

## บทที่ 6

### สรุปผลการวิจัย

จากการใช้แบบจำลองต่างๆ 3 แบบคือ แบบจำลองแบบหุลัมศักย์ 2 หุลัม แบบจำลองแบบไม่สมมาตร และแบบจำลองแบบสองแถบ ศึกษาสมบัติของแมกนีเซียมไดโบไรด์ โดยใช้แบบจำลองแบบหุลัมศักย์ 2 หุลัม ศึกษาอัตราส่วนของช่องว่างพลังงาน ต่ออุณหภูมิวิกฤติของแมกนีเซียมไดโบไรด์ ใช้แบบจำลองแบบไม่สมมาตรศึกษาความจุความร้อน และใช้แบบจำลองแบบสองแถบพลังงานศึกษาอุณหภูมิวิกฤติและสัมประสิทธิ์ของไอโซโทป พบว่าสามารถอธิบายสมบัติของแมกนีเซียมไดโบไรด์ได้ดี

แต่จากผลการทดลองและคำนวณเชิงตัวเลขและทางทฤษฎีล่าสุดพบว่าแมกนีเซียมไดโบไรด์มีสมบัติของตัวนำยิ่งยวดแบบสองแถบพลังงานที่มีความไม่สมมาตร และในการวิจัยครั้งนี้ผู้วิจัยใช้แบบจำลองแบบสองแถบพลังงานที่คำนึงผลของอันตรกิริยาทั้งแบบ phonon และ non-phonon interaction รวมทั้งผลของ interband interaction เข้าไปด้วย ซึ่งให้ผลการคำนวณที่ดี โดยมีค่าตัวแปรต่างๆ คือ ค่าคงตัวของการคู่ควบ อุณหภูมิวิกฤติ สัมประสิทธิ์ของไอโซโทป สอดคล้องกับผลการทดลอง อย่างไรก็ตามผู้วิจัยไม่ได้คำนึงถึงผลของความไม่สมมาตรเข้าไปด้วย จึงไม่ทราบว่าความไม่สมมาตรมีผลต่อสมบัติของแมกนีเซียมไดโบไรด์มากหรือน้อยอย่างไร จึงไม่สามารถอธิบายสมบัติอื่นได้ครบถ้วน ซึ่งจะต้องทำการวิจัยต่อไป

เอกสารอ้างอิง

## Effect of in-plane anisotropy on specific heat jump of $\text{MgB}_2$

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An analytical result for the normalized jump of the heat capacity  $\Delta C/C_N$  is derived for in-plane anisotropic s-wave superconductors within the framework of the weak-coupling BCS approach. Our results show that within the anisotropy gap model the value of  $\Delta C/C_N$  must be less than the BCS value and the effect of in-plane asymmetry will reduce or increase the value of  $\Delta C/C_N$  of in-plane symmetry depending on its parameters.

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### 1 Introduction

The specific heat of  $\text{MgB}_2$  [1] has shown the difference of amplitude and sharpness between the BCS curve and the data and has been interpreted that  $\text{MgB}_2$  is a two-gap superconductor. One gap is found to be larger ( $\Delta_o$ ) and the other smaller ( $\Delta_\pi$ ) than  $1.76 k_B T_c$  [2].  $\Delta_o$  approximately follows the BCS-like curve with non-standard gap ratio  $2\Delta_o/k_B T_c \approx 4.18$  but  $\Delta_\pi$  shows a marked reduction with respect to BCS-like behavior with  $2\Delta_\pi/k_B T_c \approx 1.59$  [3]. A larger gap  $\Delta_o$  is associated with a two-dimensional  $\sigma$  band due to  $p_x$  and  $p_y$  electrons of B atoms and the smaller  $\Delta_\pi$  is associated with a three-dimensional  $\pi$  band due to  $p_z$  electrons of B atoms. These are weakly hybridized with electron orbitals of Mg atoms. The  $\sigma$  band is strongly coupled to in-plane B-atom vibration and the inter-band impurity scattering is strongly reduced due to the different symmetry of  $\sigma$  and  $\pi$  bands [4]; therefore the diffusion from one band to the other is inhibited. This preserves the  $T_c$  suppression and the large anisotropy of the energy gaps. Within these observations,  $\text{MgB}_2$  has shown the influence of both two bands and gap anisotropy. The concept of multiband superconductivity was first introduced by Suhl et al. [5] and Moskalenko [6] in the case of large disparity of the electron–phonon interaction for the different Fermi-surface sheets. The isotope effect of  $\text{MgB}_2$  established that the interaction responsible for the formation of pairing is mediated by phonons [7, 8] and nuclear magnetic resonance showed that the symmetry of Cooper pairs is s-wave [9].

In Seneor et al.'s paper [10], they found that the order parameter of  $\text{MgB}_2$  must be of the anisotropic s-wave with uniaxial symmetry or of the anisotropic s-wave with in-plane anisotropy. Mishonov et al. [11, 12] considered the temperature dependence of the specific heat of a clean anisotropic gap superconductor, which is applicable to  $\text{MgB}_2$ . In Hass and Maki [13] and Posazhennikova et al. [14], models for the anisotropy gap with uniaxial symmetry in  $\text{MgB}_2$  were proposed. The central issue in their research is to propose an analytical model for analyzing the thermodynamic behavior by assuming a spherical Fermi surface; the anisotropy gap functions are introduced as  $\Delta(k) = \Delta(1 + az^2)/(1 + a)$  in [13] and  $\Delta(k) = \Delta/\sqrt{1 + az^2}$  in [14], where  $z = \cos \theta$ ,  $\theta$  is the polar angle, and  $a$  is an anisotropy parameter that

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can be determined experimentally from the ratio of the gap in the  $z$ -axis and the gap in the  $ab$ -plane. Using these two models, Mishonov et al. [15, 16] derived analytical results for the normalized jump of the heat capacity  $\Delta C/C_N$ . They find that the anisotropy parameter  $a$  decreases the BCS  $\Delta C/C_N$  result.

