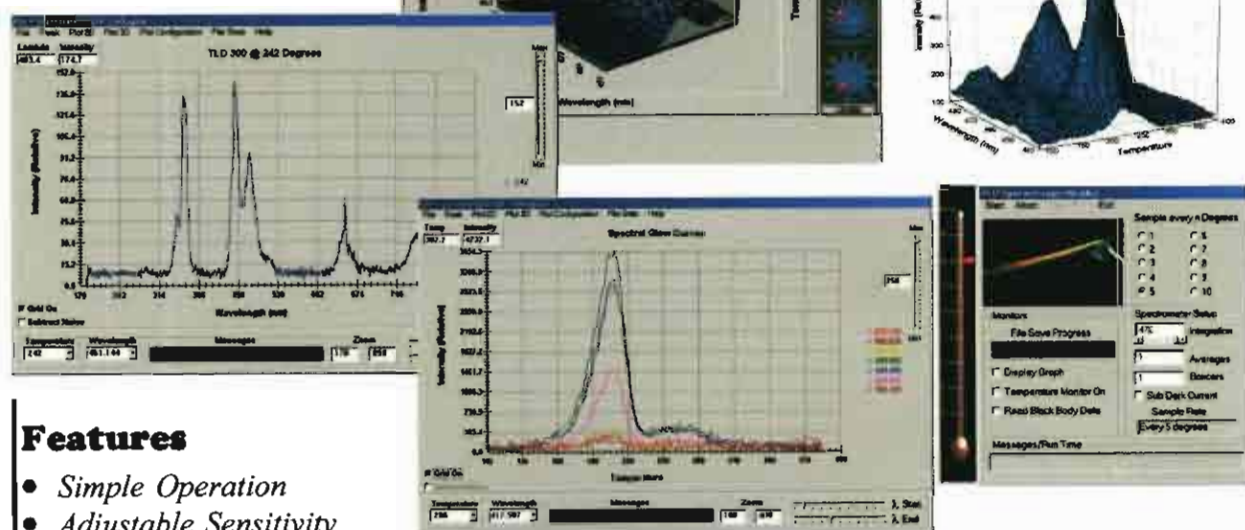
(b)

Fig. 5

ภาคผนวก ข.

Compact Multiparameter Thermoluminescence Spectrometer

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- 1.2 nm Wavelength Resolution
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