In order to describe the three-dimensional superconducting nature in MgB<sub>2</sub>, we consider the gap function of MgB<sub>2</sub> depending on its axis parameters in three dimensions and follow the weak-coupling BCS approach to derive an analytical expression for  $\Delta C/C_N$  for an anisotropic s-wave with in-plane anisotropy.

## 2 Anisotropy gap model

Because MgB<sub>2</sub> is an anisotropic s-wave superconductor, we can use the BCS gap equation as

$$\Delta_k = \frac{1}{2} \sum_{k'} \frac{V_{kk'} \Delta_{k'}}{\sqrt{\varepsilon_{k'}^2 + \Delta_{k'}^2}} \tanh \left( \frac{\sqrt{\varepsilon_{k'}^2 + \Delta_{k'}^2}}{2T} \right), \quad (1)$$

where every symbol has its usual meaning.

We assume the pairing interaction as

$$V_{kk'} = V_0 f(k) f(k'). \quad (2)$$

Here  $f(k)$  is the anisotropy function and  $V_0$  is the coupling constant.

Based on the pairing interaction, an anisotropy gap is given by

$$\Delta_k = \Delta(T) f(k), \quad (3)$$

where  $\Delta(T)$  is temperature dependent and  $f(z) = (1 + az^2)/(1 + a)$  as in [13] or  $f(z) = 1/\sqrt{1 + az^2}$  as in [14]. These two models consider only the effect of anisotropy in the  $c$ -axis.

Inserting Eqs. (2) and (3) into Eq. (1), we get the gap equation averaged over all directions of  $\Delta_k$  as

$$\frac{1}{N_0 V_0} = \frac{1}{4\pi} \int_0^{2\pi} \int_0^\pi \sin \theta \, d\theta \, d\phi \int_0^{\omega_D} d\varepsilon \frac{f^2(\theta, \phi)}{\sqrt{\varepsilon^2 + \Delta^2(T) f^2(\theta, \phi)}} \tanh \left( \frac{\sqrt{\varepsilon^2 + \Delta^2(T) f^2(\theta, \phi)}}{2T} \right). \quad (4)$$

Here we use a constant density of states  $N_0$ ,  $\theta$  is the polar angle,  $\phi$  is the azimuthal angle, and the factor  $1/4\pi$  is the normalization factor.

In the vicinity of  $T_c$  where  $(T_c - T)/T_c \ll 1$  the gap is small compared with temperature. Let  $\ln(T/T_c) \approx (T - T_c)/T_c$ ; we find that

$$\Delta^2(T) = \left( \frac{T_c - T}{T_c} \right) \frac{8\pi^2 T_c^2 \langle f^2(\theta, \phi) \rangle}{7\zeta(3) \langle f^4(\theta, \phi) \rangle}, \quad (5)$$

where  $\langle f^2(\theta, \phi) \rangle = \frac{1}{4\pi} \int_0^{2\pi} \int_0^\pi d\theta \, d\phi \sin \theta f^2(\theta, \phi)$  and  $\zeta(x)$  is the Riemann zeta function.

The thermodynamic potential density in the normal state and the superconducting state are  $\Omega_n$  and  $\Omega_s$  respectively. Their relation can be written as [17]

$$\Omega_s - \Omega_n = -\frac{7}{16} \frac{\zeta(3) N_0}{\pi^2 T_c^2} \langle f^4(\theta, \phi) \rangle \Delta^4(T). \quad (6)$$

Inserting Eq. (5) into Eq. (6), we get

$$\Omega_s - \Omega_n = -\frac{8N_0\pi^2T_c^2}{14\zeta(3)} \left(1 - \frac{T}{T_c}\right)^2 \frac{\langle f^2(\theta, \phi) \rangle^2}{\langle f^4(\theta, \phi) \rangle}. \quad (7)$$

By the relation  $\Omega_s - \Omega_n = -(1/8\pi) H_c^2$  with  $H_c$  the critical magnetic field, we get

$$H_c(T) = \sqrt{\frac{32\pi N_0}{7\zeta(3)}} \pi T_c \left(1 - \frac{T}{T_c}\right) \frac{\langle f^2(\theta, \phi) \rangle}{\sqrt{\langle f^4(\theta, \phi) \rangle}}. \quad (8)$$

And, by the relation  $\Delta C = \frac{T_c}{4\pi} \left( \frac{dH_c}{dT} \right)^2 \Big|_{T=T_c}$ , the normalized jump of the heat capacity at  $T = T_c$  is

found to be

$$\frac{\Delta C}{C_N} = 1.43 \frac{\langle f^2(\theta, \phi) \rangle^2}{\langle f^4(\theta, \phi) \rangle}. \quad (9)$$

Equation (9) is Pokrovskii's result for the reduced heat-capacity jump [12, 16, 18].

### 3 The specific heat jump of MgB<sub>2</sub>

To show the effect of the type of in-plane anisotropy on  $\Delta C/C_N$ , we make some comparisons between different models applied to MgB<sub>2</sub>. We first consider the case of the symmetry gap  $f(\theta, \phi) = 1$ . Equation (9) then gives  $\Delta C/C_N = 1.43$ , which is the BCS result.

In the case of

$$\Delta(\theta, \phi) = \Delta_0(1 + a(\sin\theta \cos\theta)^2 + b(\sin\theta \sin\theta)^2), \quad (10)$$

this gives

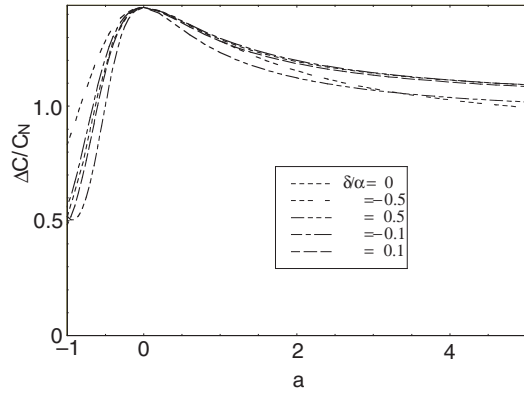
$$f(\theta, \phi) = 1 + a(\sin\theta \cos\theta)^2 + b(\sin\theta \sin\theta)^2. \quad (11)$$

Here  $\Delta_0$ ,  $a$ , and  $b$  are the gap parameters in the  $c$ -axis,  $a$ -axis, and  $b$ -axis, respectively. Within this model, we get  $\Delta_z = \Delta_0$ ,  $\Delta_x = \Delta_0(1 + a)$ , and  $\Delta_y = \Delta_0(1 + b)$ . For  $a = b$ , we get  $f(\theta) = 1 + a \sin^2\theta$ , which agrees with Hass and Maki's model [13] in the case of an anisotropic s-wave with uniaxial symmetry.

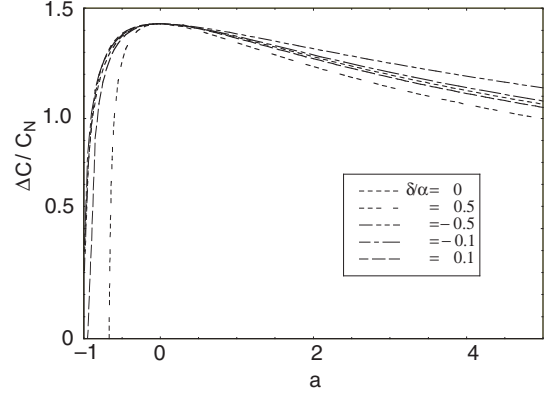
Next we let  $b = a + \delta$  and insert Eq. (11) into Eq. (9); the normalized jump of the heat capacity is

$$\frac{\Delta C}{C_N} = 1.43 \frac{\left(1 + \frac{4}{3}a + \frac{8}{15}a^2 + \frac{2}{3}\delta + \frac{8}{15}a\delta + \frac{1}{5}\delta^2\right)^2}{\left[1 + \frac{8}{3}a + \frac{16}{5}a^2 + \frac{64}{35}a^3 + \frac{128}{315}a^4 + \frac{4}{3}\delta + \frac{16}{5}a\delta + \frac{96}{35}a^2\delta + \frac{256}{315}a^3\delta + \frac{6}{5}\delta^2 + \frac{72}{35}a\delta^2 + \frac{32}{35}a^2\delta^2 + \frac{4}{7}\delta^3 + \frac{32}{63}a\delta^3 + \frac{1}{9}\delta^4\right]}. \quad (12)$$

Our Eq. (12) can be reduced to Eq. (1) of [14] by putting  $\delta = 0$  and  $a = -a'/(1 + a)$ ;  $a'$  is the gap parameter of [15]. The numerical calculation of Eq. (12) is shown in Fig. 1, where  $\Delta C/C_N$  shows the maximum value when  $a = 0$  for all  $\delta$ . When  $-1 < a < 0$ ,  $\Delta C/C_N$  decreases with increasing  $\delta/a$  and, as  $a > 0$ ,  $\Delta C/C_N$  also decreases with increasing  $|\delta/a|$ .



**Fig. 1** Plot of  $\Delta C/C_N$  versus gap parameter  $a$  in the  $a$ -axis at various values of  $\delta/a$  in the case  $\Delta(\theta, \phi) = \Delta_0(1 + a(\sin \theta \cos \theta)^2 + b(\sin \theta \sin \theta)^2)$ , where  $b = a + \delta$ . At  $\delta/a = 0$ , we get Mishonov et al. [15] result.



**Fig. 2** Plot of  $\Delta C/C_N$  versus gap parameter  $a$  in the  $a$ -axis at various values of  $\delta/a$  in the case  $\Delta(\theta, \phi) = \Delta_0/\sqrt{1 + a(\sin \theta \cos \phi)^2 + b(\sin \theta \sin \phi)^2}$ , where  $b = a + \delta$ . At  $\delta/a = 0$ , we get Mishonov et al. [16] result.

For another case of interest, we consider the anisotropy gap in the form

$$\Delta(\theta, \phi) = \frac{\Delta_0}{\sqrt{1 + a(\sin \theta \cos \phi)^2 + b(\sin \theta \sin \phi)^2}}, \quad (13)$$

which gives

$$f(\theta, \phi) = \frac{1}{\sqrt{1 + a(\sin \theta \cos \phi)^2 + b(\sin \theta \sin \phi)^2}}. \quad (14)$$

Here  $\Delta_0$ ,  $a$ , and  $b$  are the gap parameters along the  $c$ -axis,  $a$ -axis, and  $b$ -axis, respectively. Within this model, we get  $\Delta_z = \Delta_0$ ,  $\Delta_x = \Delta_0/(1+a)$ , and  $\Delta_y = \Delta_0/(1+b)$ . For  $a = b$ , we get  $f(\theta) = 1/\sqrt{1 + a \sin^2 \theta}$ , which agrees with Posazhennikova et al.'s model [14] in the case of an anisotropic s-wave with uniaxial symmetry.

As before, we let  $b = a + \delta$  and insert Eq. (14) into Eq. (9); the normalized jump of the heat capacity is

$$\frac{\Delta C}{C_N} = 1.43 \times 2 \frac{\left[ \frac{\tanh^{-1} \sqrt{\frac{a}{1+a}}}{\sqrt{a(1+a)}} + \frac{\delta}{2} \left( -\frac{\tanh^{-1} \sqrt{\frac{a}{1+a}}}{\sqrt{a(1+a)^{3/2}}} + \sum_{k=0}^{\infty} \frac{ka^{k-1}}{(2k+1)(1+a)^{k+2}} \right) \right]^2}{\left[ \frac{1}{\sqrt{(1+a)(1+a+\delta)}} + \frac{\tanh^{-1} \sqrt{\frac{a}{1+a}}}{\sqrt{a(1+a)^{3/2}}} + \frac{\delta}{2} \left( -\frac{2 \tanh^{-1} \sqrt{\frac{a}{1+a}}}{\sqrt{a(1+a)^{5/2}}} + \sum_{k=0}^{\infty} \frac{ka^{k-1}}{(2k+1)(1+a)^{k+3}} \right) \right]}. \quad (15)$$

Equation (15) can be reduced to Eq. (1) of [16] by putting  $\delta = 0$  and  $a = -a'/(1+a')$ ;  $a'$  is the gap parameter of [16]. Numerical calculations of Eq. (15) are shown in Fig. 2. The maximum value of  $\Delta C/C_N$  occurs at  $a = 0$ . When  $-1 < a < 0$  and  $\delta/a > 0$ ,  $\Delta C/C_N$  decreases while  $\delta/a$  increases but there is no change in  $\Delta C/C_N$  in the case  $\delta/a < 0$  and  $a = 0$ . Figure 2 shows that  $\Delta C/C_N$  decreases as  $\delta/a$  increases.

## 4 Discussion and conclusions

In both cases under consideration, we find an anisotropy effect on  $\Delta C/C_N$ , which shows a maximum value at  $a = 0$  and, when  $\delta/a > 0$ ,  $\Delta C/C_N$  will decrease as  $\delta/a$  increases. This result indicates that the effect of anisotropy in the  $ab$ -plane decreases  $\Delta C/C_N$ .

Based on the experimental data on  $\text{MgB}_2$  of Seneor et al. [10], they found a maximum gap  $\Delta_z = 8$  meV for the  $c$ -axis film and a minimum gap  $\Delta_{xy} = 5$  meV; this gives  $\Delta_{xy}/\Delta_z = 0.625$ . Our model predicts that if there is a gap symmetry in the  $ab$ -plane, i.e.  $a = b$ , the value of  $\Delta C/C_N$  should be 1.28 and, if there is an asymmetry of the gap in the  $ab$ -plane,  $a \neq b$ , the value of  $\Delta C/C_N$  should be slightly more or less than this depending on its  $a$  and  $b$  parameters. Because of  $\Delta C/C_N$  depending on the parameter, we think that the effect of in-plane asymmetry may be the cause of non-sharpness in the specific heat curve at  $T_c$ .

In conclusion, we have derived analytical results for the normalized jump of the heat capacity of  $\Delta C/C_N$  of  $\text{MgB}_2$  with an anisotropy gap by including the effect of in-plane gap anisotropy within the framework of the weak-coupling BCS approach. We find that, within the three-dimensional anisotropy, the value of  $\Delta C/C_N$  is less than the BCS value, and the effect of the in-plane asymmetry will reduce or increase the value of  $\Delta C/C_N$  of the in-plane symmetry depending on its parameters.

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V. L. Pokrovskii, Zh. Exp. Teor. Fiz. **40**, 641 (1961).

# Influence of Interband Interaction on Isotope Effect Exponent of $\text{MgB}_2$ Superconductors

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**Keywords:** isotope effect exponent, superconductors, interband interaction

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## Abstract

The exact formula of  $T_c$ 's equation and the isotope effect exponent of two-band s-wave superconductors in weak-coupling limit are derived by considering the influence of interband interaction. The pairing interaction in each band consisted of 2 parts: the electron-phonon interaction and non-electron-phonon interaction are included in our model. The isotope effect exponent of  $\text{MgB}_2$ ,  $\alpha = 0.3$  with  $T_c \approx 40$  K, can be found in the weak coupling regime and interband interaction of electron-phonon interaction show more effect on isotope effect exponent than of non-phonon interaction.

## 1. Introduction

The isotope effect exponent,  $\alpha$ , is one of the most interesting properties of superconductors. In the conventional BCS theory  $\alpha = 0.5$  for all element.

In high- $T_c$  superconductors, experimenter found that  $\alpha$  is smaller than 0.5[1-3]. This unusual small value leads to suggestion that the pairing interaction might be predominantly of electronic origin with a possible small phonon contribution[4]. To explain the unusual isotope effect in high- $T_c$  superconductors, many models have been proposed such as the van Hove singularity[5-7], anharmonic phonon[8,9], pairing-breaking effect[10], and pseudogap[11,12].

The discovery of [13] of superconductivity in  $MgB_2$  with a high critical temperature,  $T_c \approx 39$  K, has attracted a lot of considerable attention. Various experiments [14-21] suggest the existence of multiband in  $MgB_2$  superconductors. The gap values  $\Delta(k)$  cluster into two groups at low temperature, a small value of  $\approx 2.5$  meV and a large value of  $\approx 7$  meV. The calculation of the electron structure [22-26] support this conclusion. The Fermi surface consists of four sheets: two three-dimensional sheets from the  $\pi$  bonding and antibonding bands ( $2p_z$ ), and two nearly cylindrical sheets from the two-dimensional  $\sigma$  band ( $2p_{x,y}$ ) [24,27]. There is a large difference in the electron-phonon coupling on different Fermi surface sheet and this fact leads to multiband description of superconductivity. The average electron-phonon coupling strength is found to be small values[14-16]. Ummarino et al.[28] proposed that  $MgB_2$  is the weak coupling two band phononic system where the Coulomb pseudopotential and the interchannel pairing mechanism are key terms to interpret the superconductivity state. Garland[29] has shown that Coulomb potential in the d-orbitals of transition metal reduce the isotope exponent whereas sp-metals generally shown a nearly full isotope effect. So for sp-metal as  $MgB_2$ , the Coulomb effect could not be account to explain the reduced of isotope exponent.

Budko et al.[30] and Hinks et al.[31] measured the boron isotope exponent and estimated as  $\alpha_B = 0.26 \pm 0.03$  and nearly zero magnesium isotope effect. The boron isotope exponent is closed to that obtained for the  $YNi_2B_2C$  and  $LuNi_2B_2C$  borocarbides [32,33] where theoretical work[34] suggested that the phonons responsible for the superconductivity are high-frequency boron optical modes. This observation is consistent with a phonon-mediated BCS superconducting mechanism that boron phonon modes are playing an important role.

The theory of thermodynamic and transport properties of  $MgB_2$  was made in the framework of the two band BCS model [35-43]. Zhitomirsky and Dao[44] derive the Ginzburg-Landau functional for two gap superconductors from the microscopic BCS model and then investigate the magnetic properties. The concept of multiband superconductors was first introduced by Suhl[45] and Moskalenko[46] in case of large disparity of the electron-phonon interaction for different Fermi-surface sheets.

The purpose of this paper is to derive the exact formula of  $T_c$ 's equation and the isotope effect exponent of two-band superconductors in weak-coupling limit by considering the influence of interband interaction. The pairing interaction in each band consisted of 2 parts: a attractive electron-phonon interaction and a attractive non-electron-phonon interaction are included in our model.

## 2. Model and calculation

The properties of  $MgB_2$  suggest the two-band s-wave superconductors( $\sigma$ -band and  $\pi$ -band). And in each band, it may have two energy

gaps . To recover this fact, we make the assumption that the paring interaction consists of 2 parts : a attractive electron-phonon interaction and a attractive non-electron-phonon interaction in  $\sigma$ -band and  $\pi$ -band , and the  $\sigma$ -  $\pi$  scattering of interband pairs. The Hamiltonian of the corresponding system is taken in the form

$$H = H_{\pi} + H_p + H_{p\pi} \quad (1)$$

where  $H_{\pi}$ ,  $H_p$  and  $H_{p\pi}$  are the Hamiltonian of  $\pi$  band ,  $\sigma$  band and interband respectively that

$$H_{\pi} = \sum_{k\sigma} \epsilon_{k\sigma} \pi_{k\sigma}^{\dagger} \pi_{k\sigma} - \sum_{kk'} V_{\pi\pi kk'} \pi_{k\uparrow}^{\dagger} \pi_{-k\downarrow}^{\dagger} \pi_{-k'\downarrow} \pi_{k'\uparrow} \quad (2.1)$$

$$H_p = \sum_{k\sigma} \epsilon_{k\sigma} p_{k\sigma}^{\dagger} p_{k\sigma} - \sum_{kk'} V_{pp kk'} p_{k\uparrow}^{\dagger} p_{-k\downarrow}^{\dagger} p_{-k'\downarrow} p_{k'\uparrow} \quad (2.2)$$

$$H_{p\pi} = - \sum_{kk'} V_{p\pi kk'} (p_{k\uparrow}^{\dagger} p_{-k\downarrow}^{\dagger} \pi_{-k'\downarrow} \pi_{k'\uparrow} + \pi_{k\uparrow}^{\dagger} \pi_{-k\downarrow}^{\dagger} p_{-k'\downarrow} p_{k'\uparrow}) \quad (2.3)$$

Here we use the standard meaning of parameters and  $V_{\pi\pi kk'}$  ,  $V_{pp kk'}$ ,  $V_{p\pi kk'}$  are the attractive interaction potential in  $\sigma$  band and  $\pi$  band ,and interband respectively .

By performing a BCS mean field analysis of Eq.(1) and applying standard techniques, we obtain the gap equation as

$$\Delta_{pk} = - \sum_{k'} V_{ppkk'} \frac{\Delta_{pk'}}{2\sqrt{\epsilon_{pk'}^2 + \Delta_{pk'}^2}} \tanh\left(\frac{\sqrt{\epsilon_{pk'}^2 + \Delta_{pk'}^2}}{2T}\right) - \sum_{k'} V_{p\pi kk'} \frac{\Delta_{\pi k'}}{2\sqrt{\epsilon_{\pi k'}^2 + \Delta_{\pi k'}^2}} \tanh\left(\frac{\sqrt{\epsilon_{\pi k'}^2 + \Delta_{\pi k'}^2}}{2T}\right) \quad (3.1)$$

$$\Delta_{\pi k} = - \sum_{k'} V_{\pi\pi kk'} \frac{\Delta_{\pi k'}}{2\sqrt{\epsilon_{\pi k'}^2 + \Delta_{\pi k'}^2}} \tanh\left(\frac{\sqrt{\epsilon_{\pi k'}^2 + \Delta_{\pi k'}^2}}{2T}\right) - \sum_{k'} V_{p\pi kk'} \frac{\Delta_{pk'}}{2\sqrt{\epsilon_{pk'}^2 + \Delta_{pk'}^2}} \tanh\left(\frac{\sqrt{\epsilon_{pk'}^2 + \Delta_{pk'}^2}}{2T}\right) \quad (3.2)$$

In each band, the paring interaction consists of 2 parts[47,48] : an attractive electron-phonon interaction  $V_{ph}$  and an attraction non-electron-phonon interaction  $U_c \cdot \omega_D$  and  $\omega_c$  is the characteristic energy cutoff of the Debye phonon and non-phonon respectively. The interaction potential  $V_{kk'}$  may be written as

$$\begin{aligned} V_{ikk'} &= -V_{ph}^i - U_c^i & \text{for } 0 < |\epsilon| < \omega_D \\ &= -U_c^i & \text{for } \omega_D < |\epsilon| < \omega_c \text{ and } i = p, \pi, p\pi \end{aligned}$$

For such as the interaction the superconducting order parameter can be written as

$$\begin{aligned} \Delta_{jk} &= \Delta_{j1} & \text{for } 0 < |\epsilon| < \omega_D \\ &= \Delta_{j2} & \text{for } \omega_D < |\epsilon| < \omega_c \text{ and } j = p, \pi \end{aligned}$$

### 3. $T_c$ 's Equation

In this section, the exact formula of  $T_c$  's equation of two- band s-wave superconductors is derived . At  $T = T_c$  and constant density of state  $N(\epsilon_k) = N(0)$  , Eq.(3) become

$$\begin{pmatrix} \Delta_{\pi 1} \\ \Delta_{p 1} \\ \Delta_{\pi 2} \\ \Delta_{p 2} \end{pmatrix} = \begin{pmatrix} (\lambda_{\pi} + \mu_{\pi})I_1 & (\lambda_{\pi p} + \mu_{\pi p})I_1 & \mu_{\pi}I_2 & \mu_{\pi p}I_2 \\ (\lambda_{\pi p} + \mu_{\pi p})I_1 & (\lambda_p + \mu_p)I_1 & \mu_{\pi p}I_2 & \mu_pI_2 \\ \mu_{\pi}I_1 & \mu_{\pi p}I_1 & \mu_{\pi}I_2 & \mu_{\pi p}I_2 \\ \mu_{\pi p}I_1 & \mu_pI_1 & \mu_{\pi p}I_2 & \mu_pI_2 \end{pmatrix} \begin{pmatrix} \Delta_{\pi 1} \\ \Delta_{p 1} \\ \Delta_{\pi 2} \\ \Delta_{p 2} \end{pmatrix} \quad (6)$$

Here 
$$I_1 = \int_0^{\omega_D} d\varepsilon \frac{\tanh(\varepsilon / 2T_c)}{\varepsilon} \quad (7.1)$$

$$I_2 = \int_{\omega_D}^{\omega_C} d\varepsilon \frac{\tanh(\varepsilon / 2T_c)}{\varepsilon} \quad (7.2)$$

and

$$\lambda_{\pi} = N_{\pi}(0)V_{ph}^{\pi}, \lambda_p = N_p(0)V_{ph}^p, \lambda_{\pi p} = N_{\pi}(0)V_{ph}^{\pi p} = N_p(0)V_{ph}^{p\pi}$$

and

$$\mu_{\pi} = N_{\pi}(0)U_C^{\pi}, \mu_p = N_p(0)U_C^p, \mu_{\pi p} = N_{\pi}(0)U_C^{\pi p} = N_p(0)U_C^{p\pi}$$

are the coupling constants.

Solving the secular equation , the appropriate solution is,

$$I_1 = \frac{A}{B + \sqrt{C^2 - D}} \quad (8)$$

that

$$\begin{aligned} A &= 2(-1 + I_2\mu_p)(-1 + I_2\mu_{\pi}) - 2I_2^2\mu_{\pi p}^2 \\ B &= \mu_p + \mu_{\pi} + 2I_2(-\mu_p\mu_{\pi} + \mu_{\pi p}^2) + (\lambda_p + \lambda_{\pi})((-1 + I_2\mu_p)(-1 + I_2\mu_{\pi}) - I_2^2\mu_{\pi p}^2) \\ C &= \lambda_p + \lambda_{\pi} + \mu_p - I_2\mu_p(\lambda_p + \lambda_{\pi}) + \mu_{\pi}(1 + I_2^2\mu_p(\lambda_p + \lambda_{\pi}) - I_2(\lambda_p + \lambda_{\pi} + 2\mu_p)) \\ &\quad - I_2\mu_{\pi p}^2(-2 + I_2(\lambda_p + \lambda_{\pi})) \\ D &= 4((-1 + I_2\mu_p)(-1 + I_2\mu_{\pi}) - I_2^2\mu_{\pi p}^2)[\lambda_{\pi}\mu_p - 2\lambda_{\pi p}\mu_{\pi p} - (-1 + I_2\lambda_{\pi})(\mu_p\mu_{\pi} - \mu_{\pi p}^2) \\ &\quad + \lambda_p[(-1 + I_2\mu_p)(-\mu_{\pi} + \lambda_{\pi}(-1 + I_2\mu_{\pi})) - I_2\mu_{\pi p}^2(-1 + I_2\lambda_{\pi})] \\ &\quad + \lambda_{\pi p}^2(-1 + I_2(\mu_p + \mu_{\pi}) + I_2^2(-\mu_p\mu_{\pi} + \mu_{\pi p}^2))] \end{aligned}$$

Eq.(8) is the  $T_c$ 's equation of two-band s-wave superconductors.

#### 4. The isotope effect exponent

In harmonic approximation ,  $\omega_D \propto M^{-1/2}$  , and  $\omega_c$  does not depend on mass.

The isotope effect exponent can be derived from the equation

$$\begin{aligned} \alpha &= -\frac{d \ln T_c}{d \ln M} \\ &= \frac{1}{2} \frac{\omega_D}{T_c} \frac{dT_c}{d\omega_D} \end{aligned} \quad (9)$$

where M is the mass of the atom constituting the specimen under consideration.

Using Eq.(6) and Eq.(9), we can the isotope effect exponent as below

$$\alpha = \frac{(1/2)}{\frac{\tanh(\omega_c / 2T_c)}{\tanh(\omega_D / 2T_c)} \frac{(\mu_{\pi}D' + \mu_pE' + \mu_{\pi p}^2F')}{(\lambda_{\pi}A' + I_1\lambda_{\pi p}B' + \lambda_pC')} - 1} \quad (10)$$

Here

$$\begin{aligned}
A' &= -[-1 + \mu_p (I_1 + I_2)][-1 + \mu_\pi (I_1 - I_2)] + (I_1^2 - I_2^2)\mu_{\pi p}^2 \\
B' &= \lambda_{\pi p}[2(-1 + I_2\mu_p)(-1 + I_2\mu_\pi) + I_1(\mu_p + \mu_\pi - 2I_2\mu_p\mu_\pi)] + 4\mu_{\pi p} + 2(I_1 - I_2)I_2\lambda_{\pi p}\mu_{\pi p}^2 \\
C' &= (-1 + I_2\mu_p)(-1 + I_2\mu_\pi) + I_2^2\mu_{\pi p}^2 + I_1^2[-\mu_\pi + \mu_p(-1 + 2I_2\mu_\pi) - 2I_2\mu_{\pi p}^2] \\
&\quad + I_1[\mu_p - \mu_\pi + 2\lambda_\pi(-(-1 + I_2\mu_p)(-1 + I_2\mu_\pi) + I_2^2\mu_{\pi p}^2)] \\
D' &= I_1^2\lambda_{\pi p}^2 - (-1 + I_1\lambda_p)(-1 + I_1\lambda_\pi) \\
E' &= -1 + I_1(\lambda_\pi + \lambda_p(1 - I_1\lambda_\pi) + I_1\lambda_{\pi p}^2) + \mu_\pi F \\
F' &= 2I_2 + I_1(2 - 2I_2(\lambda_p + \lambda_\pi) + I_1(-\lambda_\pi + \lambda_p(-1 + 2I_2\lambda_\pi) - 2I_2\lambda_{\pi p}^2))
\end{aligned}$$

Eq.(8) and Eq.(10) can be easily reduced to the  $T_c$ 's equation and isotope exponent of BCS theory .

In Figure.(1), we plot a three dimensional graph of the isotope exponent Eq.(10) versus the interband coupling constant  $\lambda_{\pi p}$  and  $\mu_{\pi p}$ . Depending on the measured Debye frequency  $\omega_D = 64.3$  meV [30,49] and  $T_c \approx 40$  K, the parameters are  $T_c = 40$  K,  $\omega_D = 745$  K,  $\omega_c = 1.5\omega_D$ ,  $\lambda_\pi = \lambda_p = 0.05$ ,  $\mu_\pi = \mu_p = 0.05$ . The isotope effect exponent is tend to 0.5 at large values of phonon and low value of non-phonon interband coupling constant. We calculate Eq.(8) and Eq.(10) numerically to find isotope effect exponent of  $MgB_2$ ,  $\alpha = 0.3$  with  $T_c \approx 40$  K that many ranges of coupling constant agree with these conditions, example as  $\mu_\pi = \mu_p = 0.05$ ,  $\lambda_{\pi p} = 0.05$ ,  $\mu_{\pi p} = 0.142$ ,  $0.034 < \lambda_p < 0.114$ , and  $0.01 < \lambda_\pi < 0.1$ . In Figure.(2), we show the effect of interband coupling constant on isotope effect exponent. The interband interaction of electron-phonon interaction show more effect on isotope exponent than of non-phonon interaction and both of them increase the isotope effect exponent in the same way.

## 5.Conclusions

The exact formula of  $T_c$ 's equation and the isotope effect exponent of two-band s-wave superconductors in weak-coupling limit are derived by considering the influence of interband interaction. The pairing interaction in each band consisted of 2 parts: a attractive electron-phonon interaction and a attractive non-electron-phonon interaction are included. We find isotope effect exponent of  $MgB_2$ ,  $\alpha = 0.3$  with  $T_c \approx 40$  K in many ranges of coupling constant. These strength values of the coupling parameters indicate that the  $MgB_2$  superconductor is in the weak coupling regime. The interband interaction of electron-phonon interaction show more effect on isotope exponent than of non-phonon interaction.

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## 6.Refference

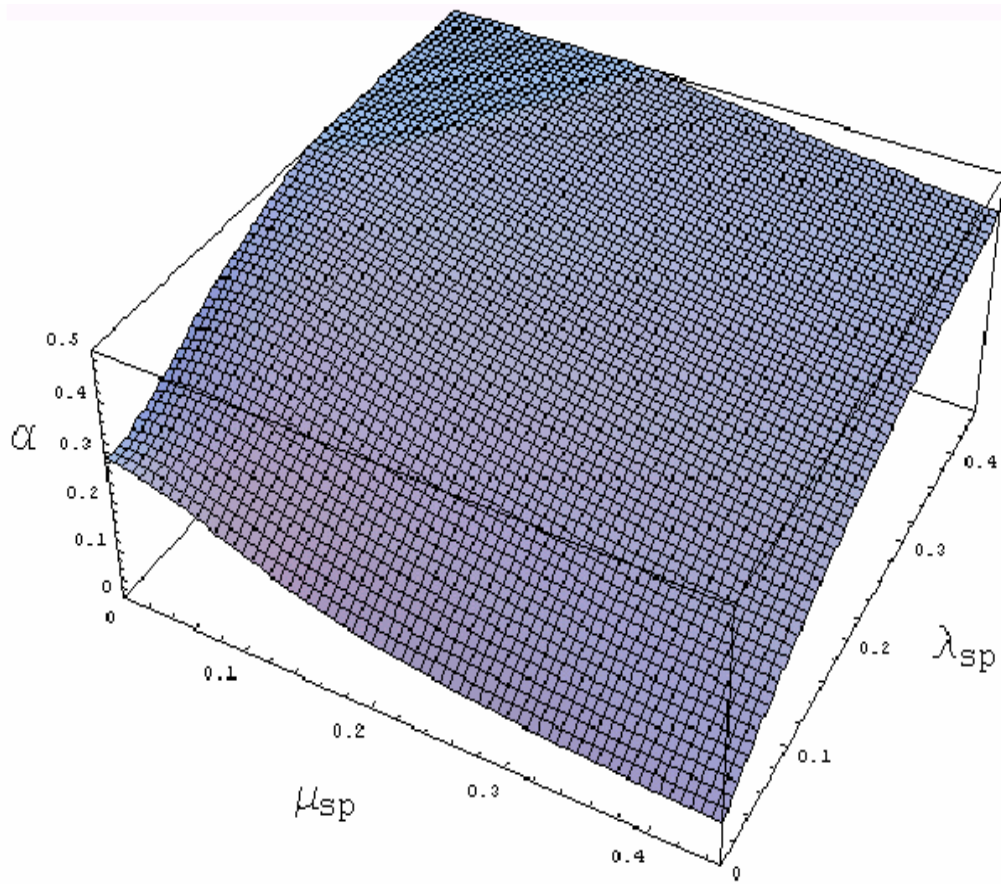
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### Figure Caption

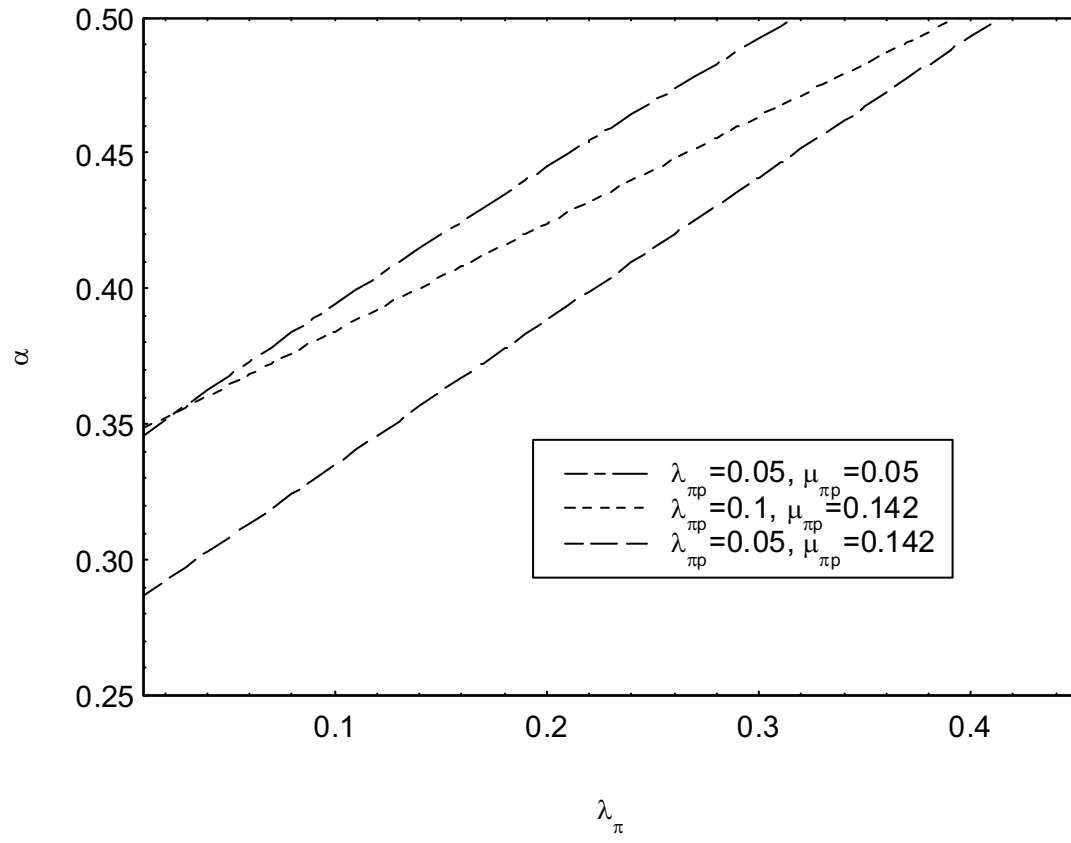
**Figure(1).** Plot graph of the isotope exponent Eq.(10) versus the interband coupling constant  $\lambda_{\pi p}$  and  $\mu_{\pi p}$ , the parameters are  $T_c = 40$  K,  $\omega_D = 745$  K,  $\omega_c = 1.5\omega_D$ ,  $\lambda_\pi = \lambda_p = 0.05$ ,  $\mu_\pi = \mu_p = 0.05$ .

**Figure.(2).** We show the effect of interband coupling constant on isotope effect exponent. The parameters are  $T_c = 40$  K,  $\omega_D = 745$  K,  $\omega_c = 1.5\omega_D$ ,  $\lambda_p = 0.1$ ,  $\mu_\pi = \mu_p = 0.05$ .



**Figure(1).**

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**Figure(2).**

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## Output ของโครงการ

### 1. ผลงานตีพิมพ์ในระดับต่างประเทศ 2 เรื่อง ดังนี้

- Udomsamuthirun P., Rakpanich S., and Yoksan S., (2003), "Effect of in-plane anisotropy on specific heat of  $\text{MgB}_2$ ", Phys. Stat. Sol. (b) **240**:591-595.
- เรื่อง "Influence of Interband Interaction on Isotope Effect Exponent of  $\text{MgB}_2$  Superconductors" submit to Physica Status Solidi (b) และนำเสนอทาง web-site ใน <http://xxx.lanl.gov/abs/cond-mat/0406496> .

### 2. ผลงานตีพิมพ์ในระดับในประเทศ 2 เรื่อง

- พงษ์แก้ว อุดมสมุทรหิรัญ และ อุดลย์ บุรากรม, "อัตราส่วนของช่องว่างพลังงานกับอุณหภูมิวิกฤติของตัวนำยวดยิ่ง  $\text{MgB}_2$ ", การประชุมทางวิชาการของมหาวิทยาลัยเกษตรศาสตร์ ครั้งที่ 41 (2545) 261-267 (ตามเอกสารแนบหมายเลข)
- สมบัติบางประการของ  $\text{MgB}_2$  จะนำเสนอ วทท. (กำลังจัดเตรียม)

### 3. มีนักศึกษาระดับปริญญาโทของภาควิชาฟิสิกส์ คณะวิทยาศาสตร์

มหาวิทยาลัยศรีนครินทรวิโรฒ ที่ทำงานวิจัยในด้านนี้สำเร็จการศึกษา 3 คน